

# **The Physics of Cosmic Rays – An Overview**

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# Cosmic rays

~ 30 - 40 km

## Composition

- 85% H nuclei (protons)
- 12% He nuclei
- 1% heavier nuclei
  
- 2% electrons and positrons
  
- 0.01 - 0.001% antiprotons

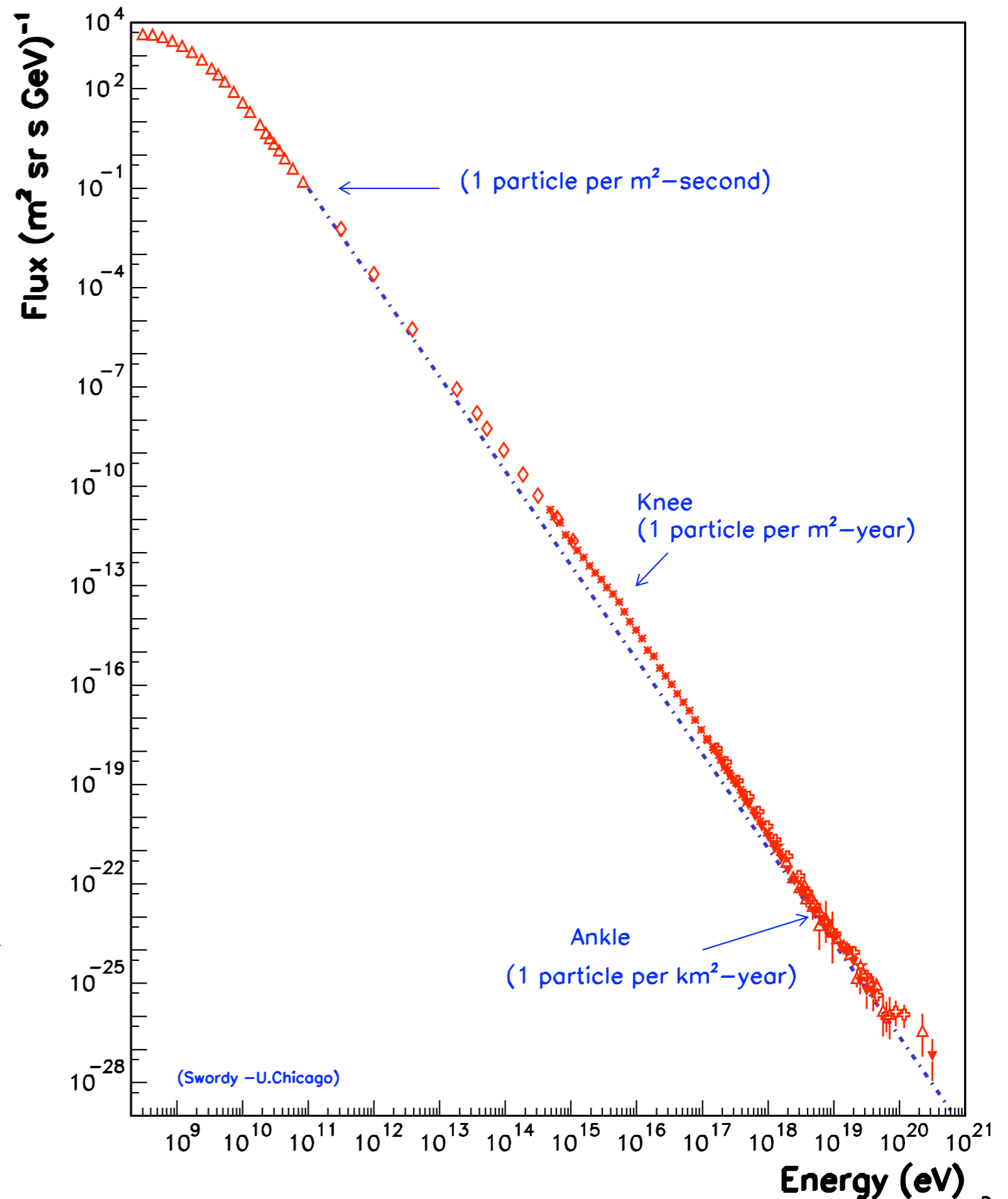
# Flux of cosmic rays

Flux follows power law

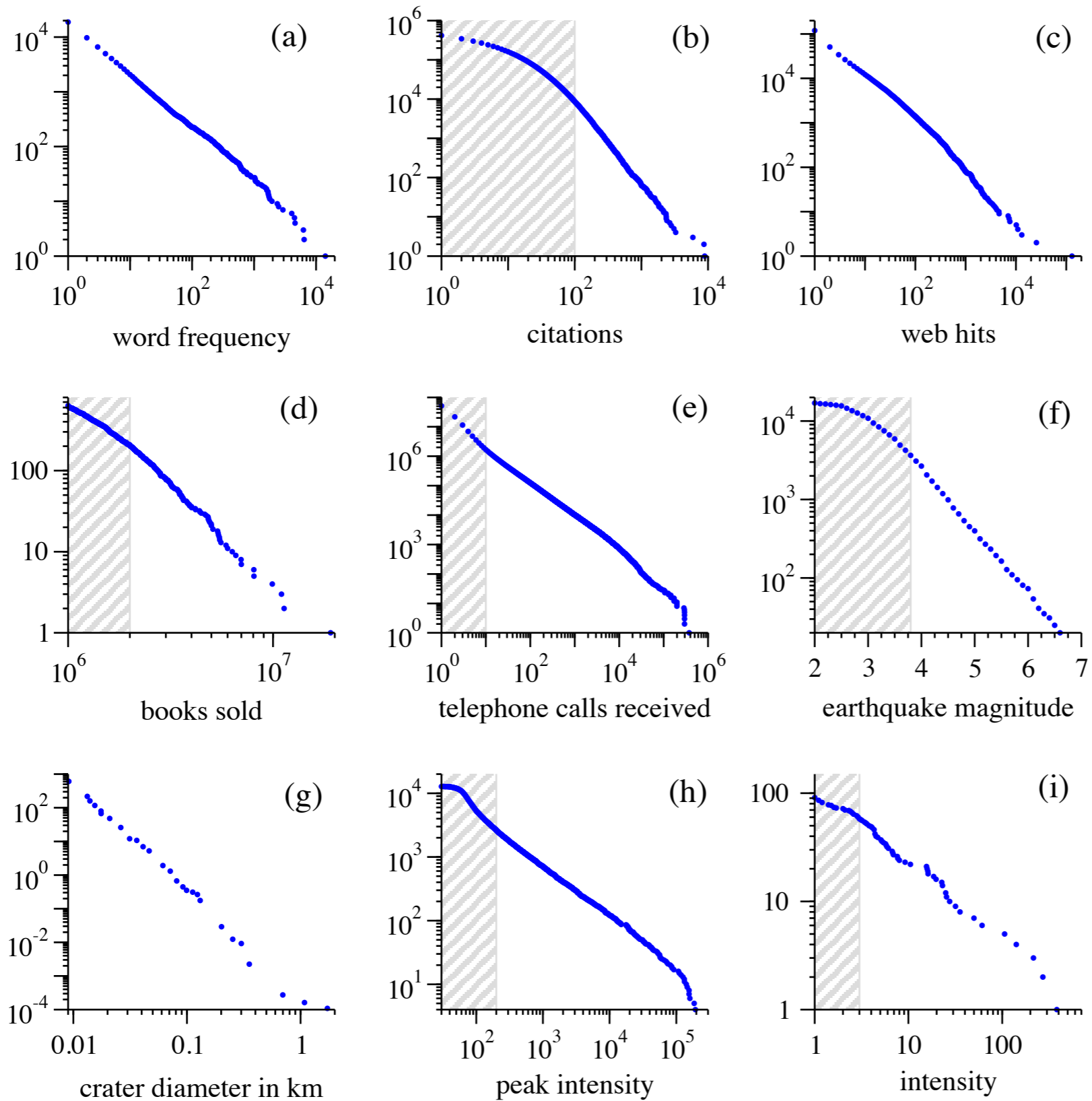
$$\frac{dN}{dE d\Omega dA dt} \propto E^{-\gamma}$$

$$\begin{aligned} \gamma &\approx 2.7 & 10^{11} \text{ eV} < E < 10^{15.5} \text{ eV} \\ &\approx 3.1 & 10^{15.5} \text{ eV} < E < 10^{18.5} \text{ eV} \end{aligned}$$

Energy spectrum of all-particle flux



# Power laws are common in nature (i)



(M. Newman cond-mat/0412004)

# Power laws are common in nature (ii)

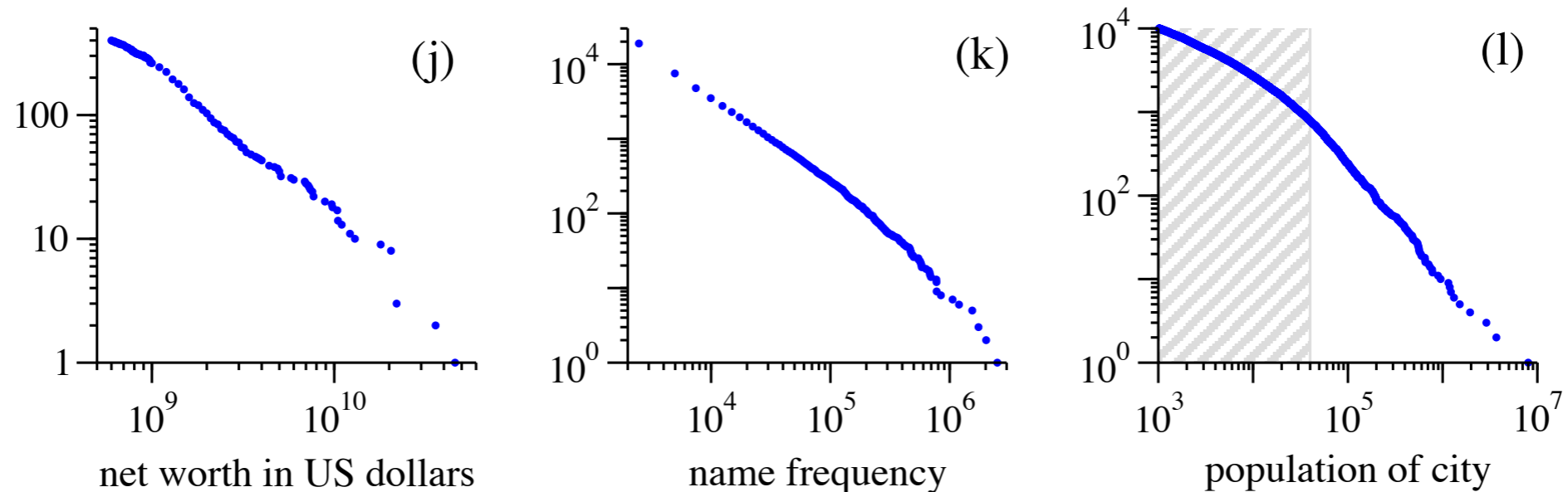


FIG. 4 Cumulative distributions or “rank/frequency plots” of twelve quantities reputed to follow power laws. The distributions were computed as described in Appendix A. Data in the shaded regions were excluded from the calculations of the exponents in Table I. Source references for the data are given in the text. (a) Numbers of occurrences of words in the novel *Moby Dick* by Hermann Melville. (b) Numbers of citations to scientific papers published in 1981, from time of publication until June 1997. (c) Numbers of hits on web sites by 60 000 users of the America Online Internet service for the day of 1 December 1997. (d) Numbers of copies of bestselling books sold in the US between 1895 and 1965. (e) Number of calls received by AT&T telephone customers in the US for a single day. (f) Magnitude of earthquakes in California between January 1910 and May 1992. Magnitude is proportional to the logarithm of the maximum amplitude of the earthquake, and hence the distribution obeys a power law even though the horizontal axis is linear. (g) Diameter of craters on the moon. Vertical axis is measured per square kilometre. (h) Peak gamma-ray intensity of solar flares in counts per second, measured from Earth orbit between February 1980 and November 1989. (i) Intensity of wars from 1816 to 1980, measured as battle deaths per 10 000 of the population of the participating countries. (j) Aggregate net worth in dollars of the richest individuals in the US in October 2003. (k) Frequency of occurrence of family names in the US in the year 1990. (l) Populations of US cities in the year 2000.

# Power laws are common in nature (ii)

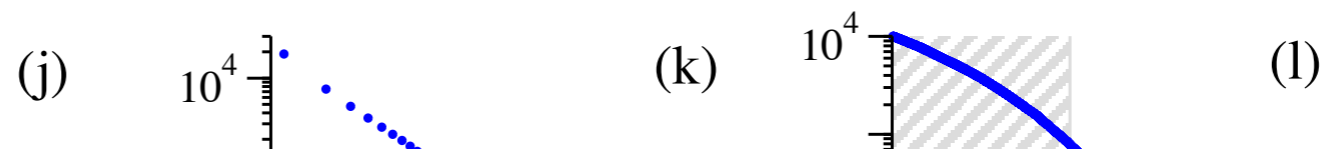
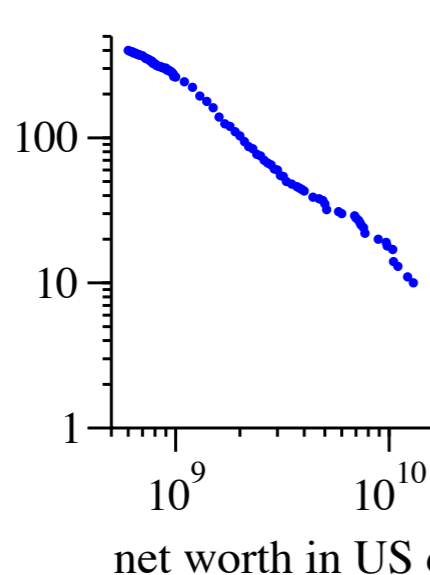
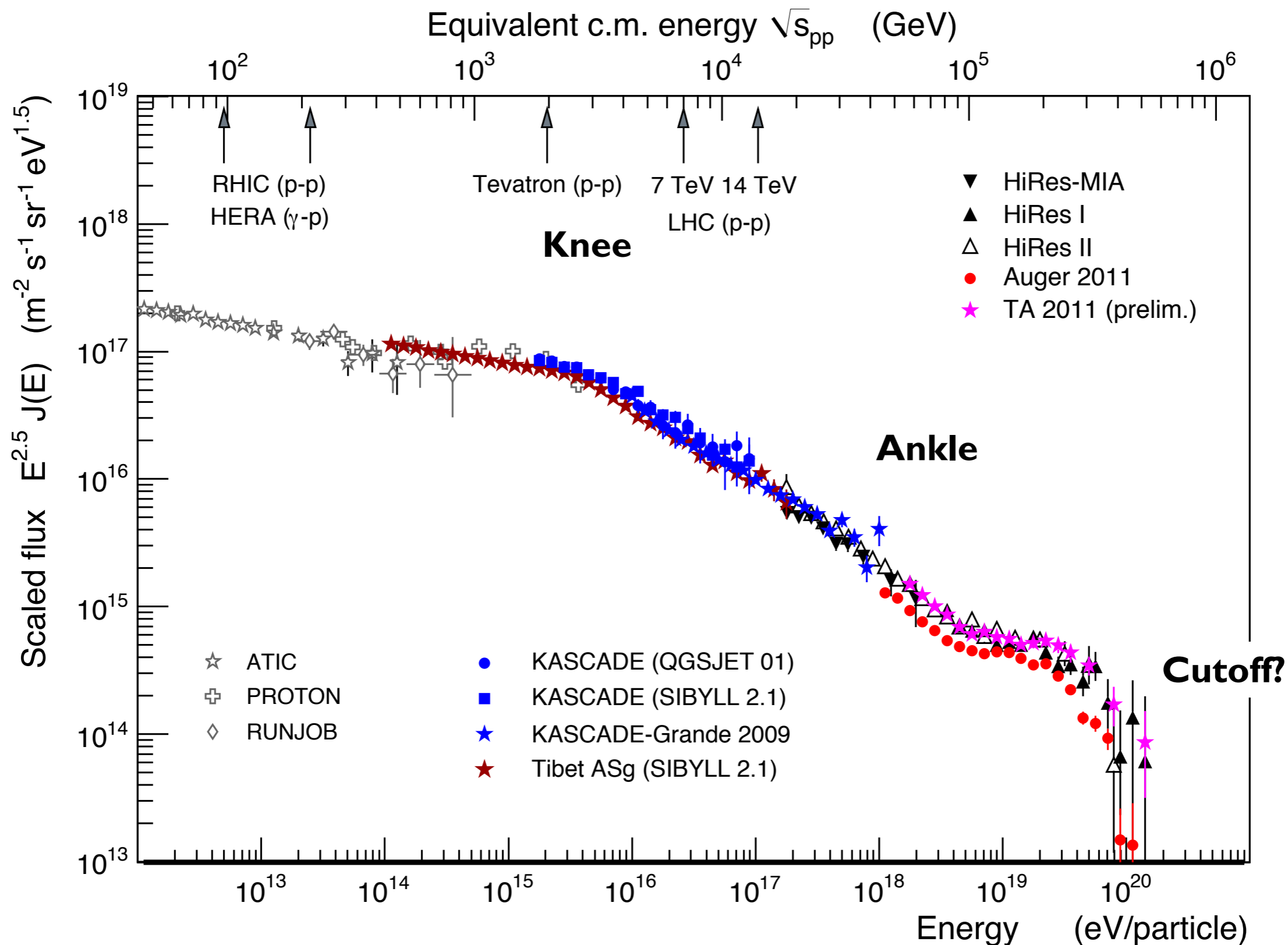


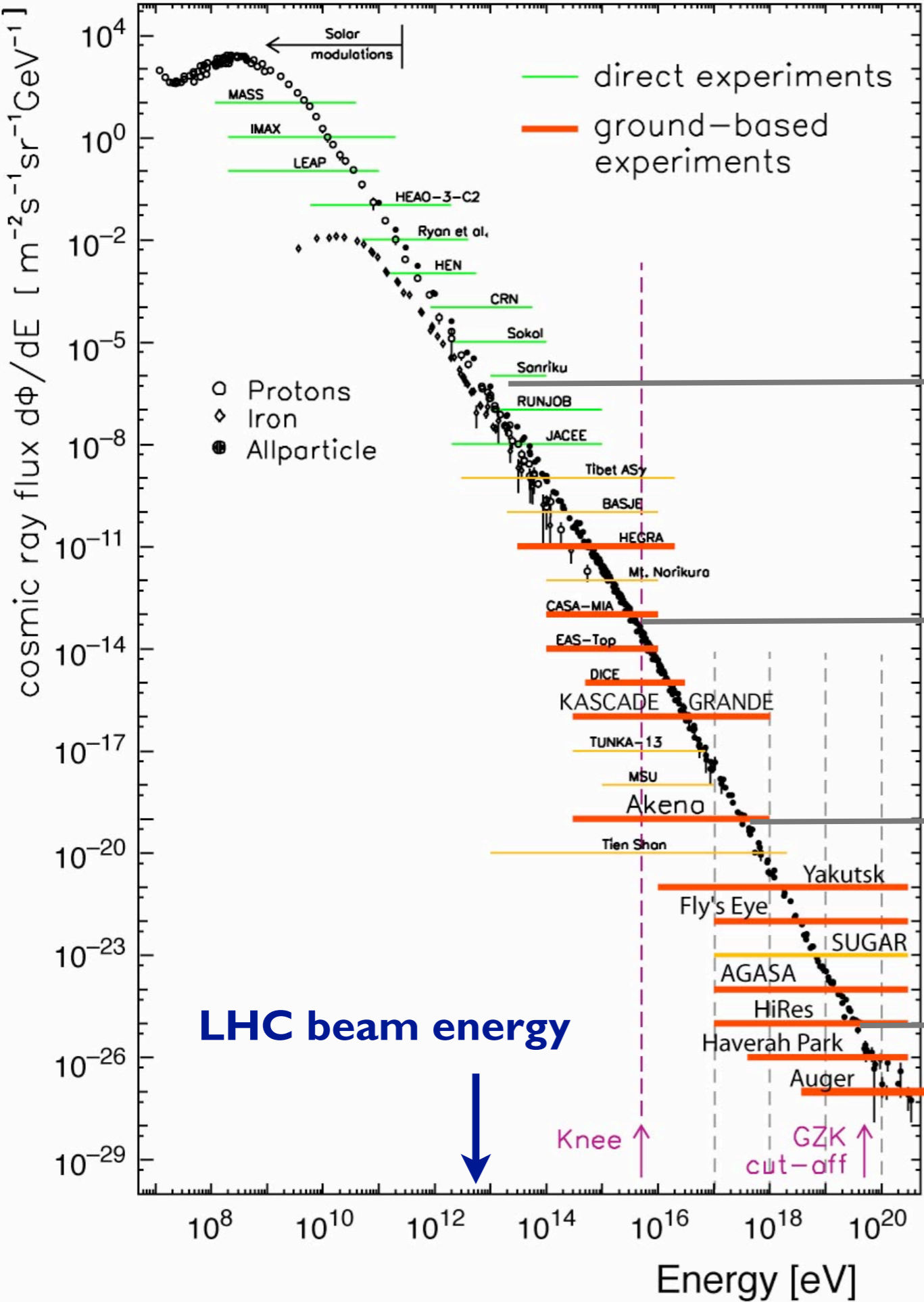
FIG. 4 Cumulative distributions or “rank-size” plots were computed as described in Appendix A. Source references for the data are given in Table I. (a) Number of words used by Hermann Melville. (b) Numbers of citations to papers published in 1997. (c) Numbers of hits on web sites. (d) Numbers of copies of bestselling books. (e) Number of telephone customers in the US for a single telephone number. (f) Magnitude is proportional to the logarithm of the energy released. (g) Diameter of moon craters. (h) Peak gamma-ray intensity of solar flares in November 1980 and November 1989. (i) Intensity of wars. (j) Aggregate net worth of Americans. (k) Frequency of family names. (l) Population of US cities.

quantity	minimum $x_{\min}$	exponent $\alpha$
(a) frequency of use of words	1	2.20(1)
(b) number of citations to papers	100	3.04(2)
(c) number of hits on web sites	1	2.40(1)
(d) copies of books sold in the US	2 000 000	3.51(16)
(e) telephone calls received	10	2.22(1)
(f) magnitude of earthquakes	3.8	3.04(4)
(g) diameter of moon craters	0.01	3.14(5)
(h) intensity of solar flares	200	1.83(2)
(i) intensity of wars	3	1.80(9)
(j) net worth of Americans	\$600m	2.09(4)
(k) frequency of family names	10 000	1.94(1)
(l) population of US cities	40 000	2.30(5)

# Re-scaled flux: several breaks in power law



# Rate of particles



0.1 particles / m<sup>2</sup> min.

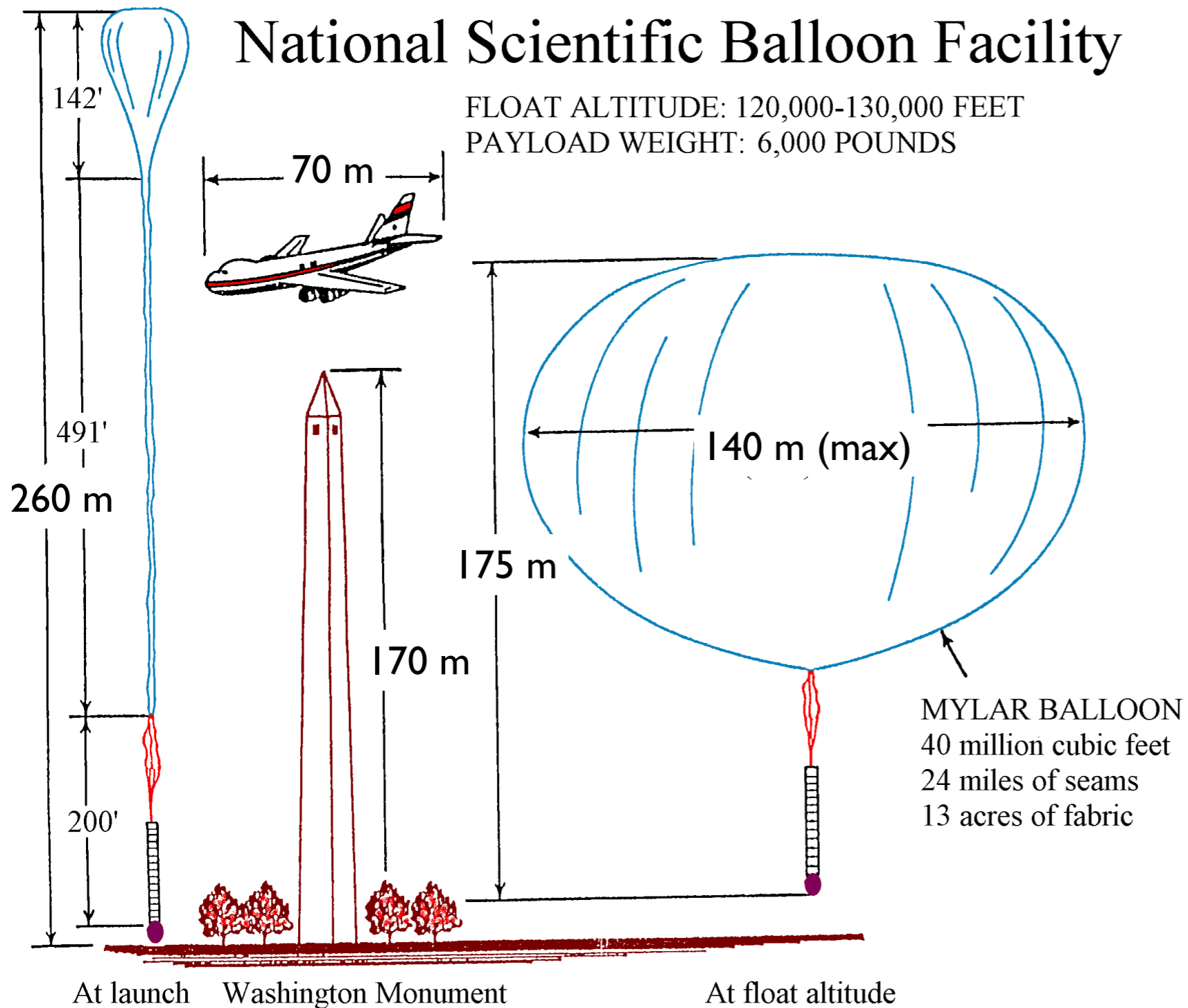
10 particles / km<sup>2</sup> min.

10 particles / km<sup>2</sup> day

10 particles / km<sup>2</sup> century



# Long-duration balloon flights

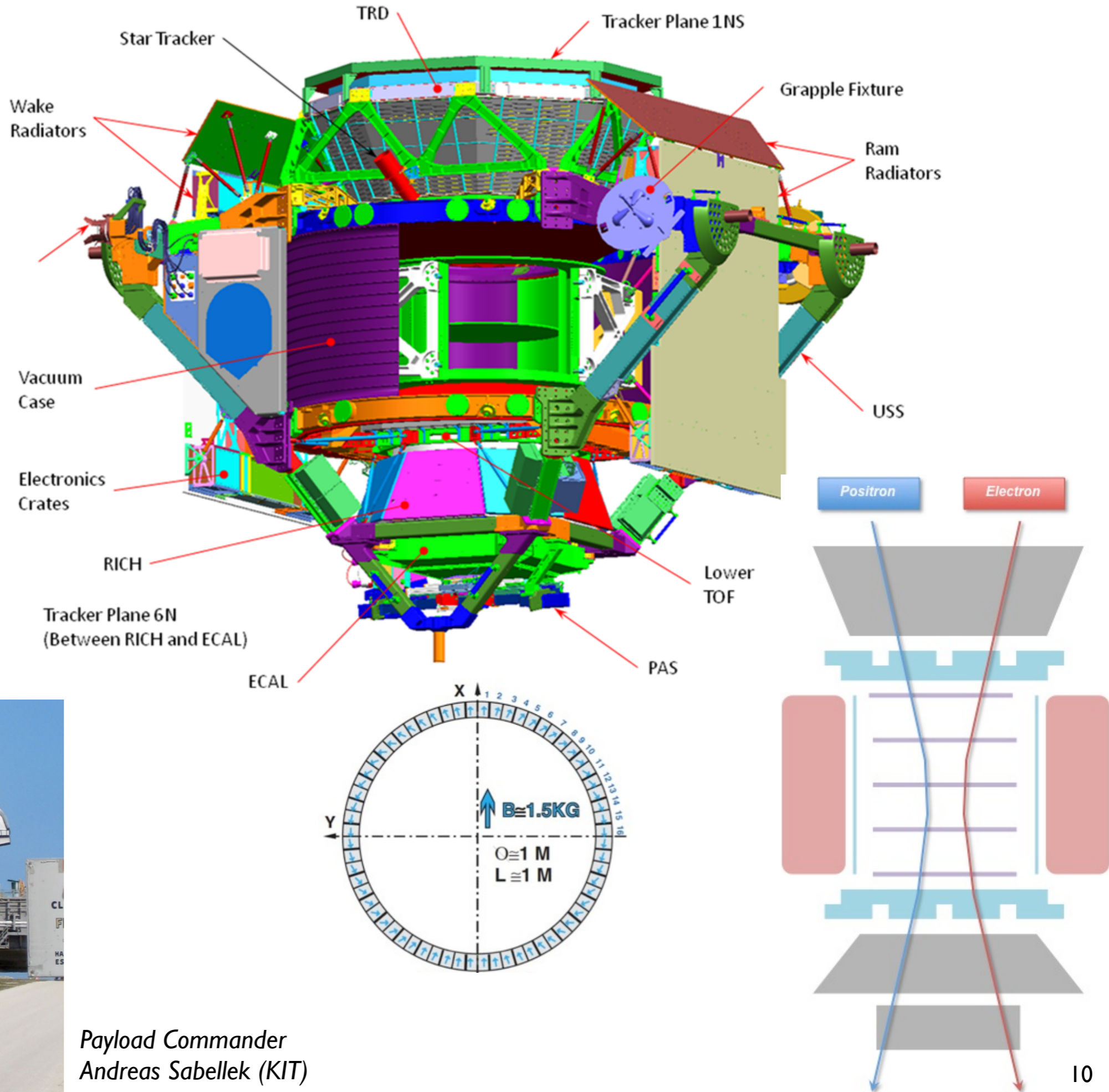


Flight altitude 30-35 km



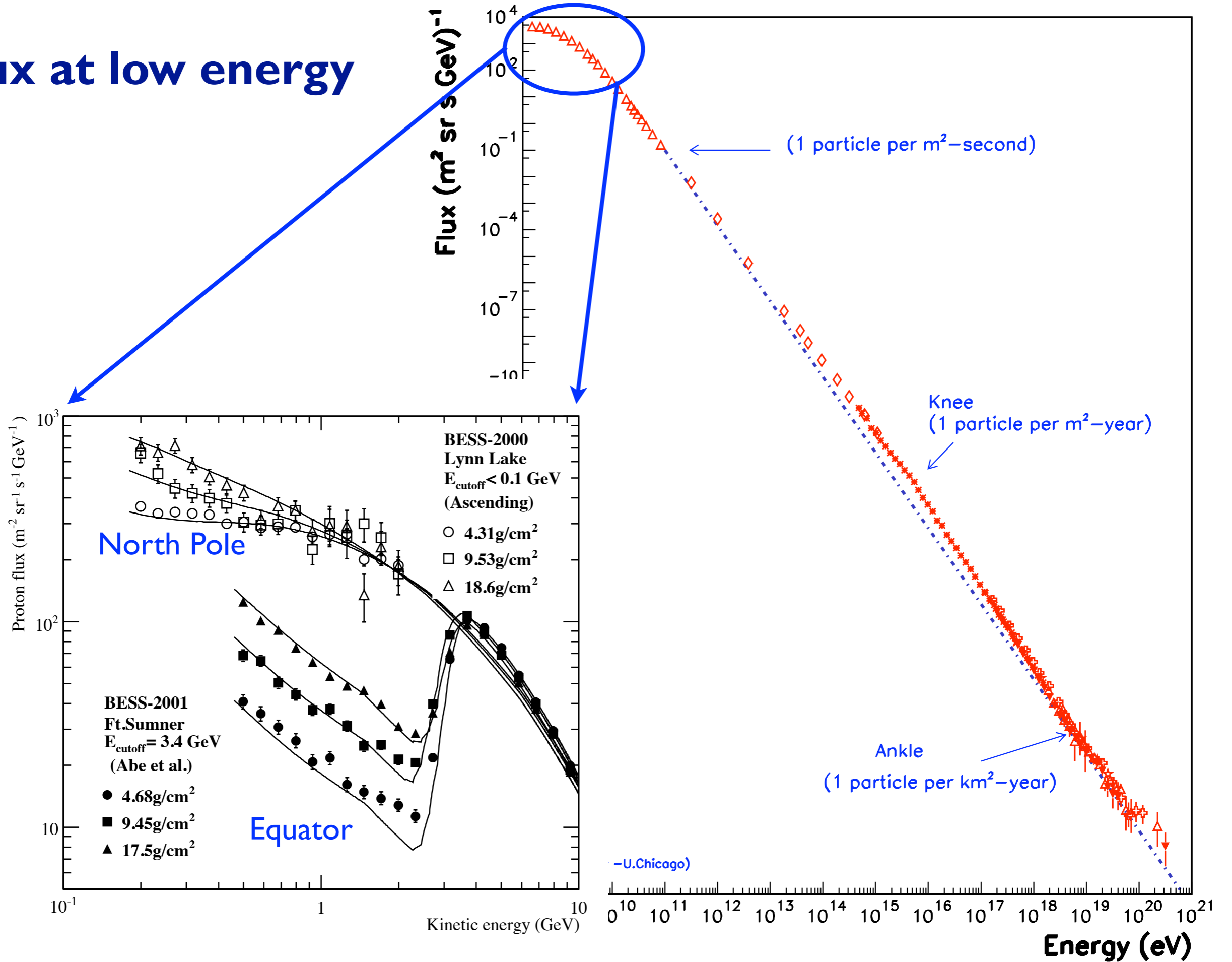
# AMS 02

Launch: May 16, 2011

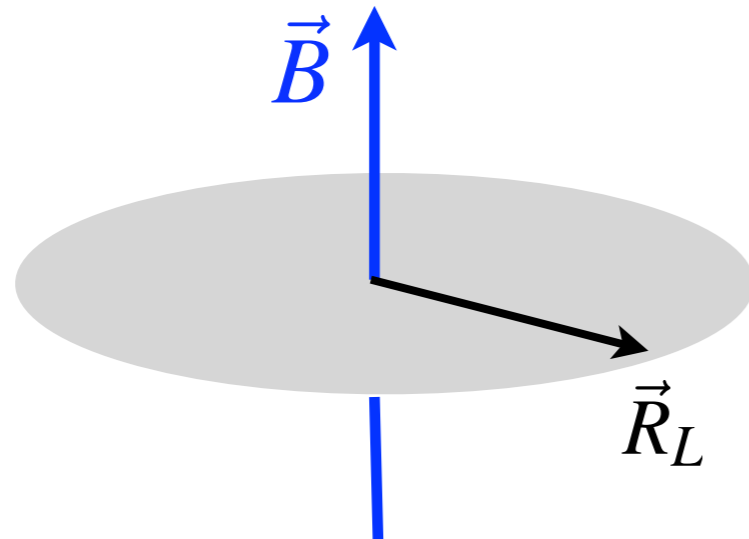


Payload Commander  
Andreas Sabellek (KIT)

# Flux at low energy



# Estimate of radius of curvature of trajectory



$$R_L = \frac{p}{ZeB}$$

Lorentz force  $F_L = qvB$

Inertial force  $F_F = m \frac{v^2}{R_L}$

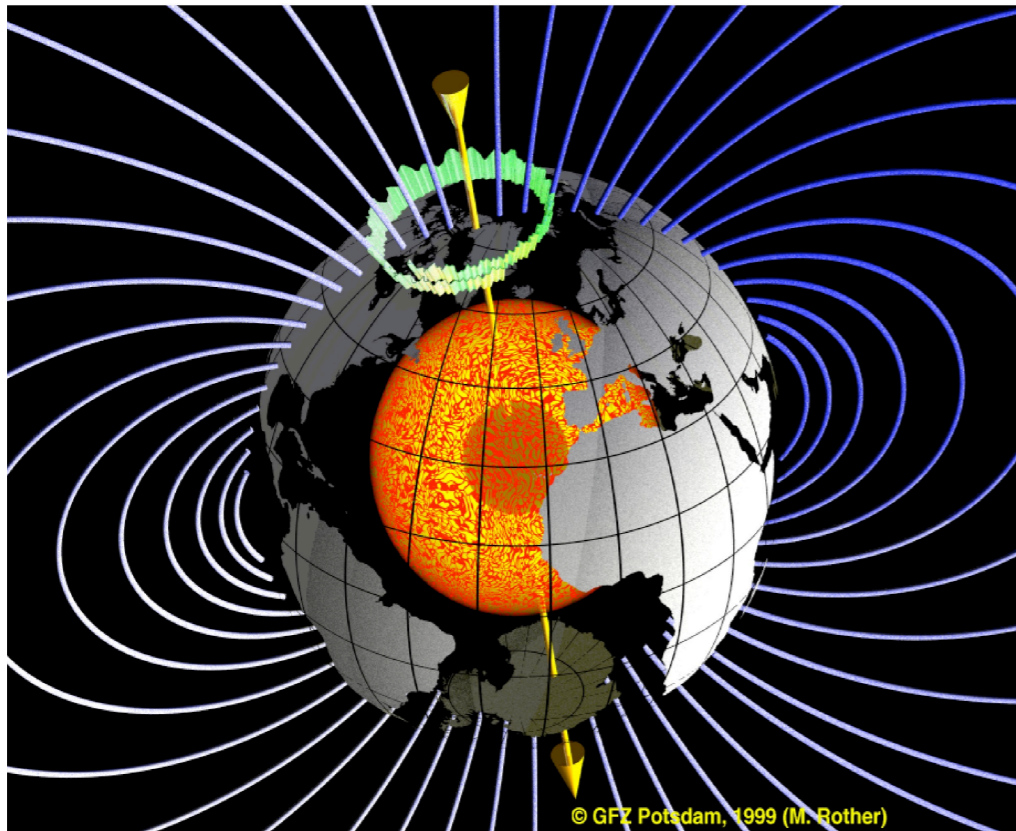
Charge  $q = Ze$

Momentum  $p = mv \longrightarrow E/c$   
relativistic limit

**Rigidity**

$$R = \frac{p}{Ze}$$

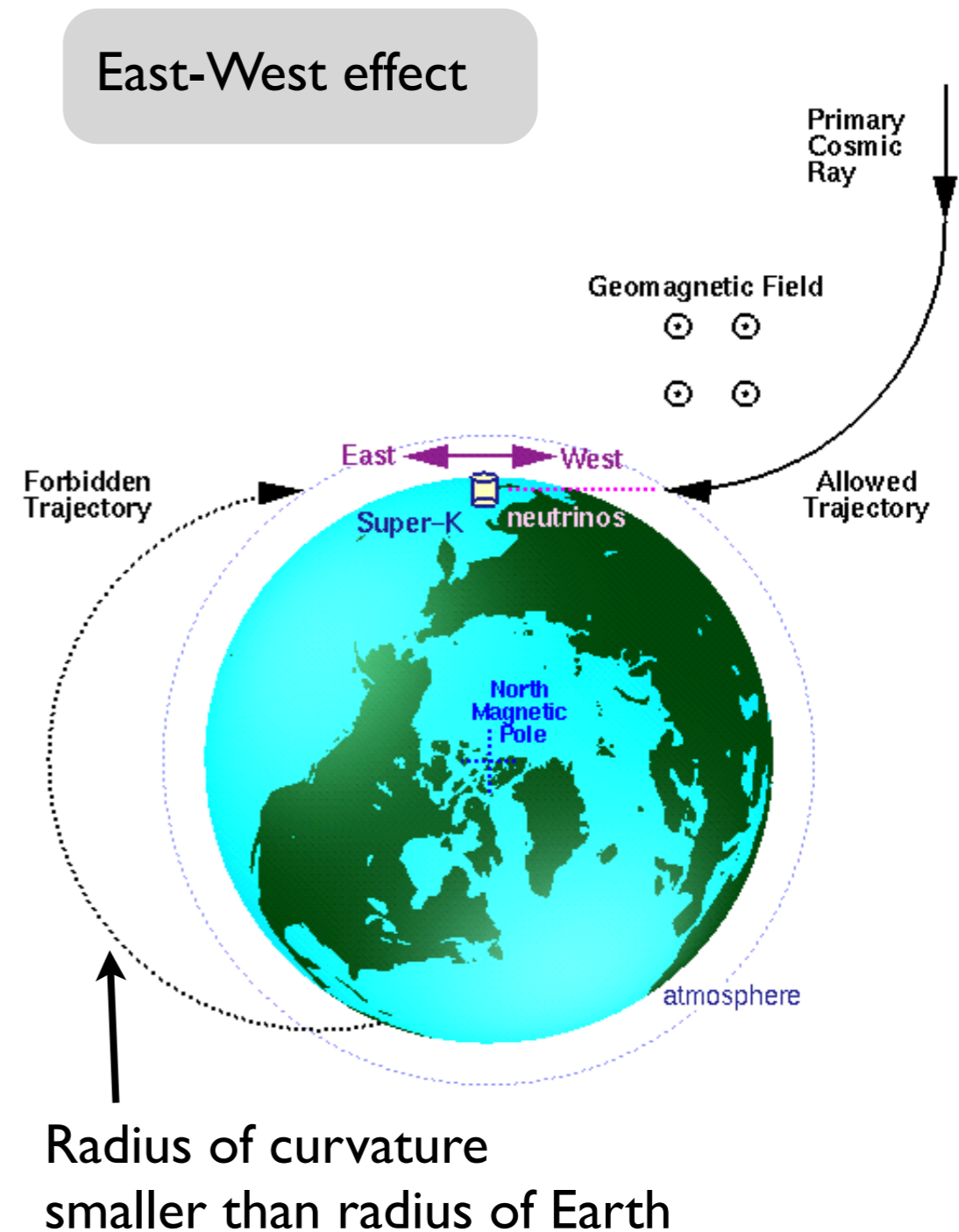
# Geomagnetic cutoff and East-West effect



Earth's magnetic field

Vicinity of poles:  $B \approx 60 \mu\text{T}$   
 Equator:  $B \approx 30 \mu\text{T}$

$$R_L = 3 \times 10^3 \left( \frac{E}{\text{GeV}} \right) \left( \frac{\mu\text{T}}{ZB} \right) \text{ km}$$



# Particles below geomagnetic cutoff

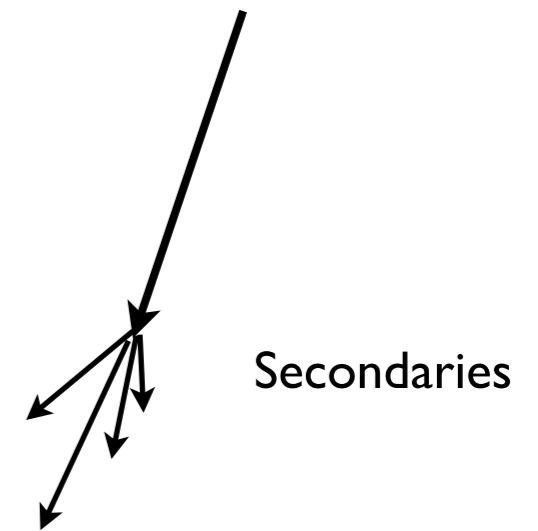
Measurement in upper atmosphere



Particle detector

Remaining atmosphere  
above detector ( $5 \text{ g/cm}^2$ )

Particle with energy  
greater than cutoff



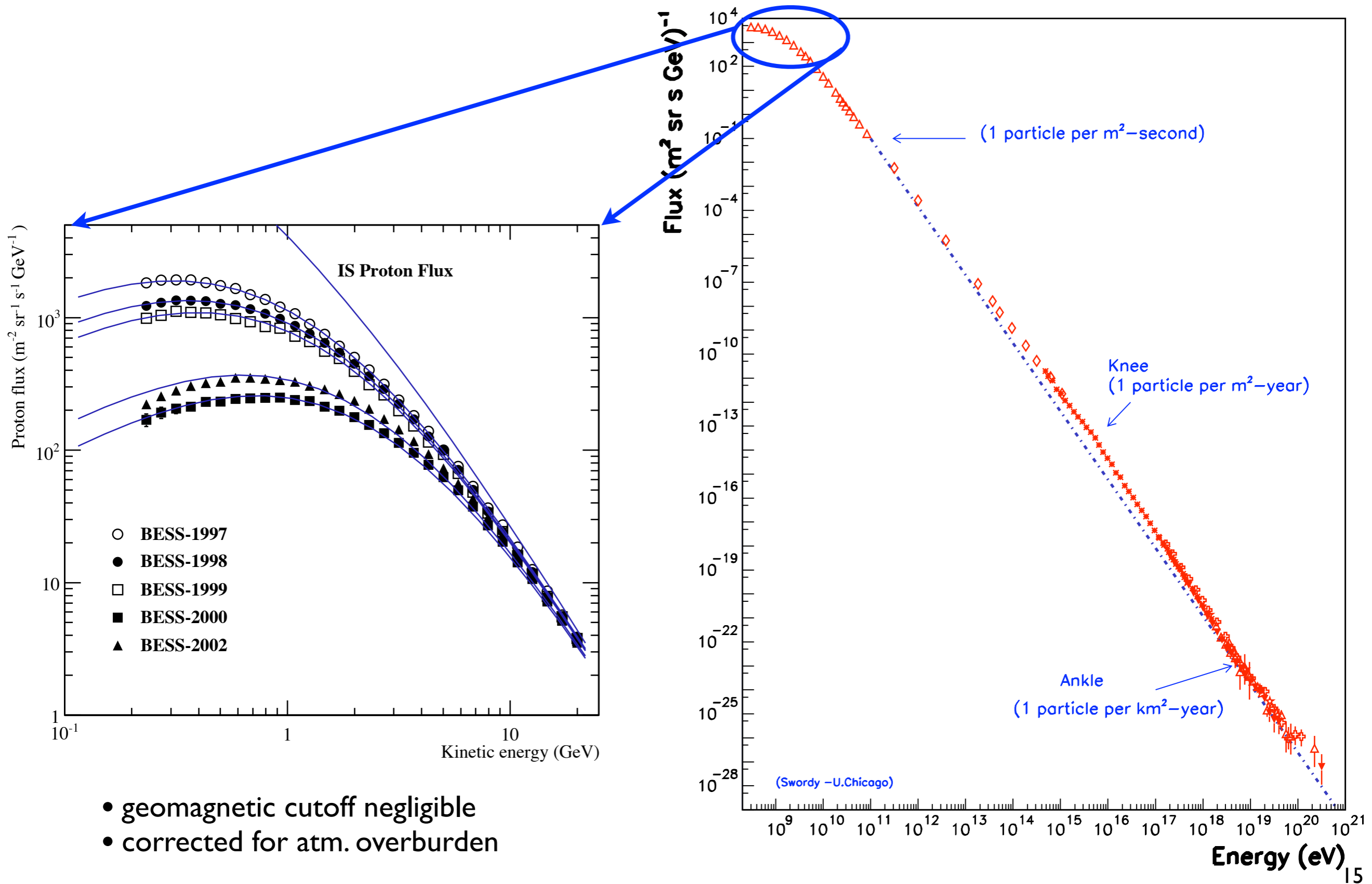
Particle detector

Traversed  
column depth

$$X = \int_h^{\infty} \rho(h) dl$$

Total atmosphere (vertical)  $X_{atm} \approx 1030 \text{ g/cm}^2$

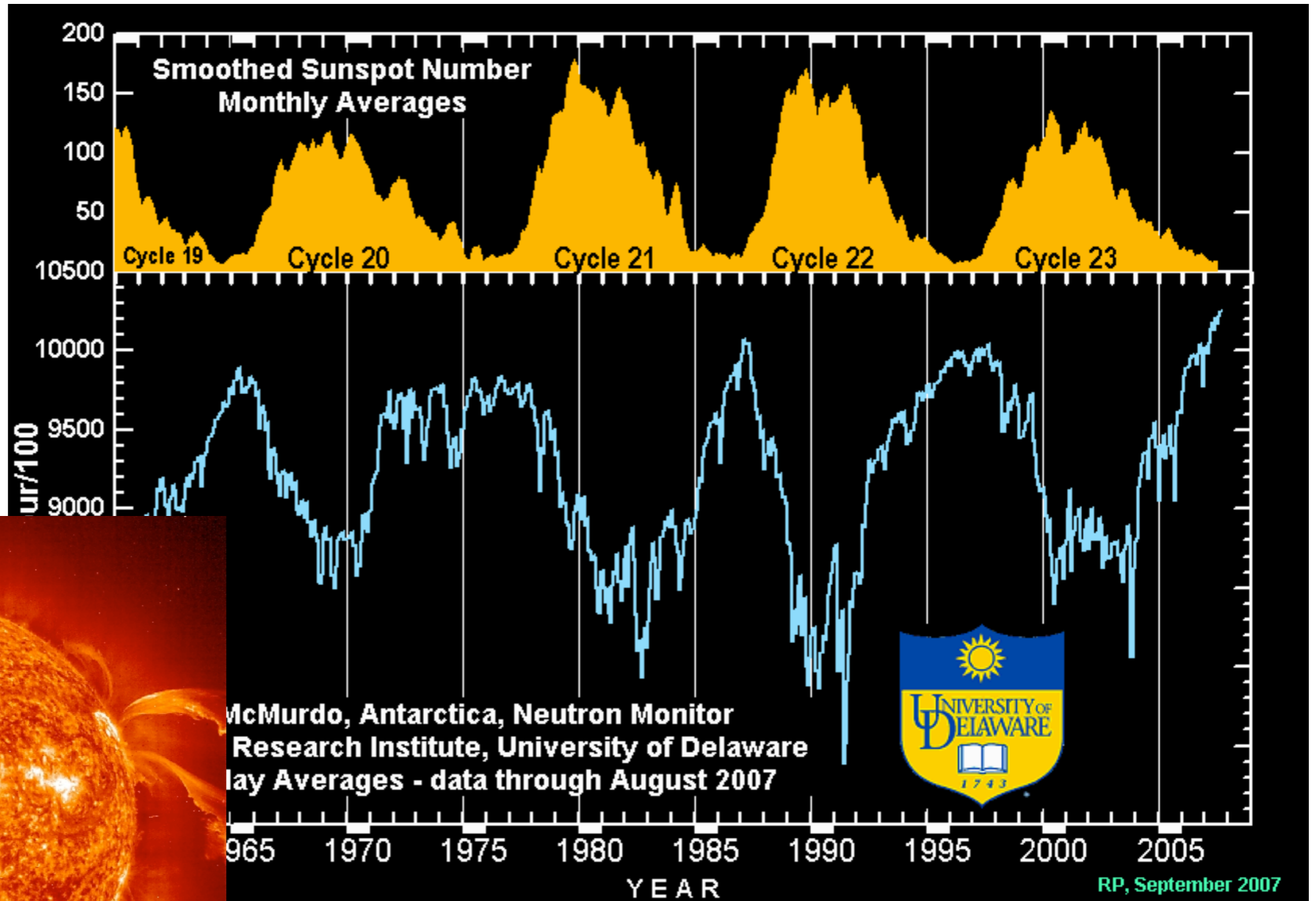
# Temporal variation of flux at poles



- geomagnetic cutoff negligible
- corrected for atm. overburden

# Anti-Correlation with solar activity

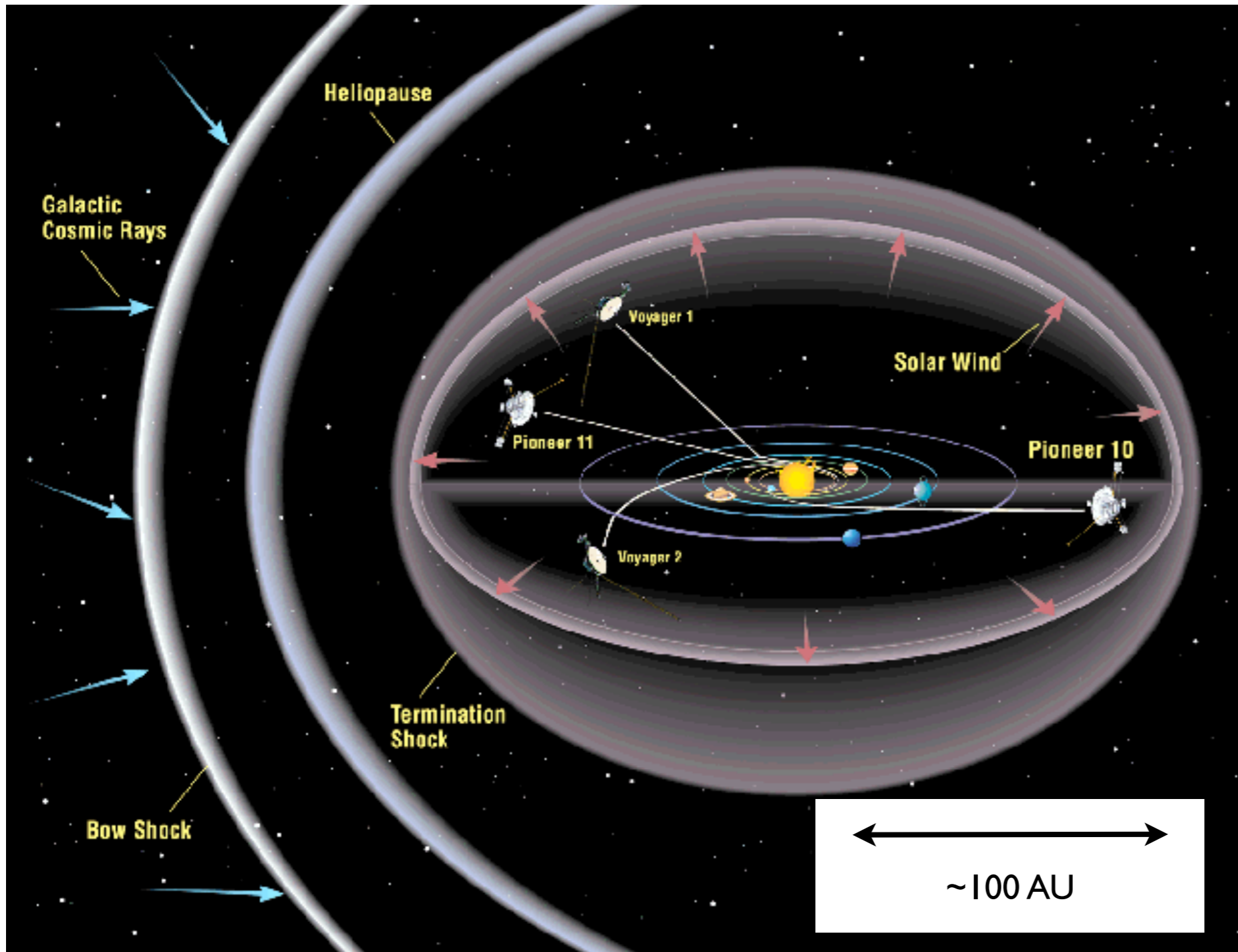
Flux of cosmic rays at poles



Differential rotation of sun: reversal of mag. field every 11 years (full period 22 years)



# Solar modulation of cosmic ray flux



**Example:**  
Proton energy  
reduced by  
0.5 to 1 GeV after  
crossing Solar Wind

Sources not in  
solar system

Heliosphere

$$\Phi_{\text{Earth}}(E) = \frac{E^2 - m^2}{(E + Z \cdot V_{\text{pot}})^2 - m^2} \Phi_{\text{ISM}}(E + Z \cdot V_{\text{pot}})$$

# Fluxes of individual elements

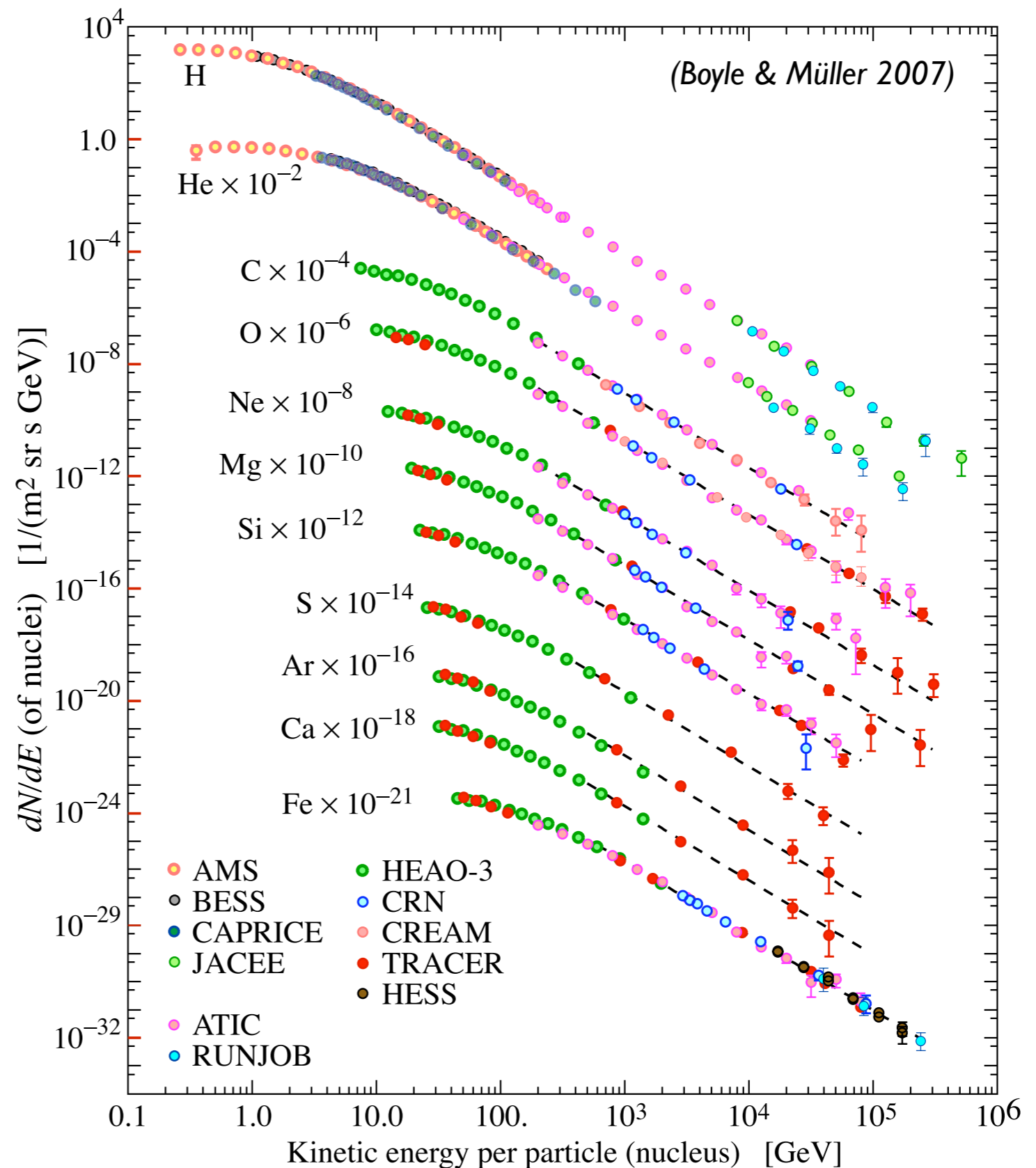
Power law also found  
for individual elements

Index of power law almost  
identical (heavier elements  
harder spectra?)

## Relative abundance of nuclei

H : He : Z= 6-9 : 10-20 : 21-30

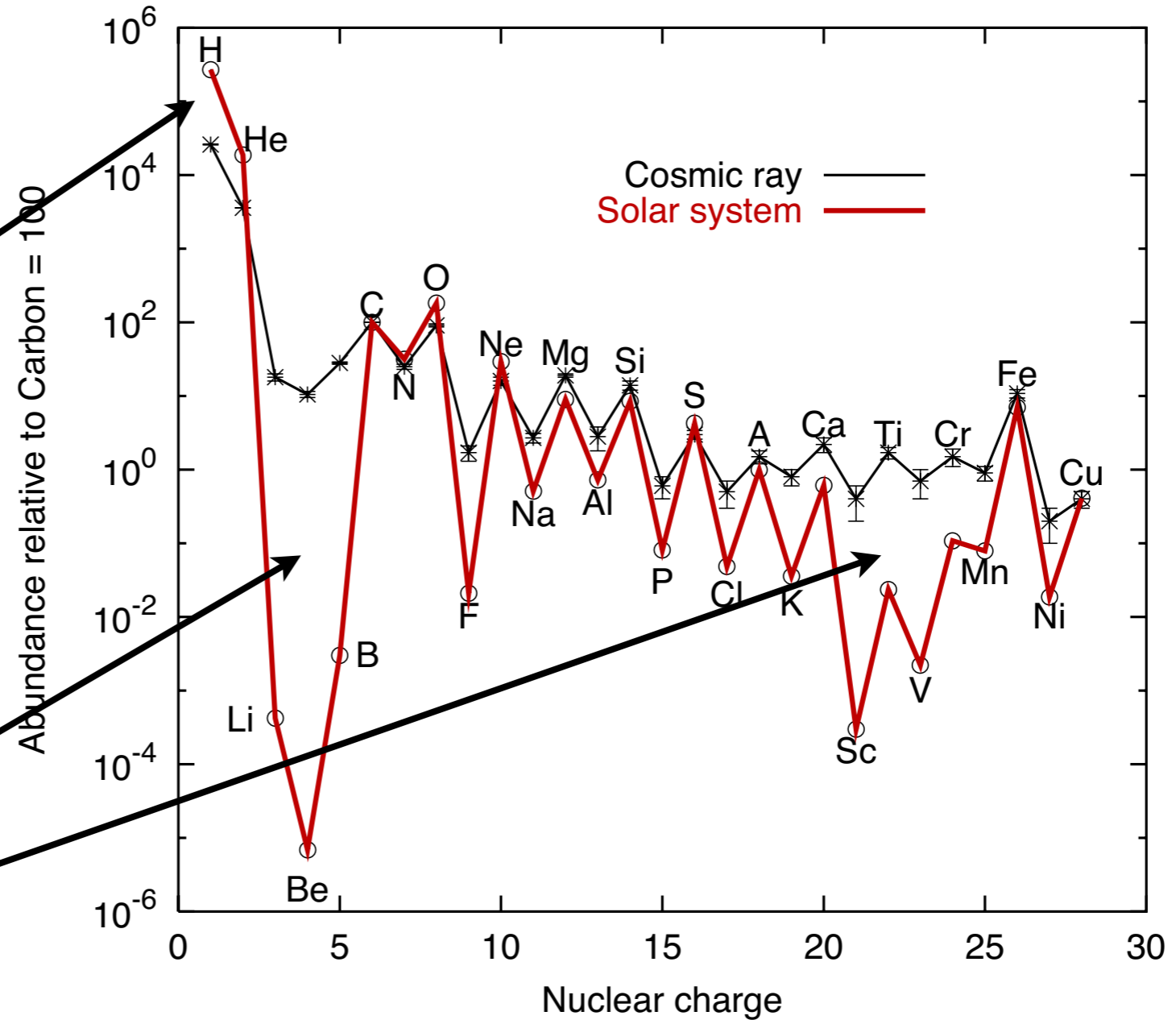
I : 0.38 : 0.22 : 0.15 : 0.4



# Comparison of element abundances

Flux of elements at  $\sim 1$  GeV

Nuclear abundance: cosmic rays compared to solar system

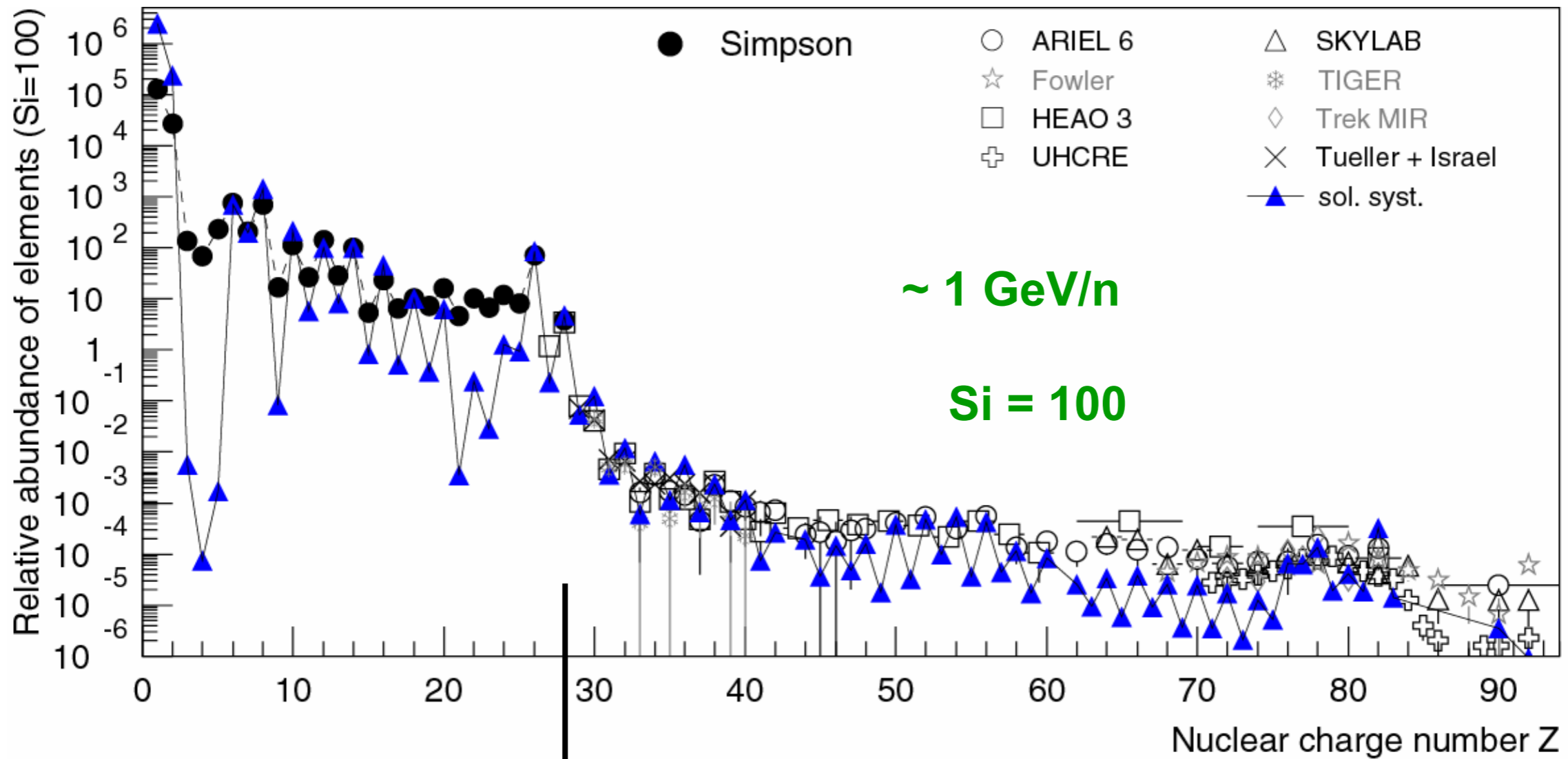


Discrepancy for hydrogen:  
first ionization potential (FIP)?

Not typical products of  
Supernova explosions

(Gaisser & Stanev, NPA 2006)

# What about heavy elements ?



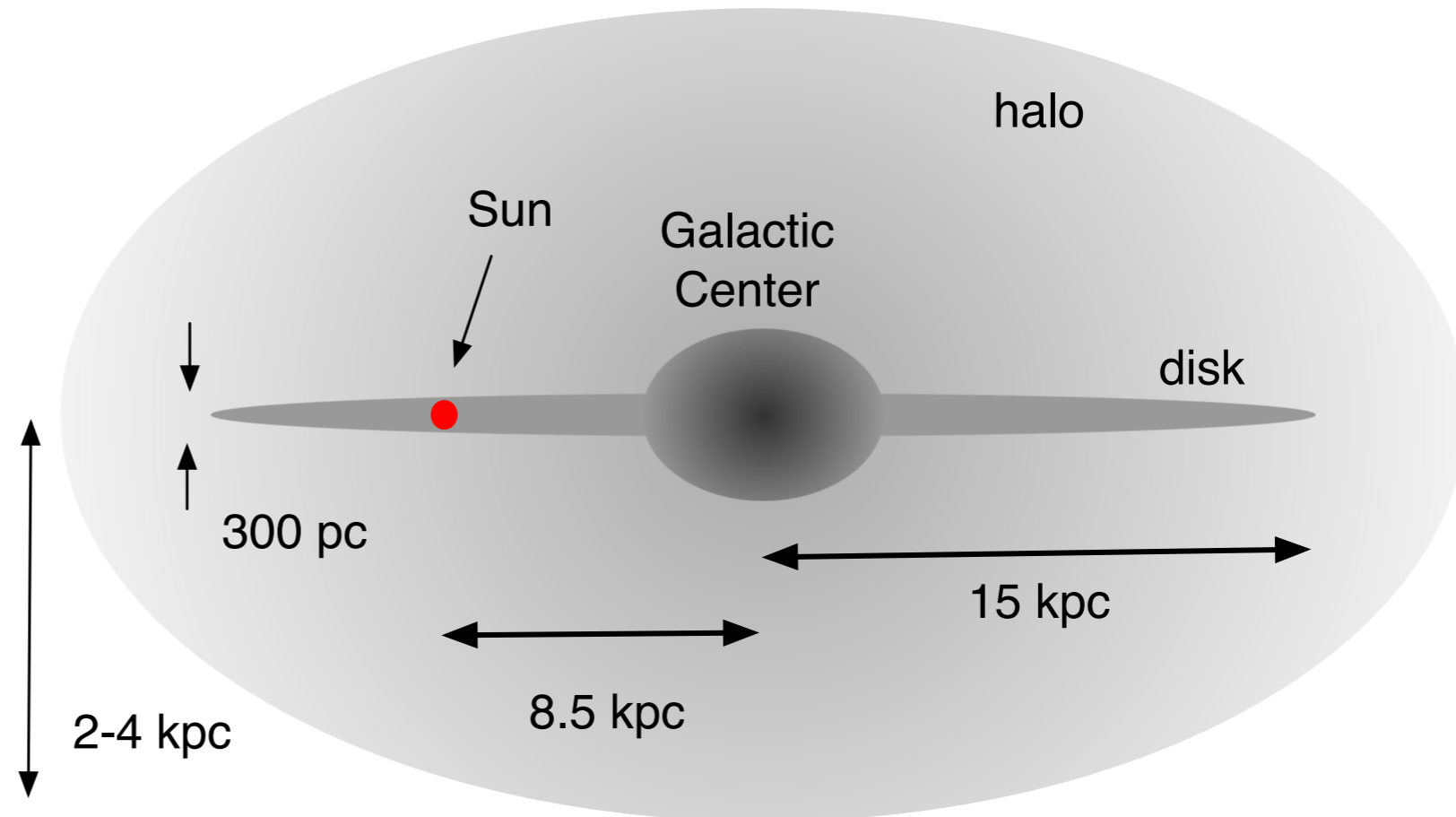
Elements heavier than (Z=26) and Nickel (Z=28)  
hardly produced in SN explosions

# Galaxy and galactic magnetic fields



(Andromeda, M31)

$$1 \text{ pc} = 3.26 \text{ ly} = 3.08 \cdot 10^{16} \text{ m}$$



Magnetic field not well known,  
 $B = 3 \mu\text{G} = 30 \text{ nT}$  close to Solar System

$$R_L \simeq 1 \text{ pc} \times \left( \frac{E}{10^{15} \text{ eV}} \right) \left( \frac{\mu\text{G}}{ZB} \right)$$

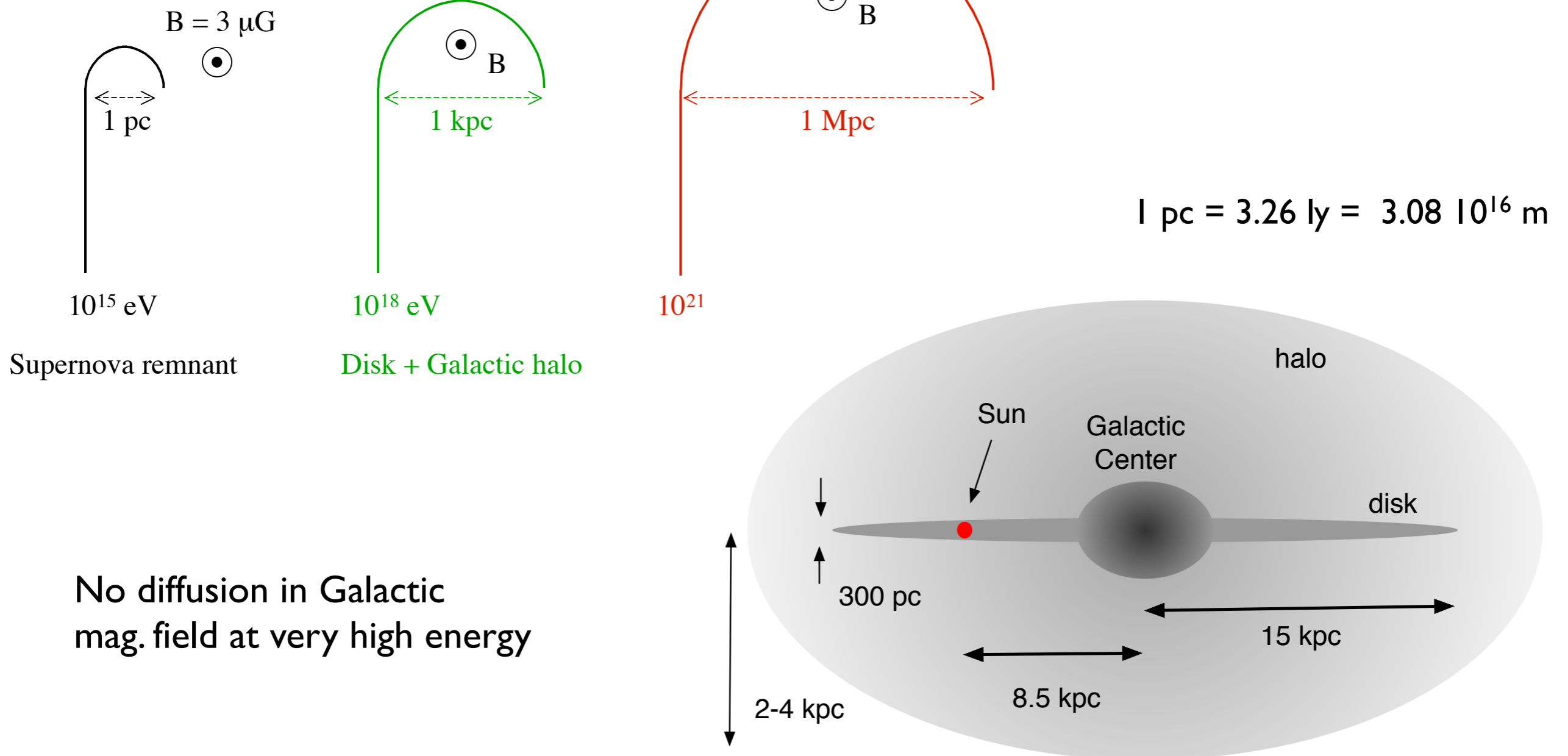
Diffusion: distance scales  $\sim (\text{time})^2$



Extragalactic sources unlikely

# Galactic and extragalactic sources

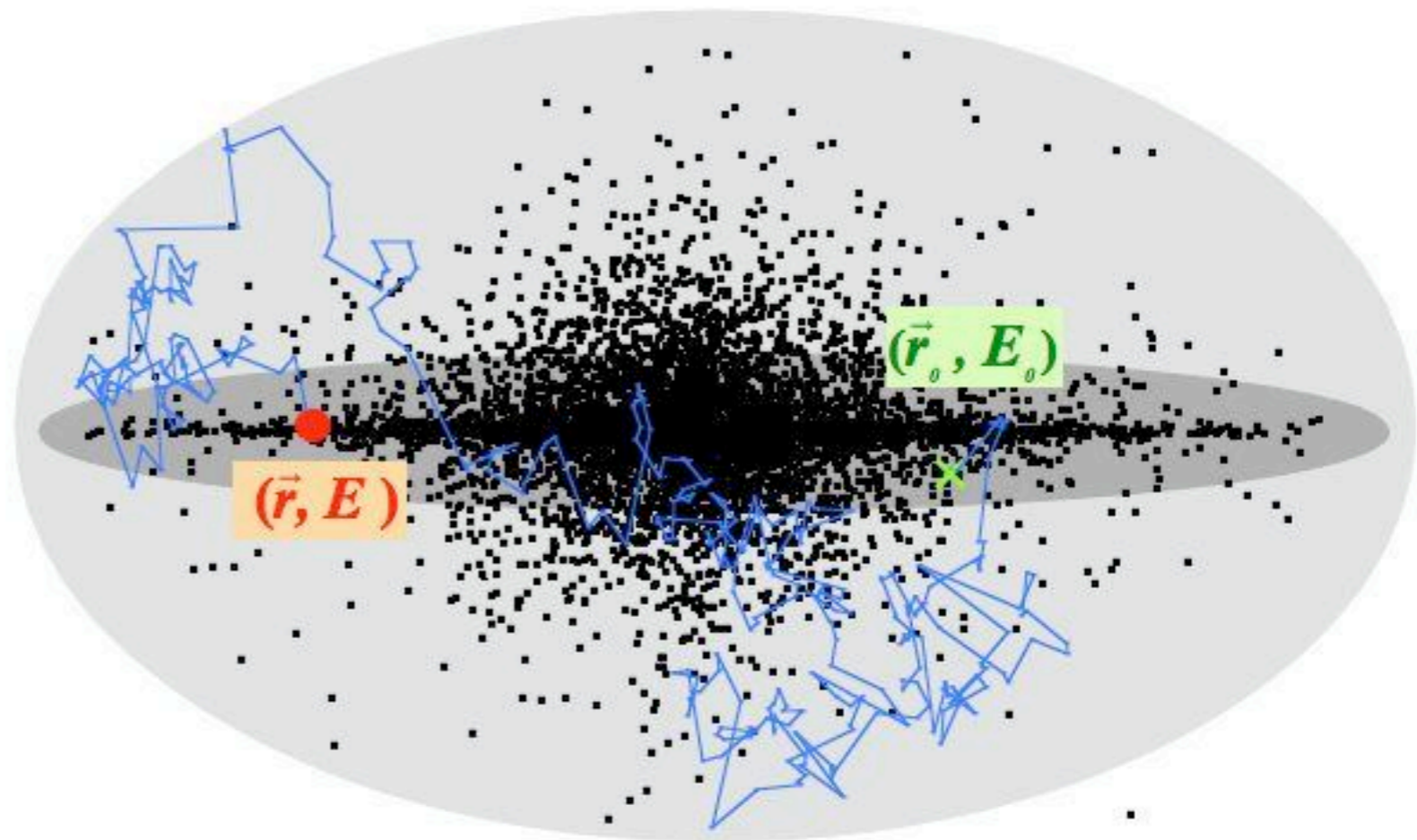
- Galactic magnetic field:  $\sim 3 \mu\text{G}$  ( $3 \cdot 10^{-10} \text{ T}$ )
- Gyroradius:



# Galaxy and galactic magnetic fields



(Andromeda, M31)



$B = 3 \mu\text{G} = 30 \text{ nT}$  close to Solar System

$$R_L \simeq 1 \text{ pc} \times \left( \frac{E}{10^{15} \text{ eV}} \right) \left( \frac{\mu\text{G}}{ZB} \right)$$

Diffusion: distance scales  $\sim (\text{time})^2$



Extragalactic sources unlikely

# Supernova remnants

SN remnant 1006



20 pc

Distance ~ 2.2 kpc

## Observed galactic SN explosions:

- 1604 (Kepler)
- 1572 (Tycho)
- 1181 (Chinese astronomers)
- 1054 (Crab nebula)
- 1006 (Chinese and Arabian records)

## Estimates:

- ~3 SN explosions / 100 yrs
- Kinetic energy of ejecta:  $\sim 10^{51}$  erg

(1 erg = 0.1  $\mu$ J)

## General arguments:

- Rate and energy budget
- Acceleration theory
- Elemental composition



# Power needed to maintain cosmic ray flux

Assumption: entire galaxy homogeneously filled with cosmic rays

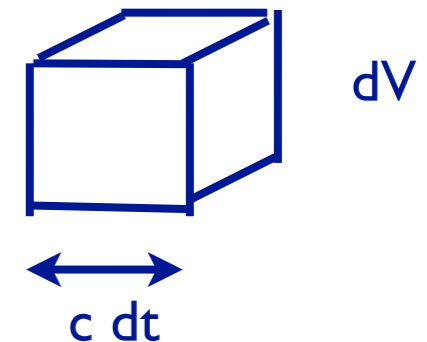
Density of particles for given flux

Isotropy  $\int d\Omega = 4\pi$

$$\frac{dN}{dE dV} = \frac{4\pi}{c} \frac{dN}{dE d\Omega dA dt}$$

Total cosmic ray energy

$$E_{\text{tot}} = \int dV \int dE E \cdot \frac{dN}{dE dV}$$



Mean escape time  $\tau_{\text{esc}} \approx 10^7 a$

$$P_{\text{src}} = E_{\text{tot}} / \tau_{\text{esc}} \approx 10^{41} \text{ erg/s}$$

*Power of cosmic ray sources*

$$P_{\text{SNR}} \approx 10^{42} \text{ erg/s}$$

*Kinetic energy released in SN explosions*

# COSMIC RAYS FROM SUPER-NOVAE

BY W. BAADE AND F. ZWICKY

MOUNT WILSON OBSERVATORY, CARNEGIE INSTITUTION OF WASHINGTON AND CALIFORNIA INSTITUTE OF TECHNOLOGY, PASADENA

Communicated March 19, 1934

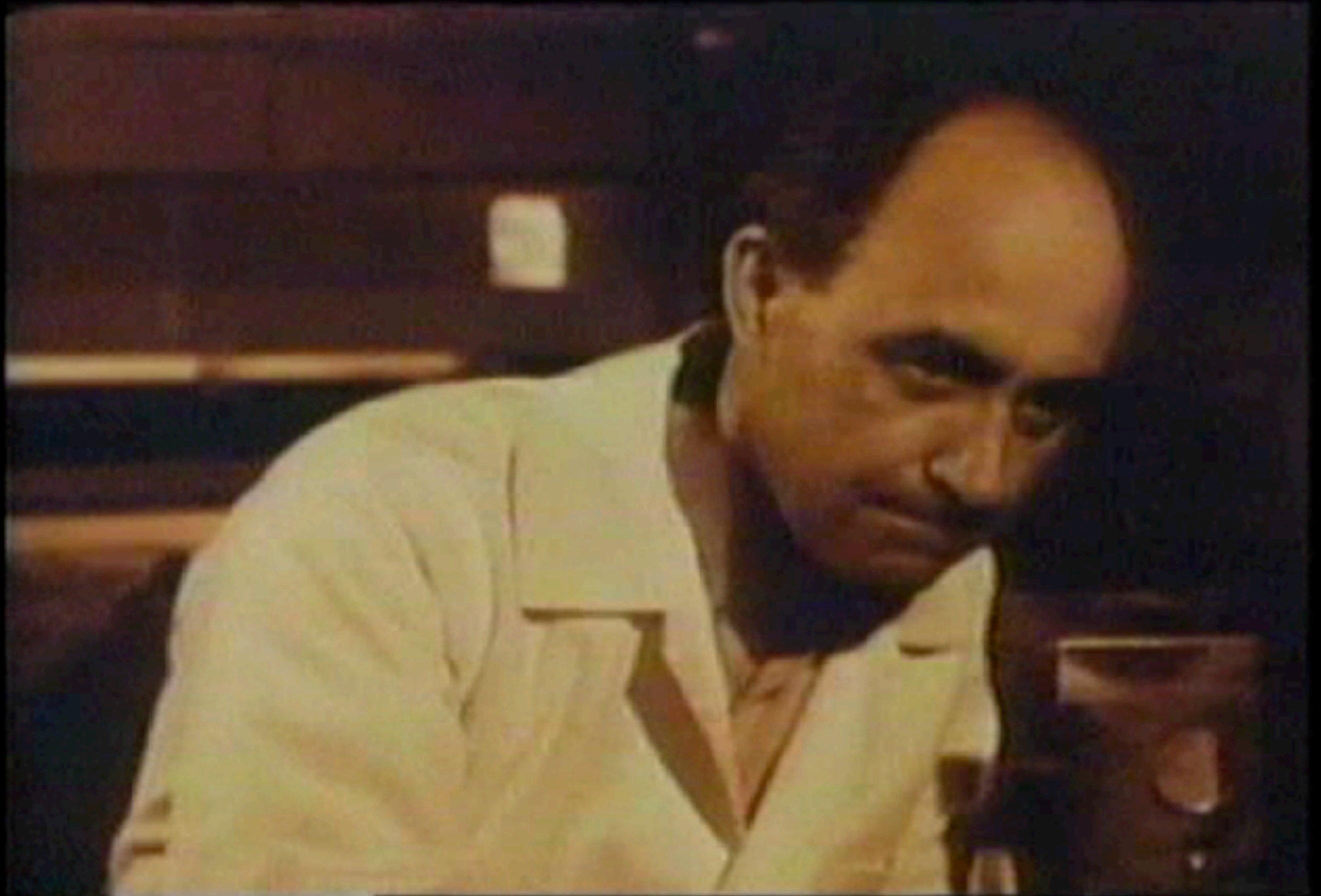
*A. Introduction.*—Two important facts support the view that cosmic rays are of extragalactic origin, if, for the moment, we disregard the possibility that the earth may possess a very high and self-renewing electrostatic potential with respect to interstellar space.

If interest in these questions still prevails at that future time, science will therefore be able to test the correctness of our hypothesis some time during the next thousand years or so, as the occurrence of a super-nova in our own system would multiply the intensity of the cosmic rays by a factor one thousand or more. It also seems quite possible to observe with cosmic-ray electrosopes the flare-up of a super-nova in one of the nearer extragalactic nebulae, as for them  $r = 1000 n$ , and

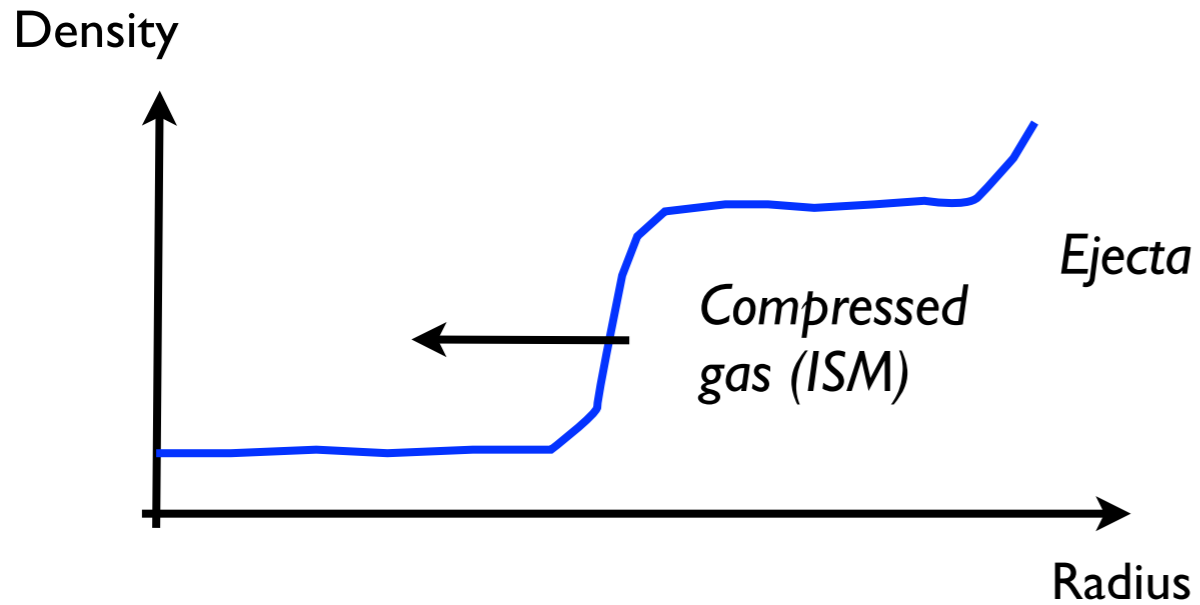
$$\Delta\sigma = 0.01/n^2 \text{ ergs/cm.}^2 \text{ sec.}, \quad (10)$$

where  $n$  is a number of the order one. It might in this connection be of interest to follow up the causes for Regener's<sup>4</sup> curious balloon observation of March 29, 1933.

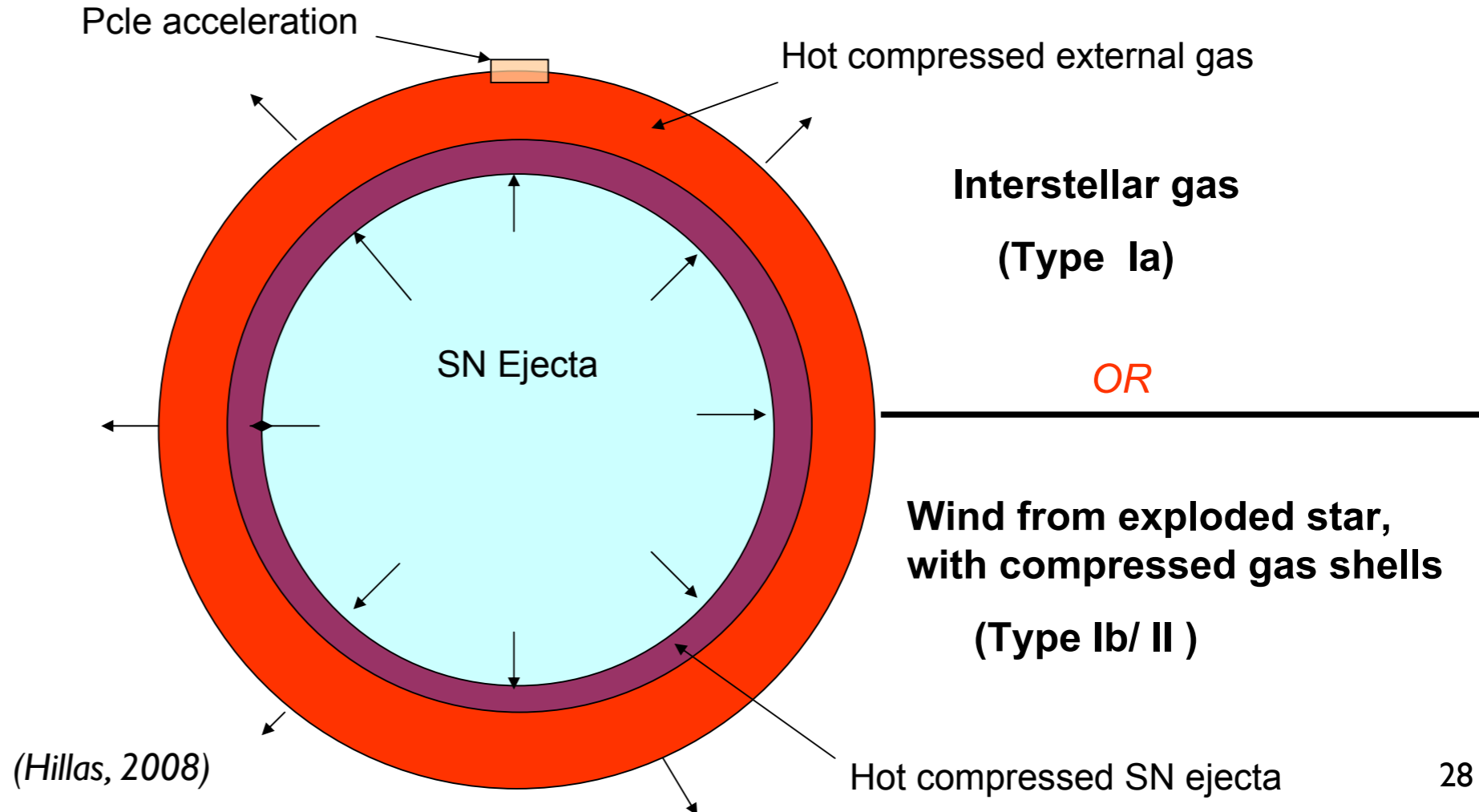
# Stochastic Fermi acceleration



# Stochastic acceleration on SN shock fronts



$$4\rho_{\text{ISM}} \approx \rho_{\text{shock}}$$



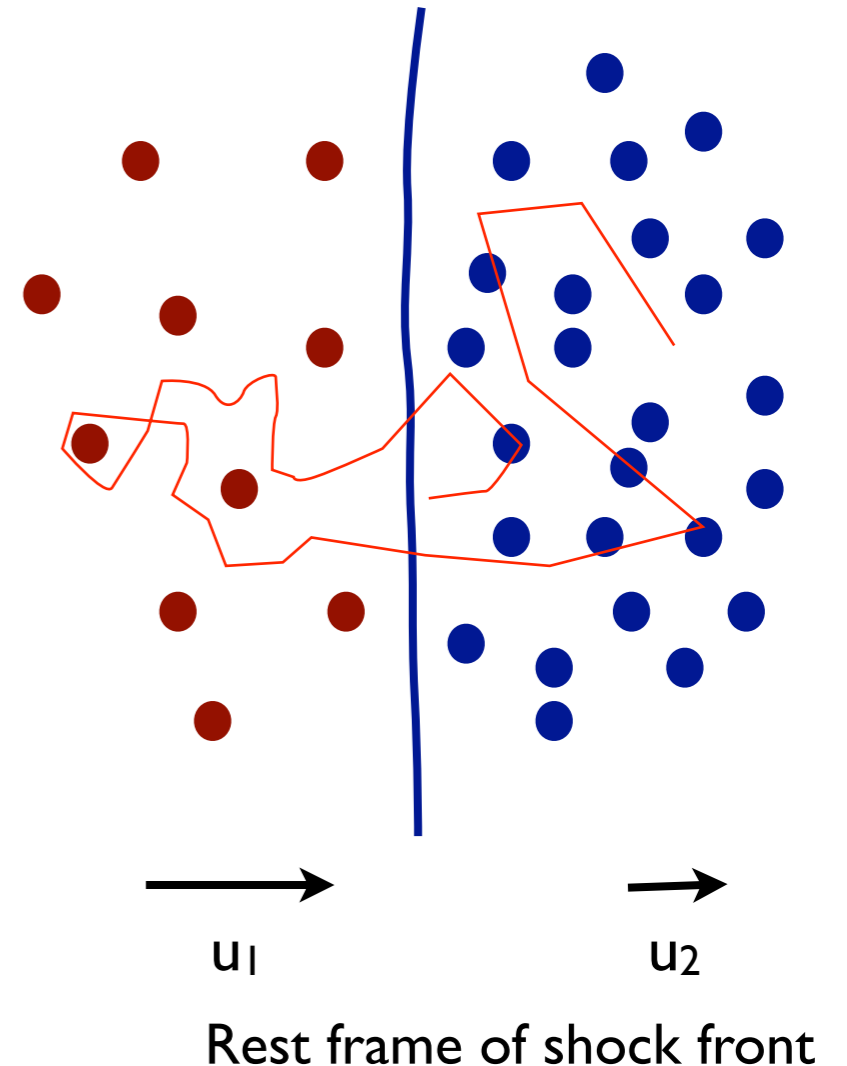
# First order Fermi acceleration

Assumption:  
particles scatter elastically on turbulent mag. fields

$$\Delta E = \frac{1}{2}m(v + (u_1 - u_2))^2 - \frac{1}{2}mv^2$$

$$\frac{\Delta E}{E} \approx 2 \frac{(u_1 - u_2)}{v}$$

vertical crossing,  
non-relativistic shock speed



$$\frac{\Delta E}{E} = \frac{4}{3} \frac{(u_1 - u_2)}{c}$$

Energy-independent relative energy gain

Factor from averaging over all angles

# Expected energy distribution

**Assumption:** energy-independent escape probability  $P_{\text{esc}}$

Energy gain per complete cycle of crossings  $\frac{\Delta E}{E} = \xi$

Energy after  $k$  cycles  $E = E_0 \xi^k$

Number of particles available for further acceleration

$$N = N_0 (1 - P_{\text{esc}})^k$$

Flux of particles

$$N(> E) = \text{const } E^{-\alpha}$$

$$\alpha = -\ln(1 - P_{\text{esc}}) / \ln \xi$$

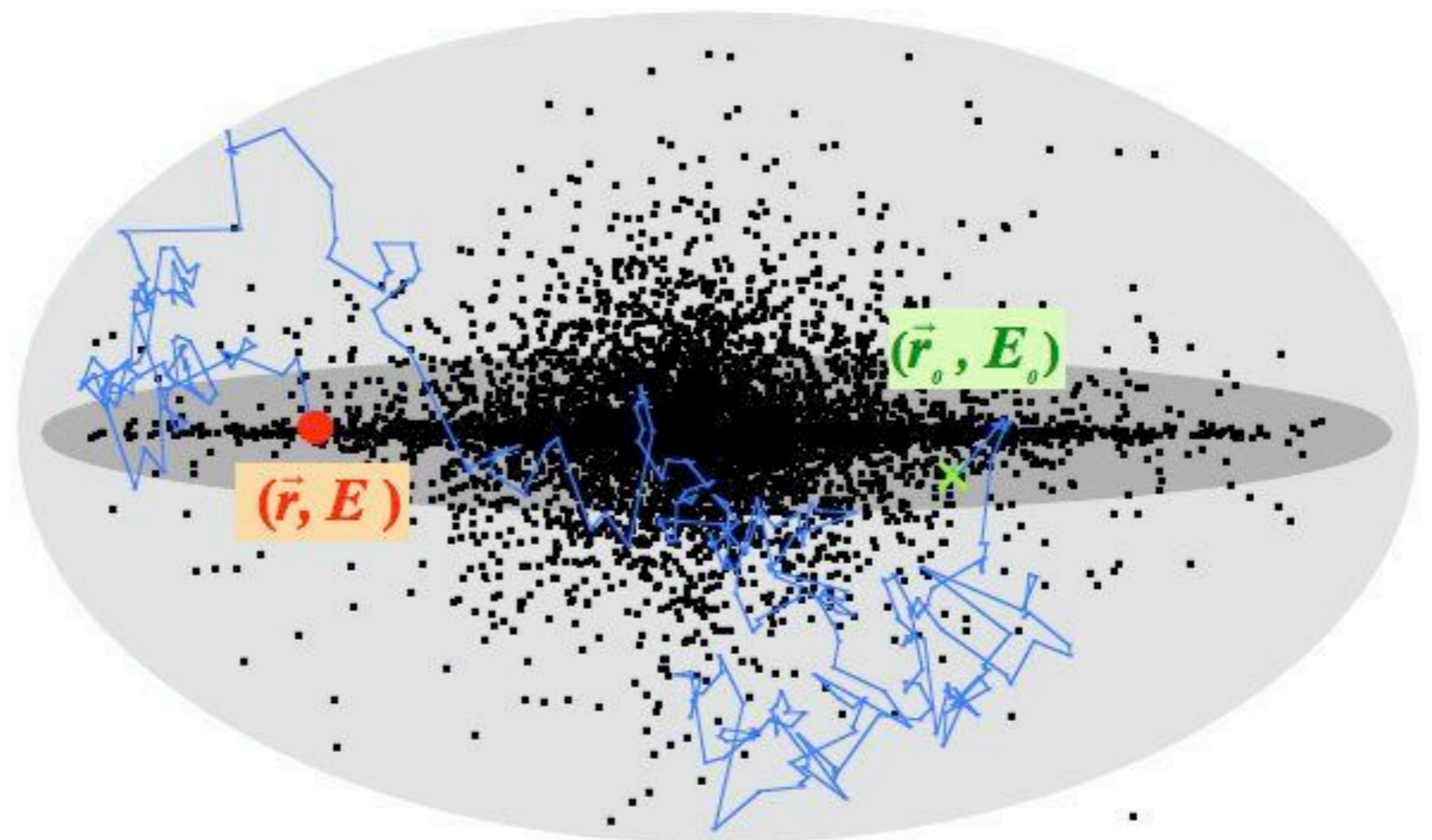
Numerical values depend on many details

$$\alpha = 1$$

Corresponds to  $dN/dE \sim E^{-2}$

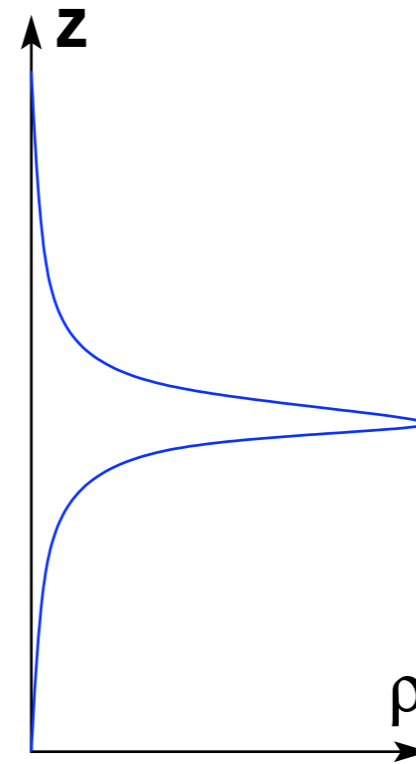
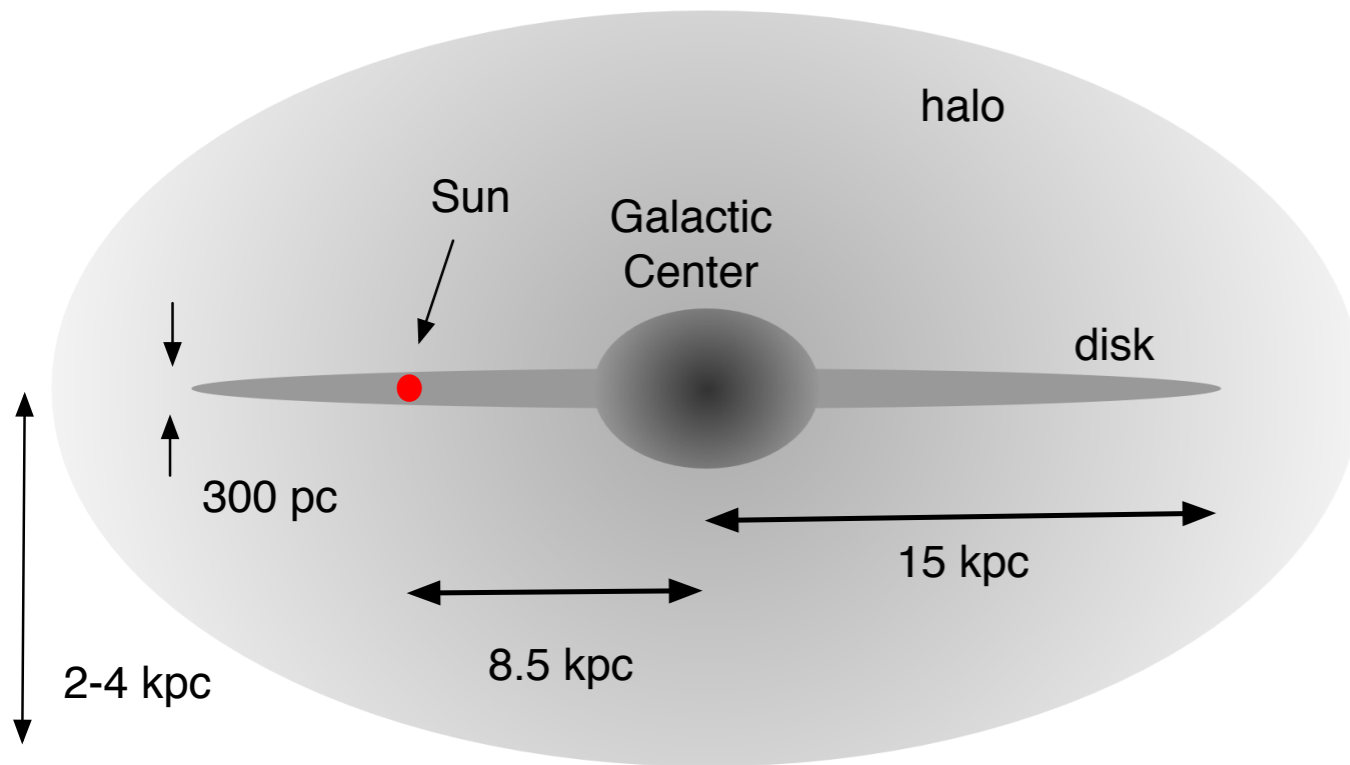


# Propagation of cosmic rays in the Galaxy

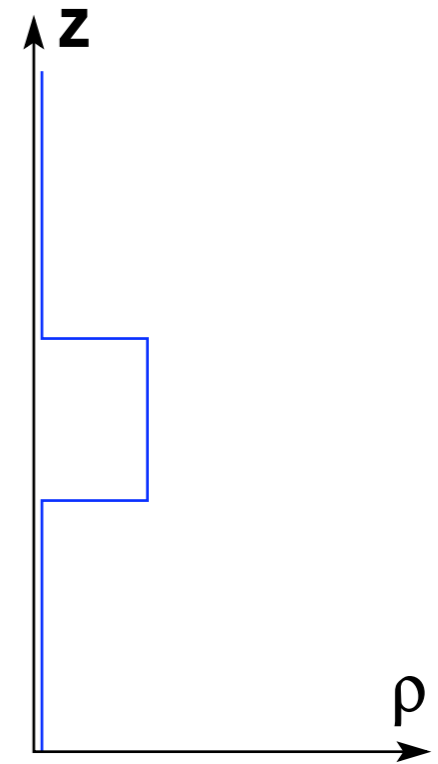


Diffusion, escape,  
interaction with interstellar medium

# Leaky Box model



realistic density distribution



Leaky Box model

Leaky Box



Number of particles that escape from box proportional to number of particles in box



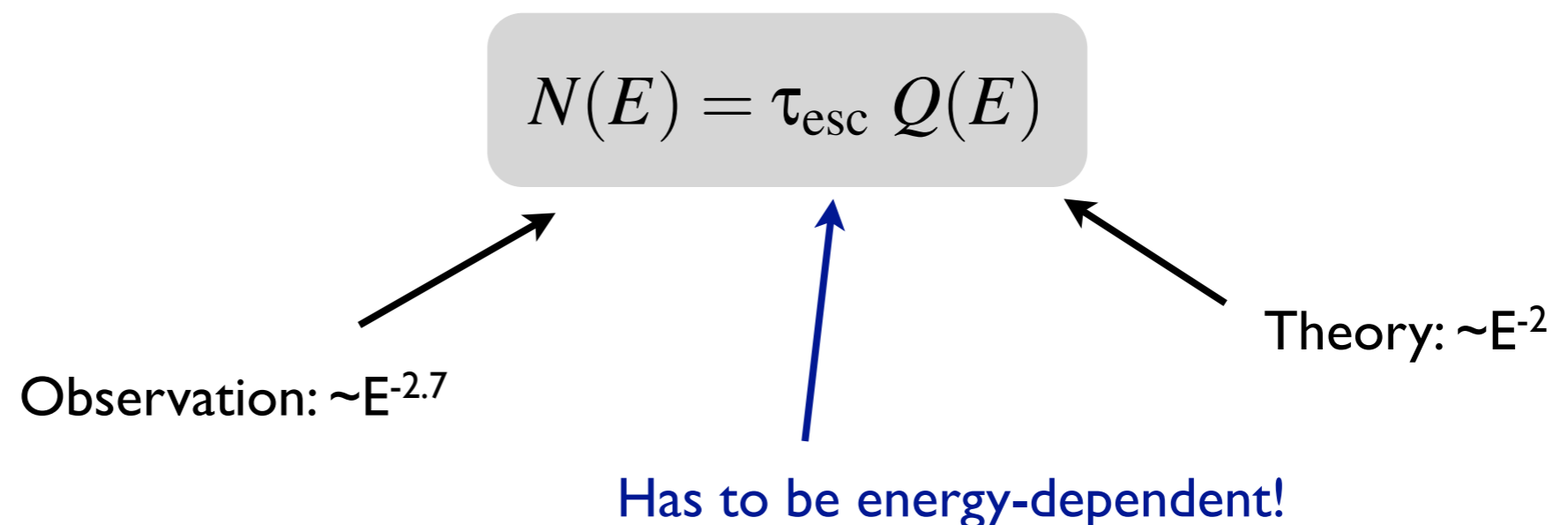
# Effect of cosmic ray confinement in galaxy

Simplification: only one particle type considered, no energy losses

$$\frac{\partial N(E)}{\partial t} = -\frac{1}{\tau_{\text{esc}}}N(E) + Q(E)$$

Flux independent of time

$$0 = -\frac{1}{\tau_{\text{esc}}}N(E) + Q(E)$$



# Energy-dependent escape time

Required by observations

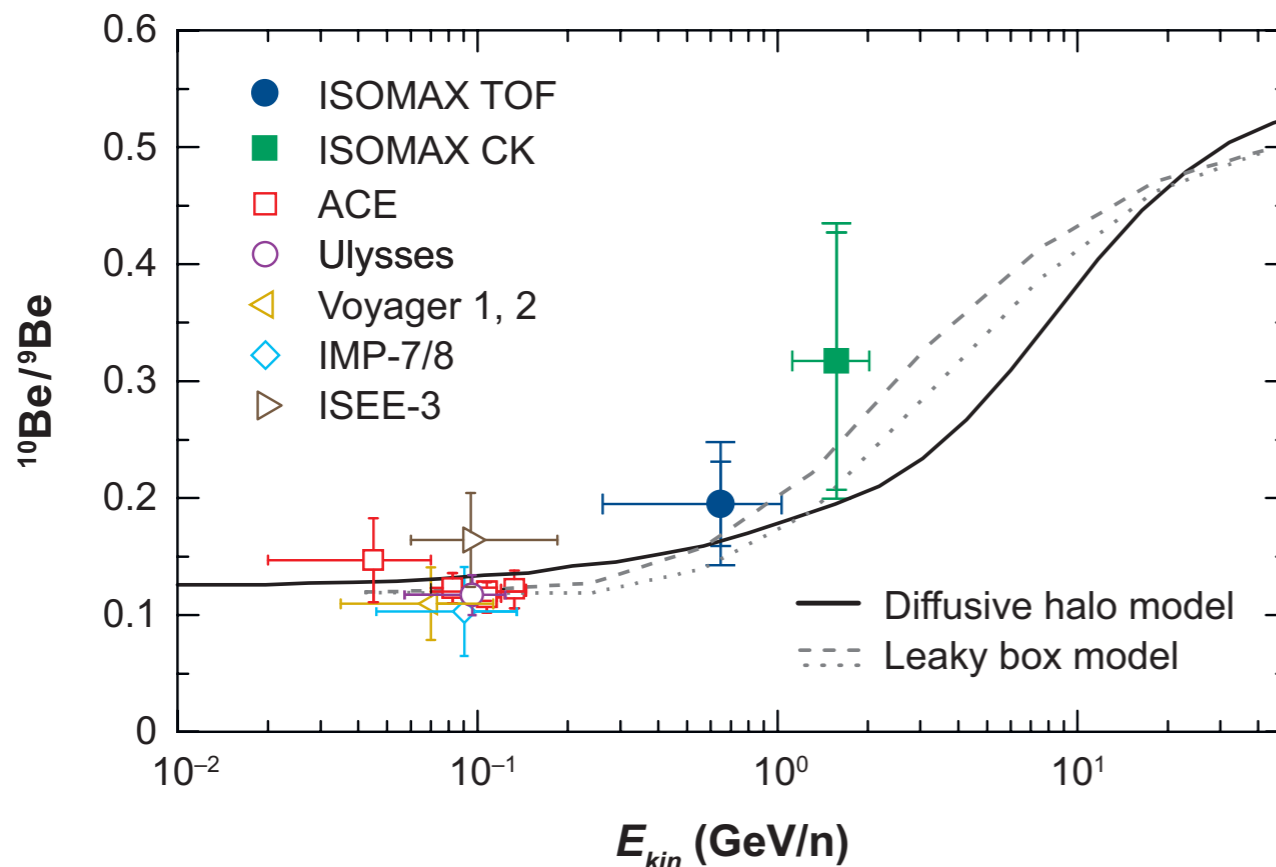
$$\tau_{\text{esc}} \propto E^{-0.7}$$

Prediction if diffusion in magnetic field determines escape process

$$\tau_{\text{esc}} \propto \left( \frac{E}{Z} \right)^{-0.7}$$

Only energy/charge important

$$\tau_{^{10}\text{Be}} = 3.9 \times 10^6 \text{ yr}$$



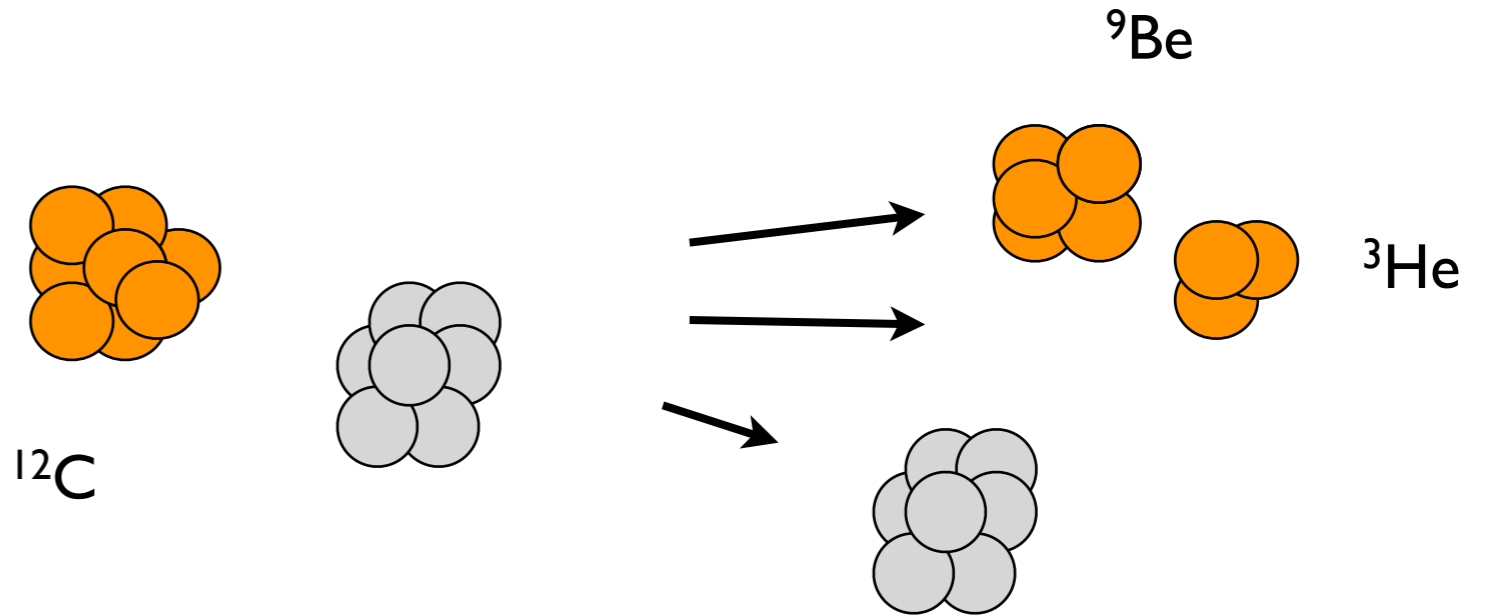
$$N(E) = \tau_{\text{esc}} Q(E)$$

**With  $\tau_{\text{esc}} \sim 2 \times 10^7$  yr:** enhancement of cosmic ray density by factor  $10^3 - 10^4$  relative to free streaming

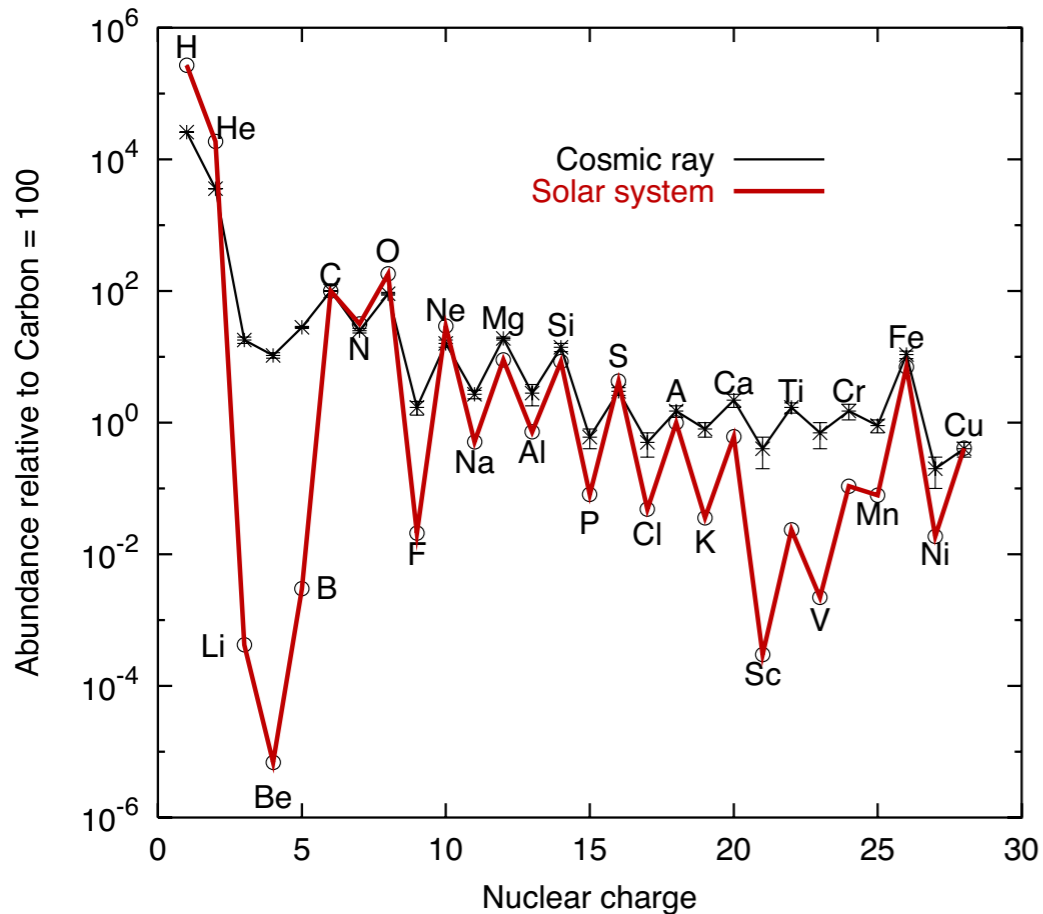
# Cross check of model with secondary elements

Interstellar medium in galaxy:  $\sim 1 \text{ atom / cm}^3$

Spallation of nuclei



Nuclear abundance: cosmic rays compared to solar system



- Explanation of differences of abundances
- Energy dependence through  $\tau_{\text{esc}}$  predicted

Particles about only 1/10 of the time in the Galactic disk, otherwise in magnetic halo

# Ratio of secondary to primary elements

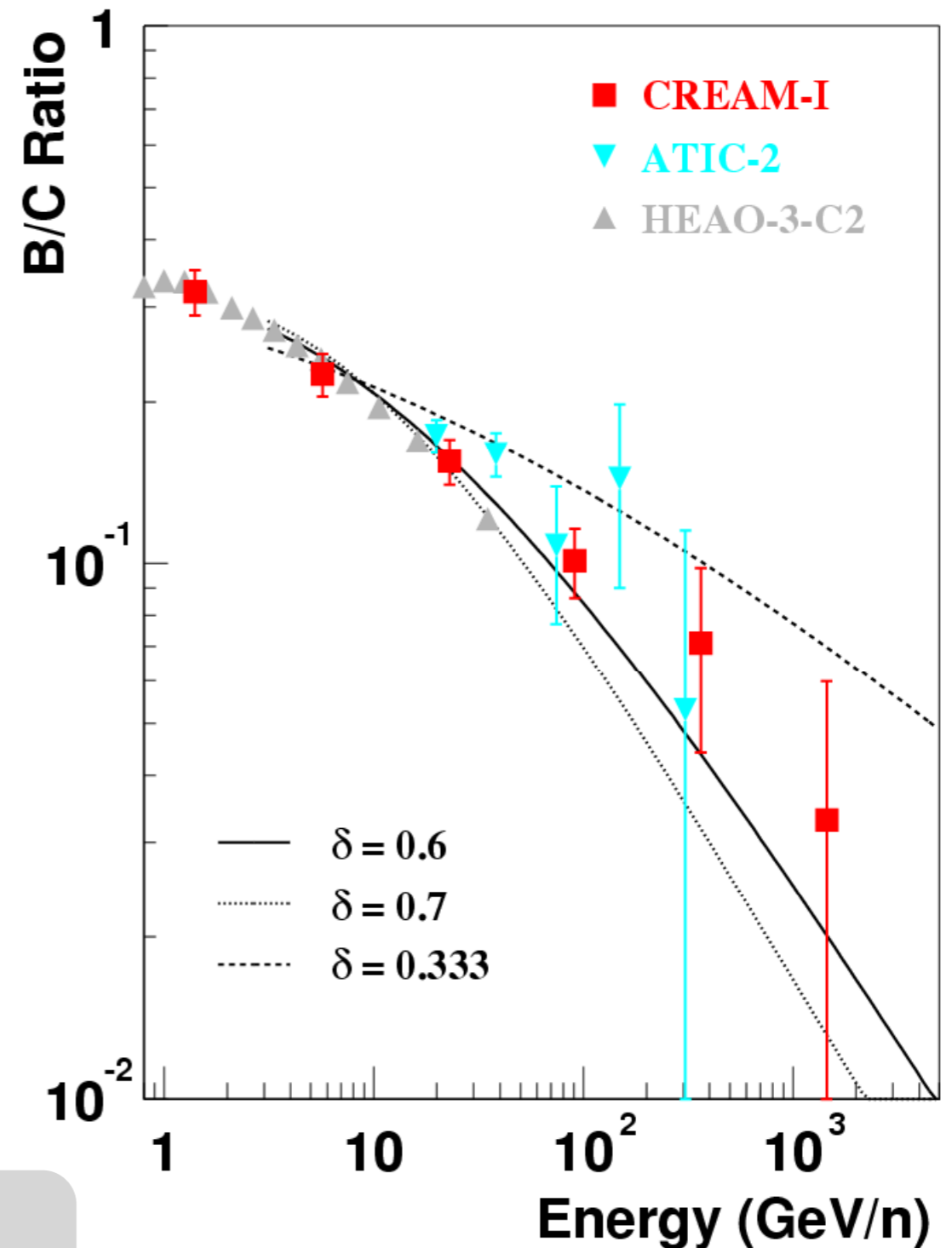
Cosmic rays of higher energy  
escape faster  
have a smaller chance to interact

Total column density traversed  $\sim 1$  GeV

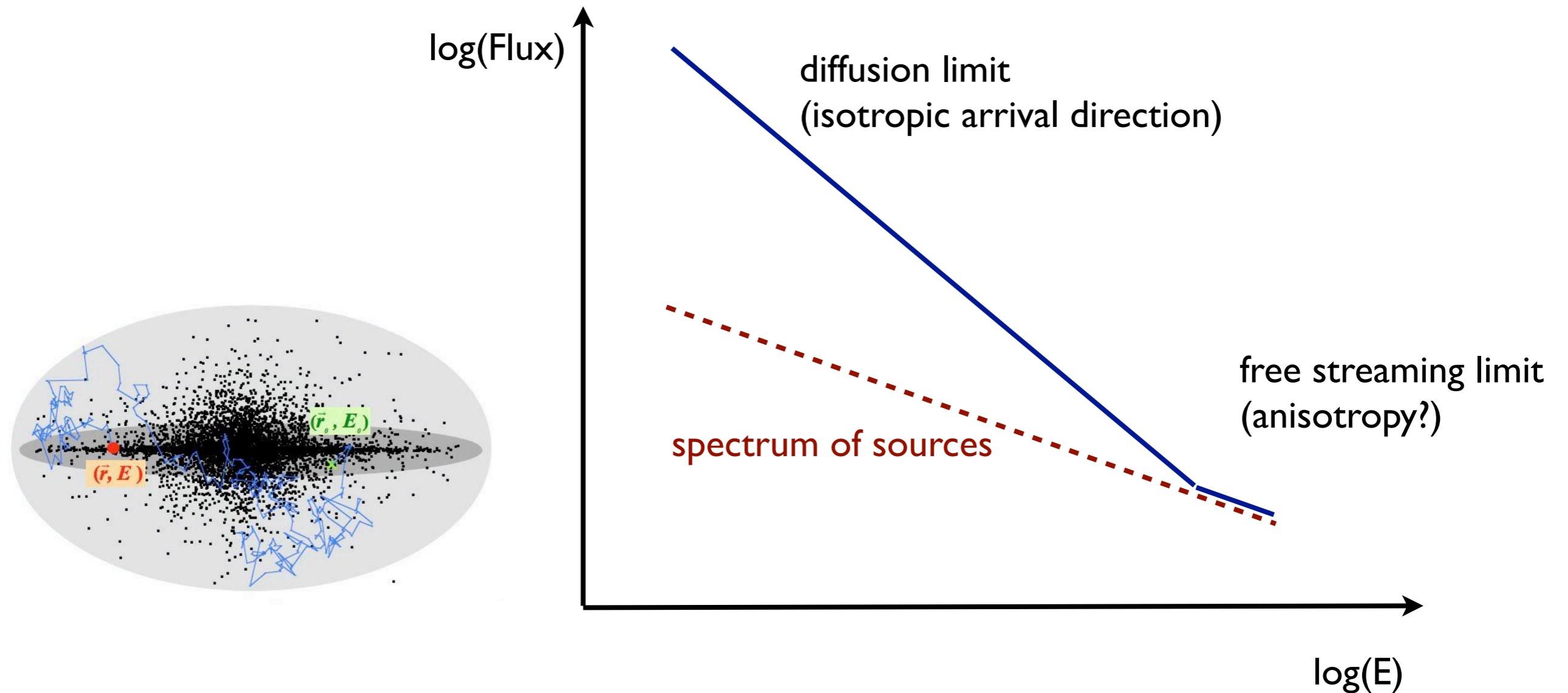
$$X_p = 5 \dots 15 \text{ g/cm}^2$$

Interaction length of C  $\sim 70 \text{ g/cm}^2$

If cosmic rays would propagate only in  
galactic disk this would correspond to  $\tau_{\text{esc}} \sim 10^6 \text{ yr}$

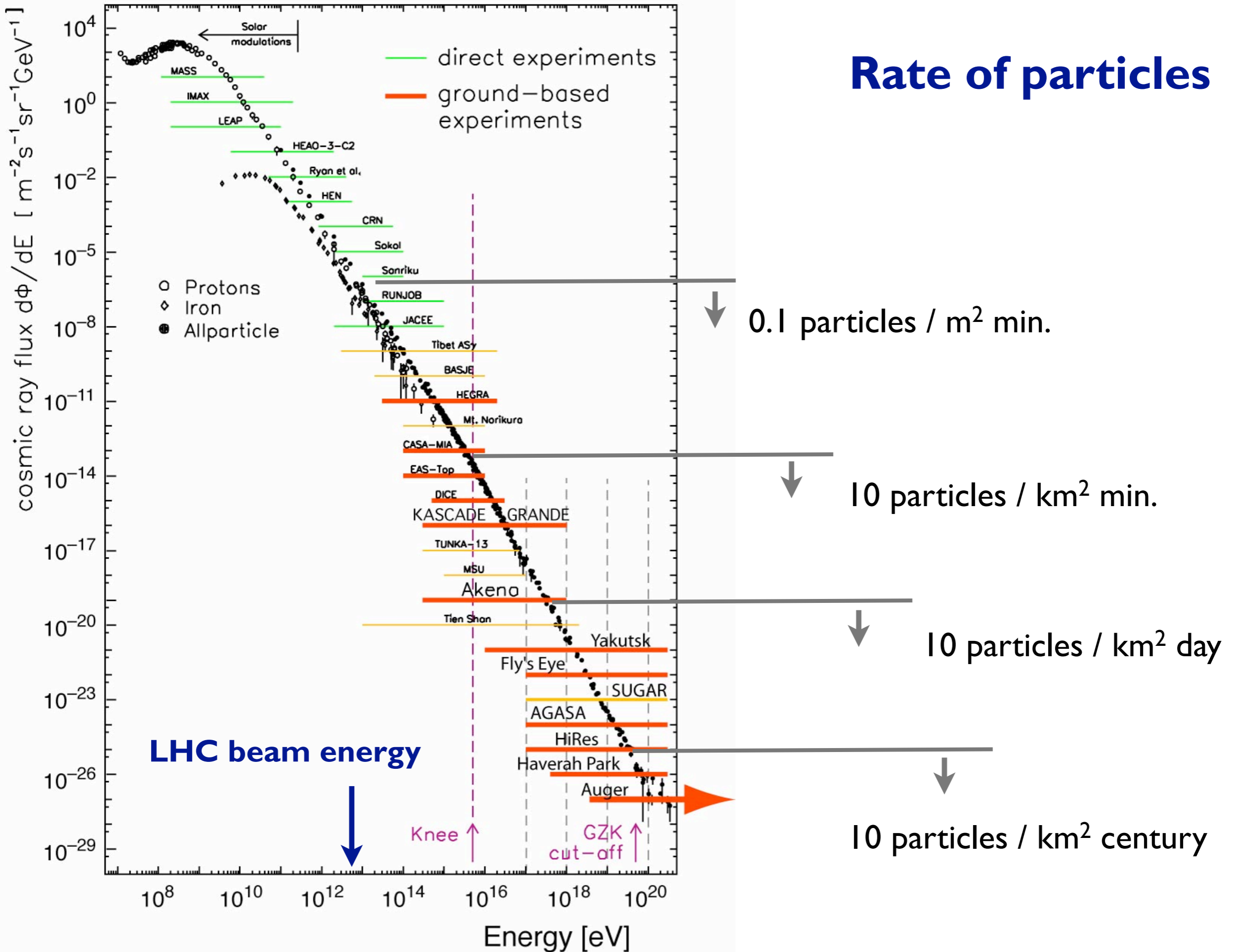


# Magnetic fields: Confinement in the Galaxy

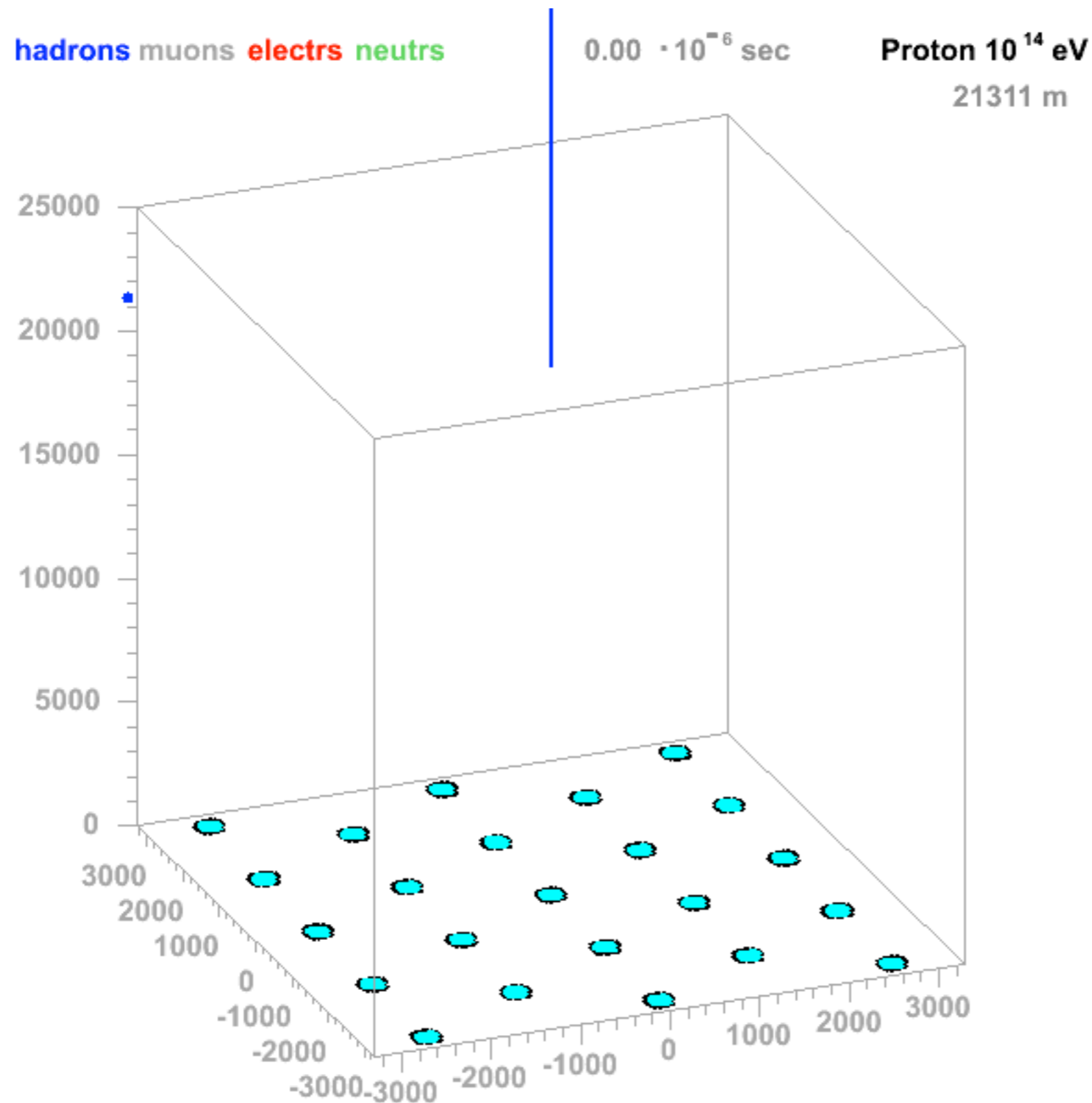


Observed spectrum softer than injection spectrum  
Density of particles much higher than without mag. fields

# Rate of particles

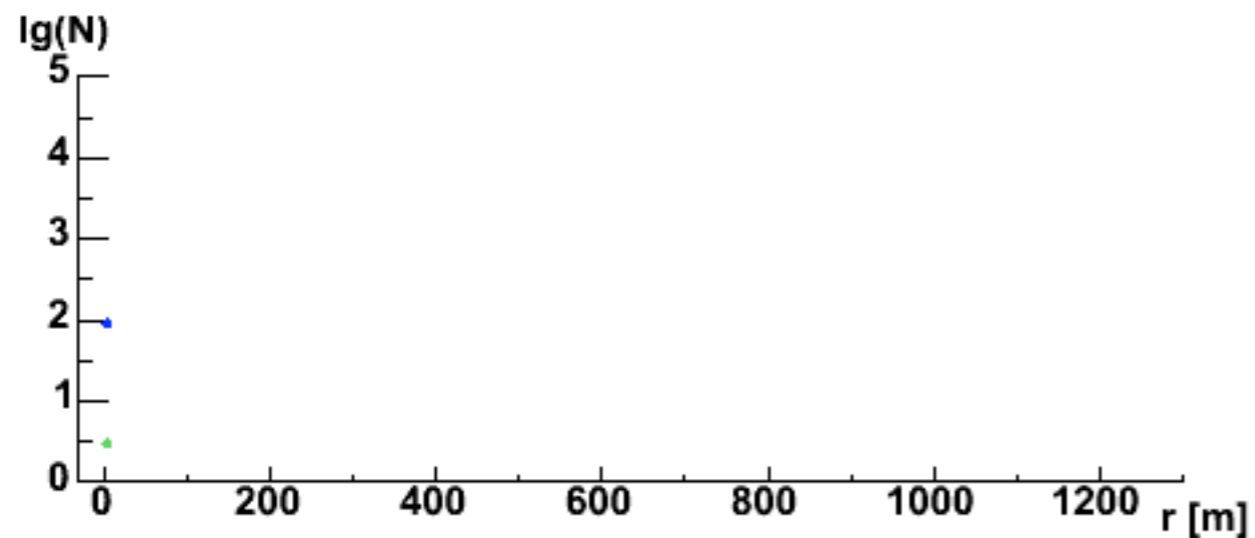
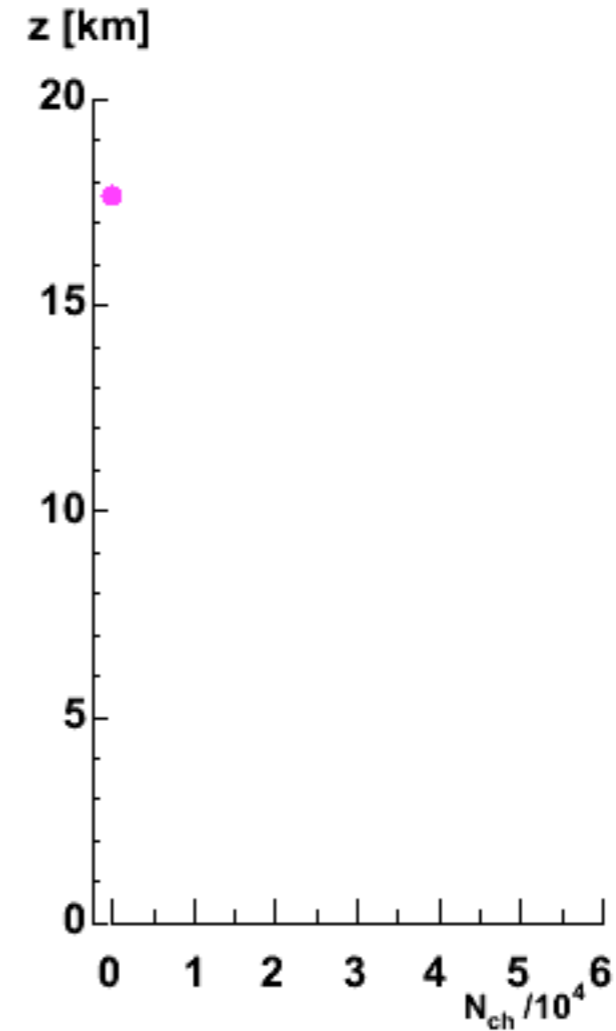
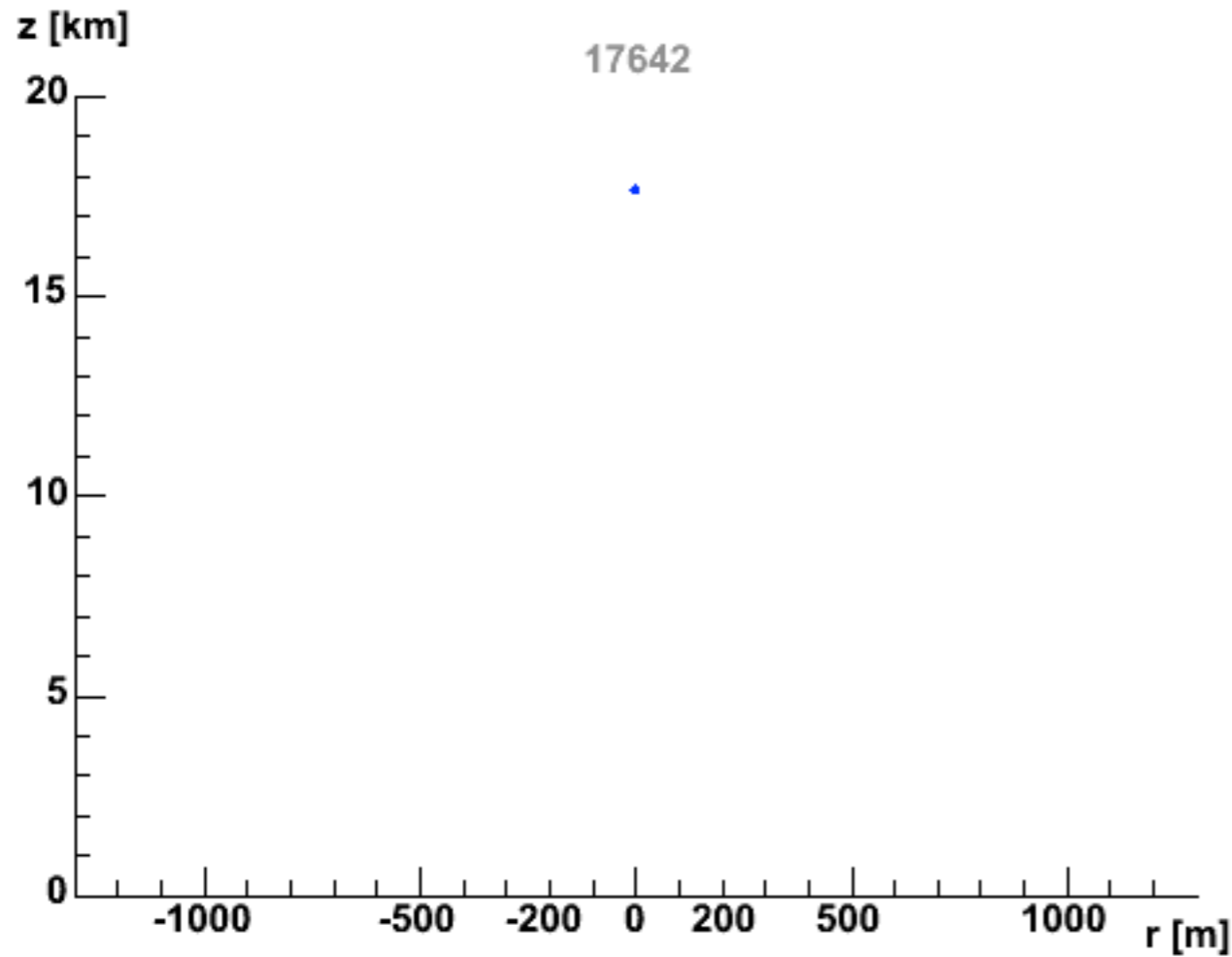


# Simulation of proton-induced air shower



Particles arrive at ground  
in a disc propagating with  
speed of light

# Simulation of shower development (ii)



**Proton  $10^{14}$  eV**

$h^{1st} = 17642$  m

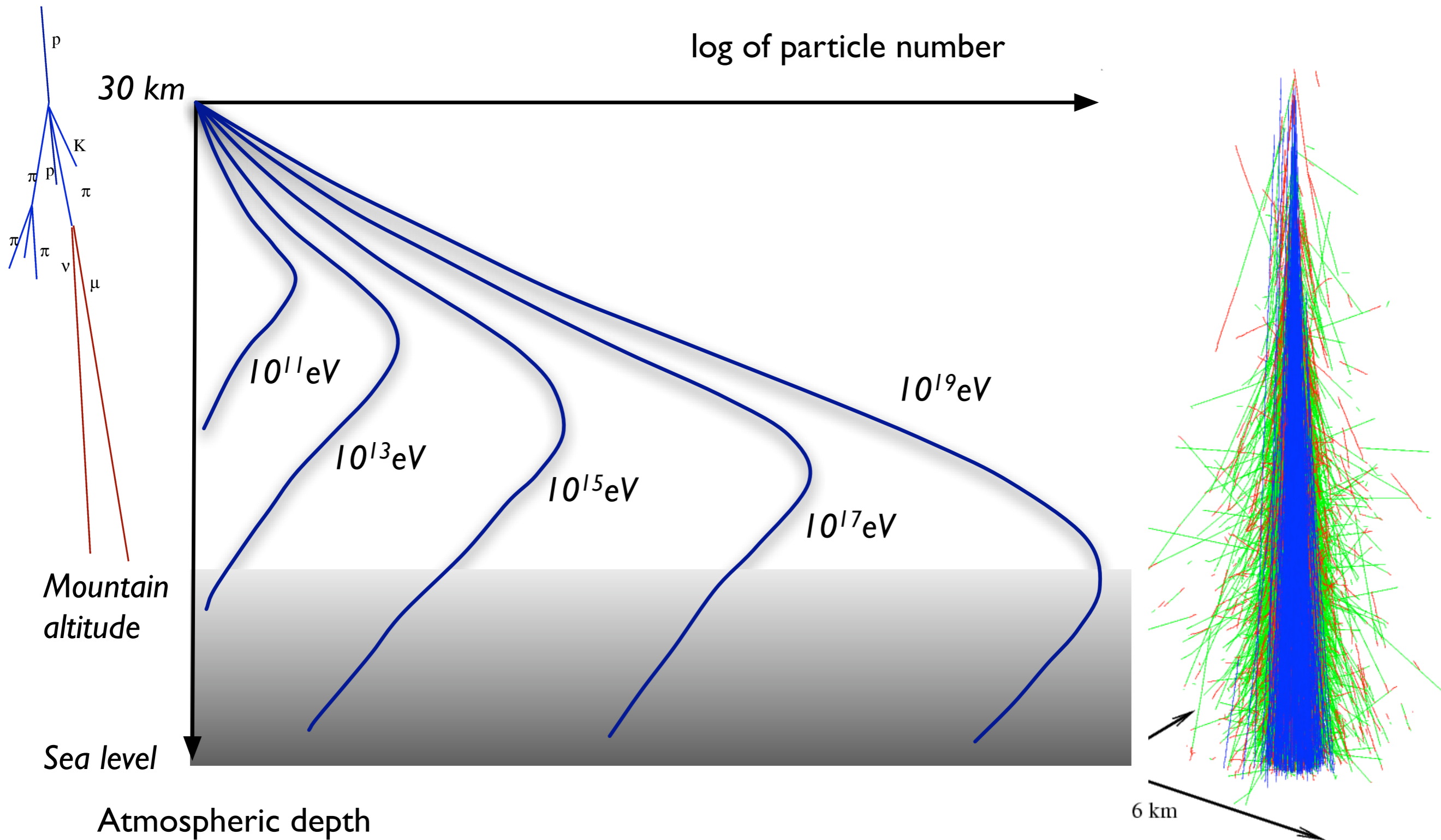
hadrons    muons

neutrons    electrs

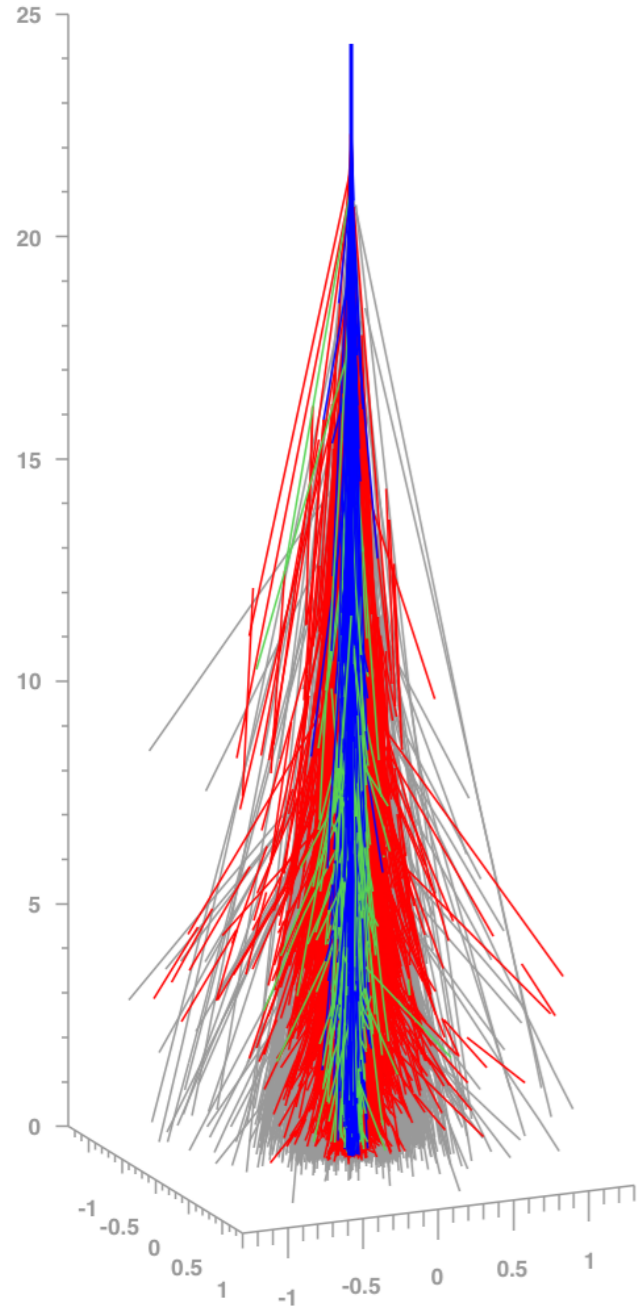
J.Oehlschlaeger,R.Engel,FZKarlsruhe



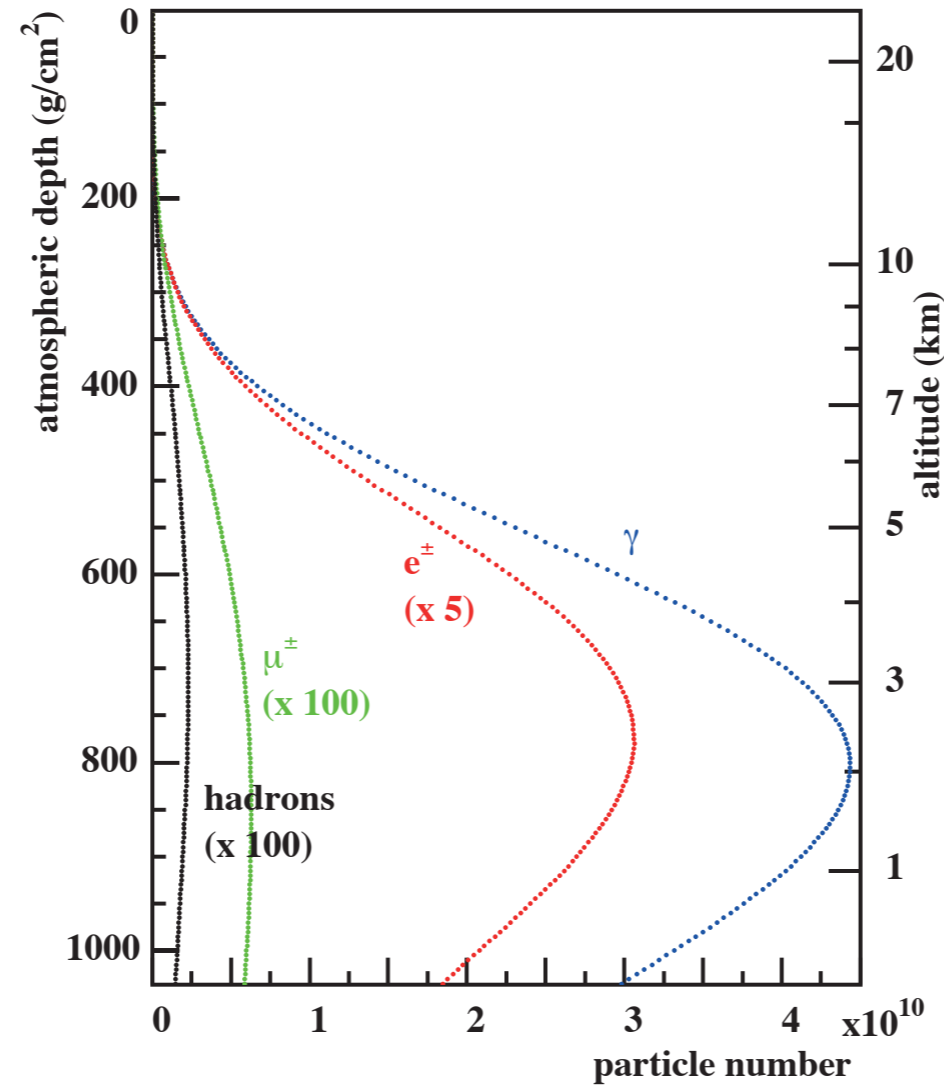
# Typical detection techniques



# Measurement techniques

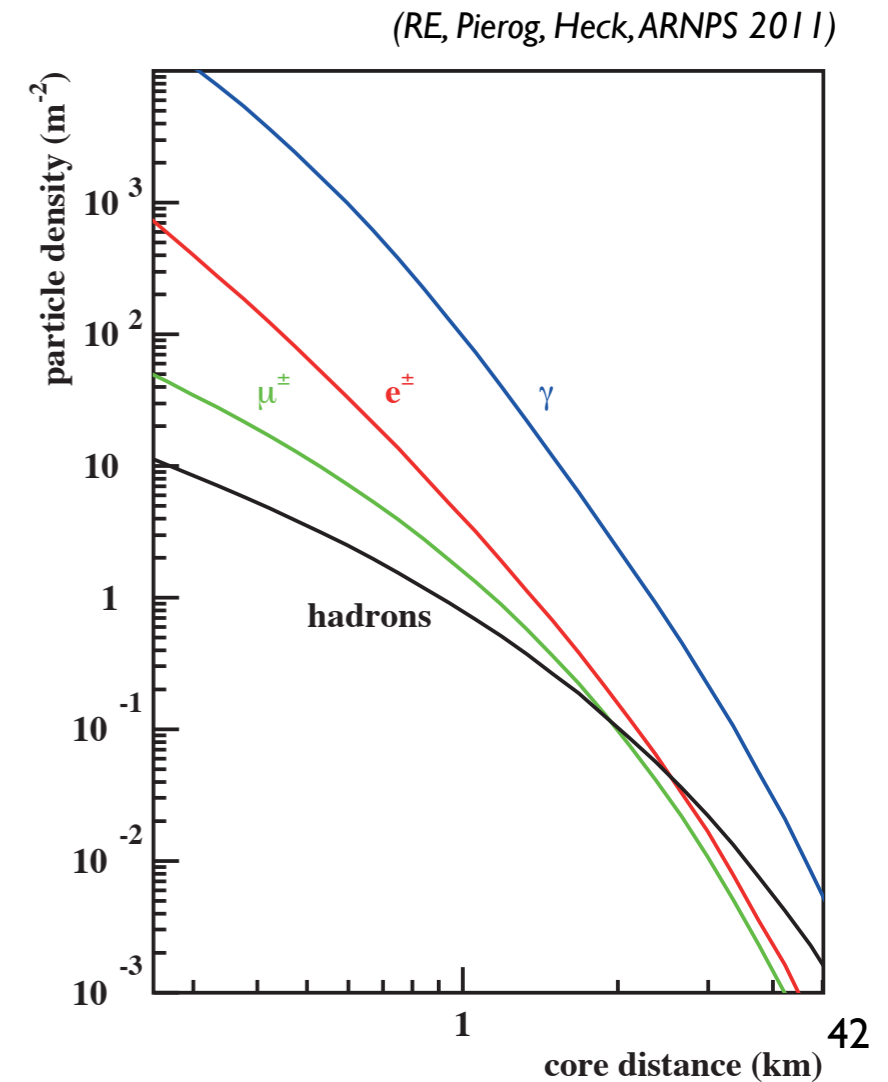


Proton-induced shower of  $10^{19}$  eV



Lateral profiles:  
particle detectors at ground

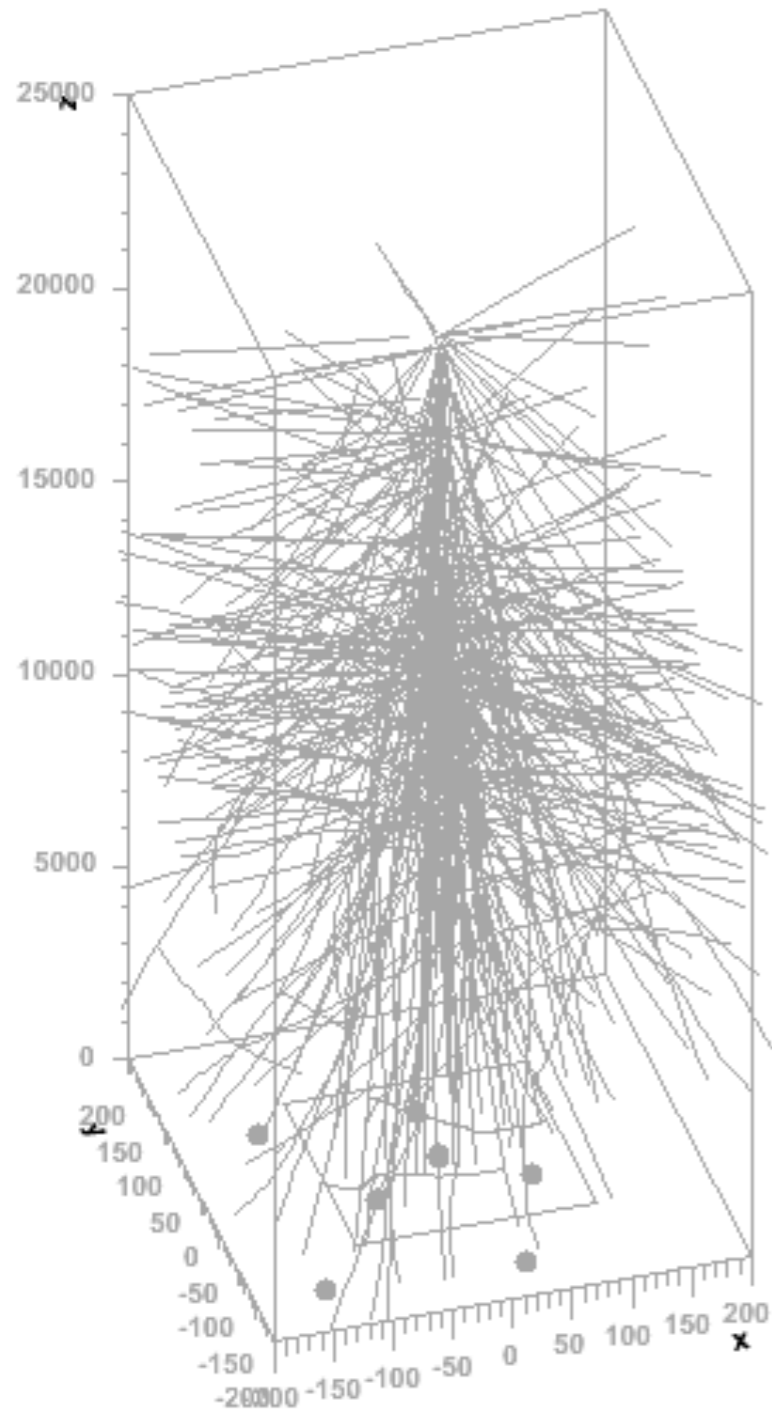
Longitudinal profile:  
Cherenkov light  
Fluorescence light of  $\text{N}_2$



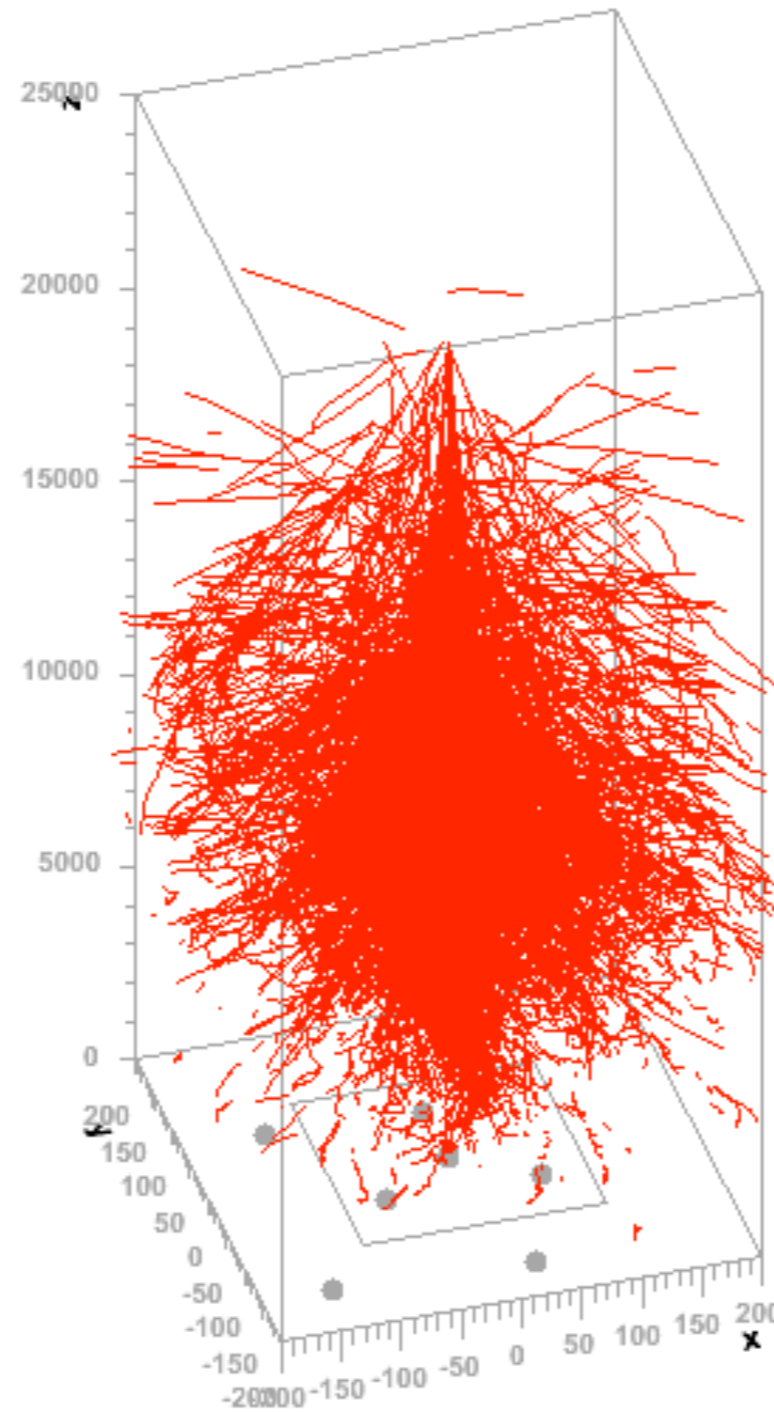
(RE, Pierog, Heck, ARNPS 2011)

# Proton-initiated air shower

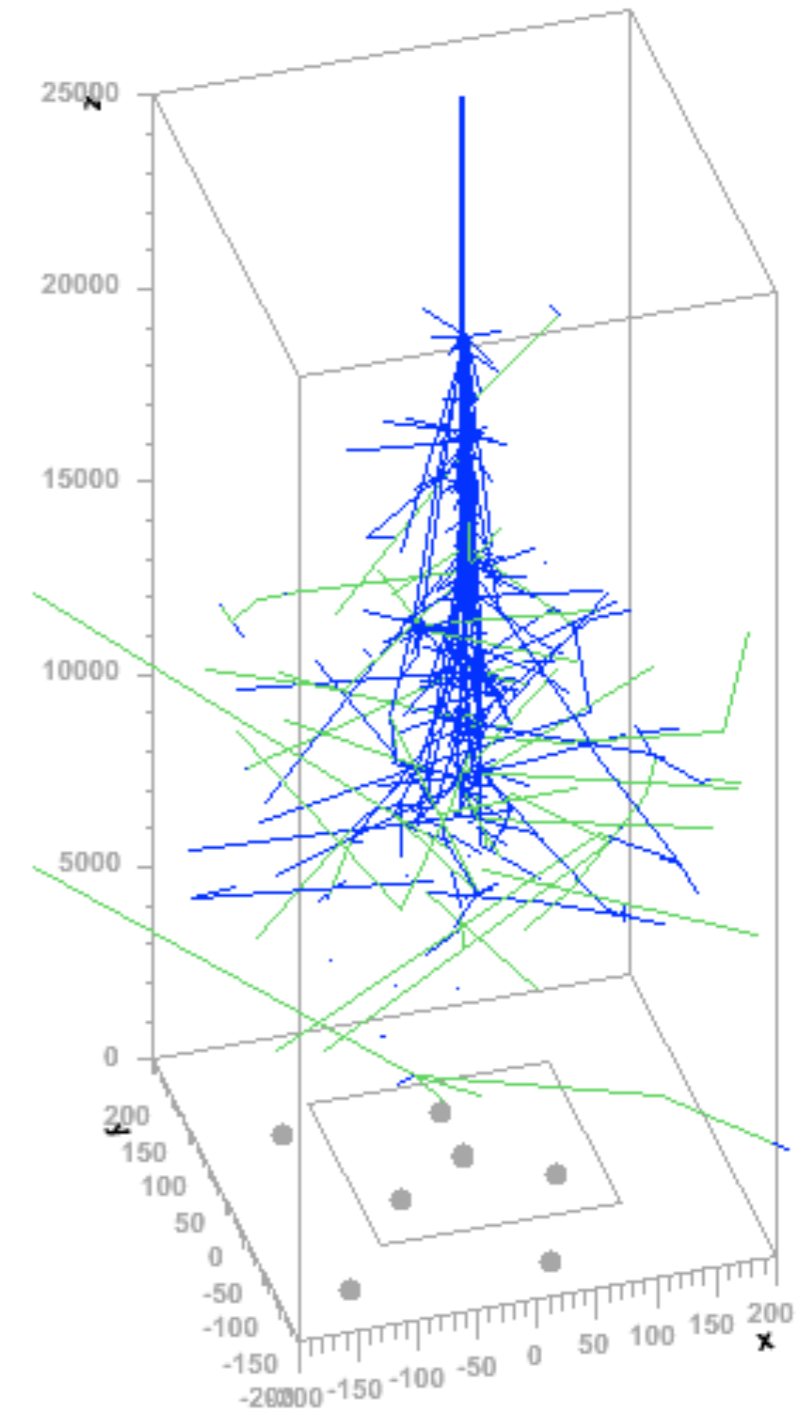
muons



electrs

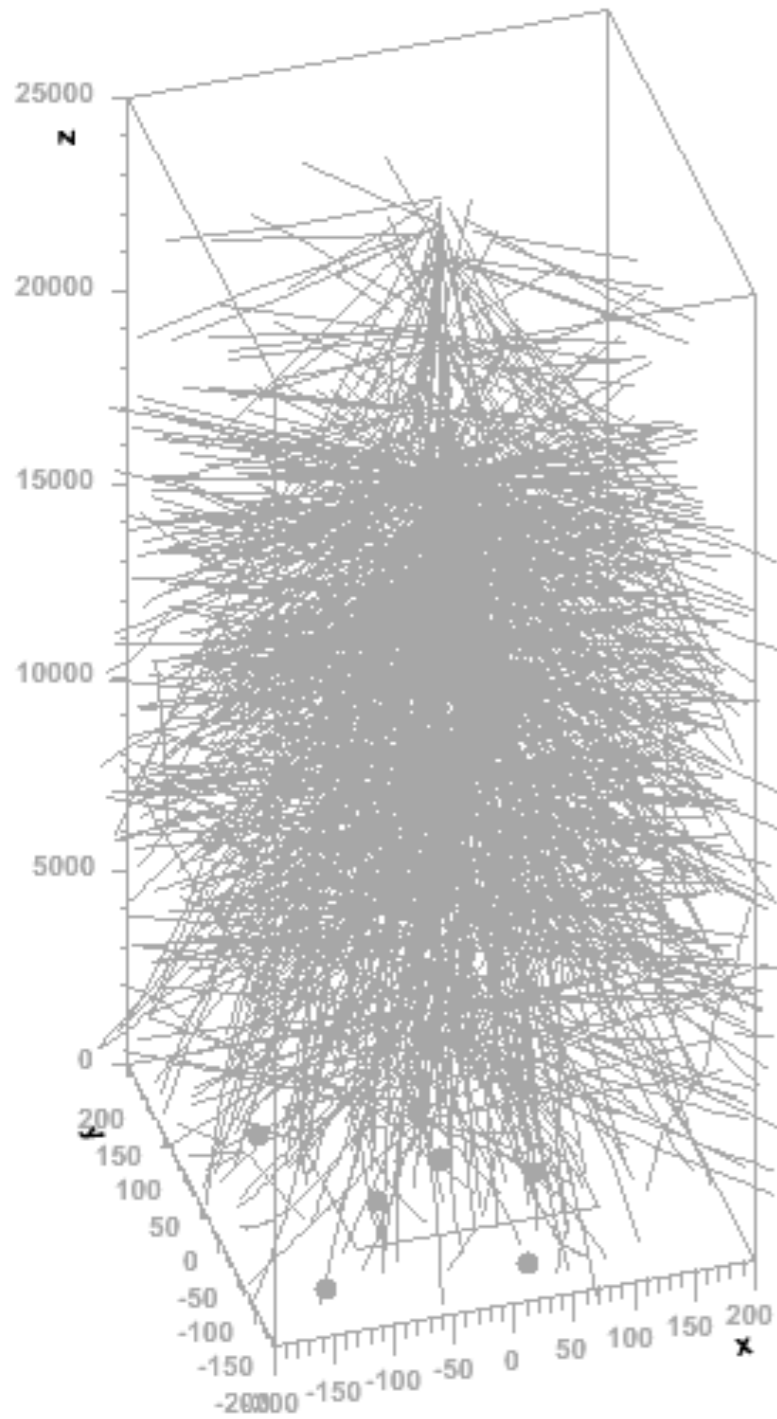


hadrons neutrals

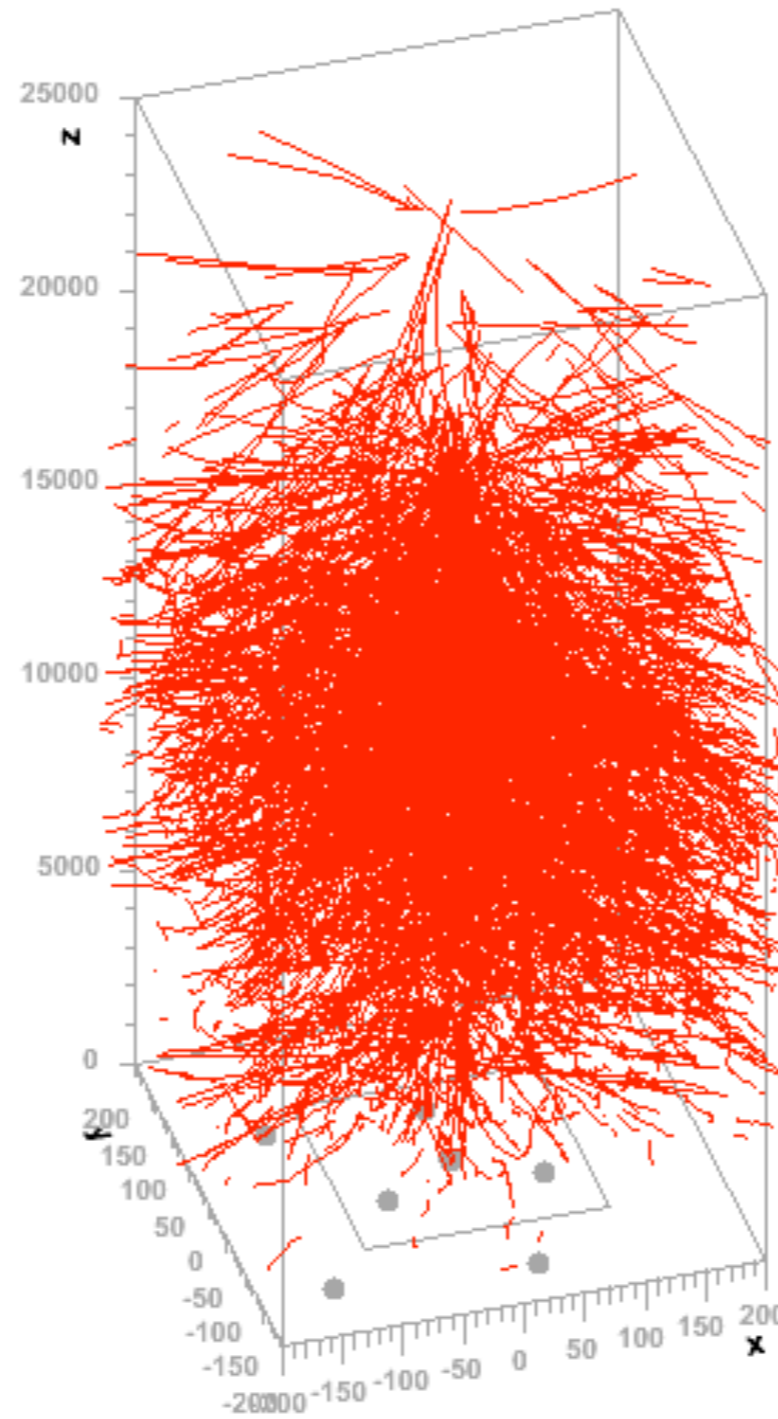


# Iron-initiated air shower

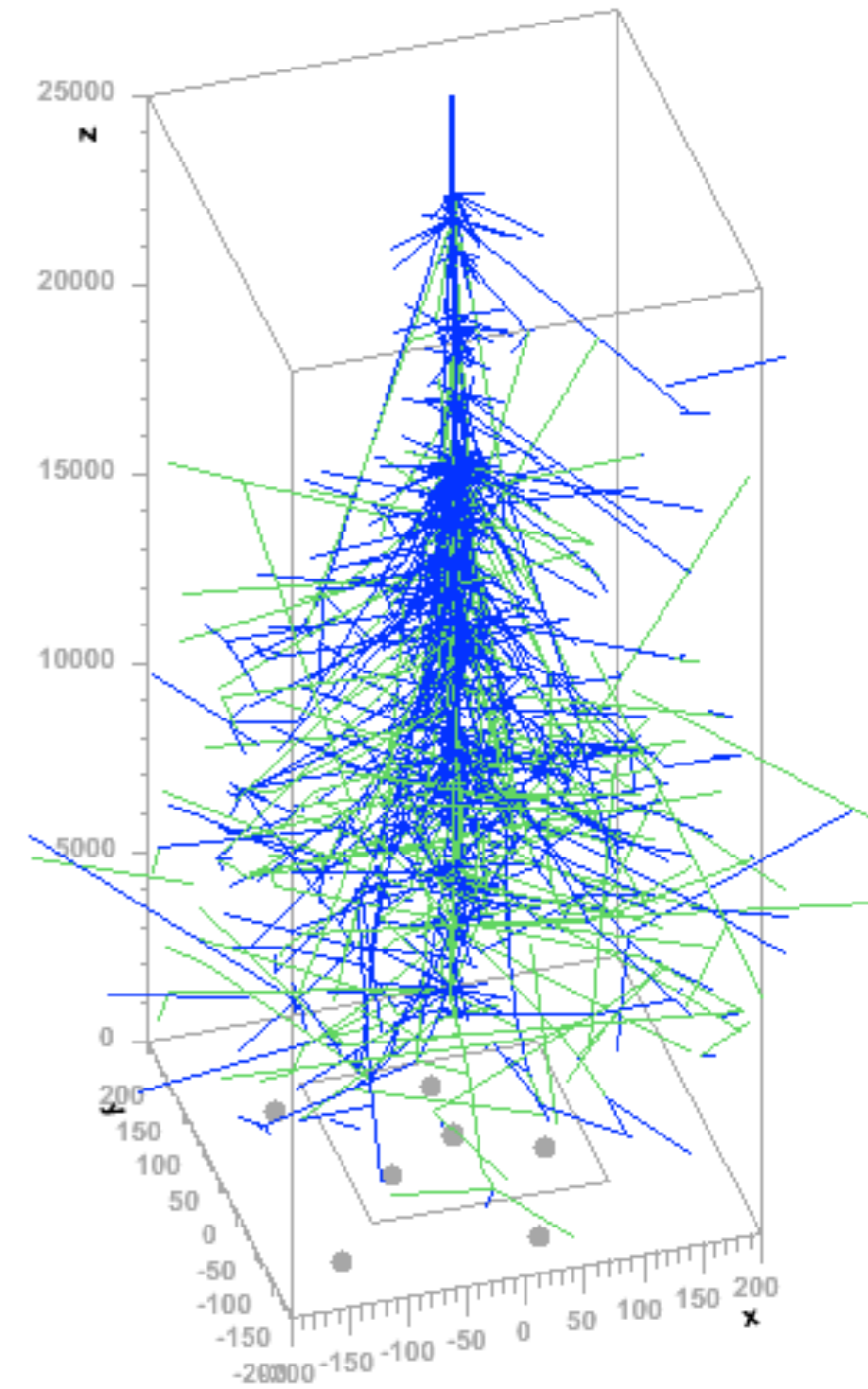
muons



electrs

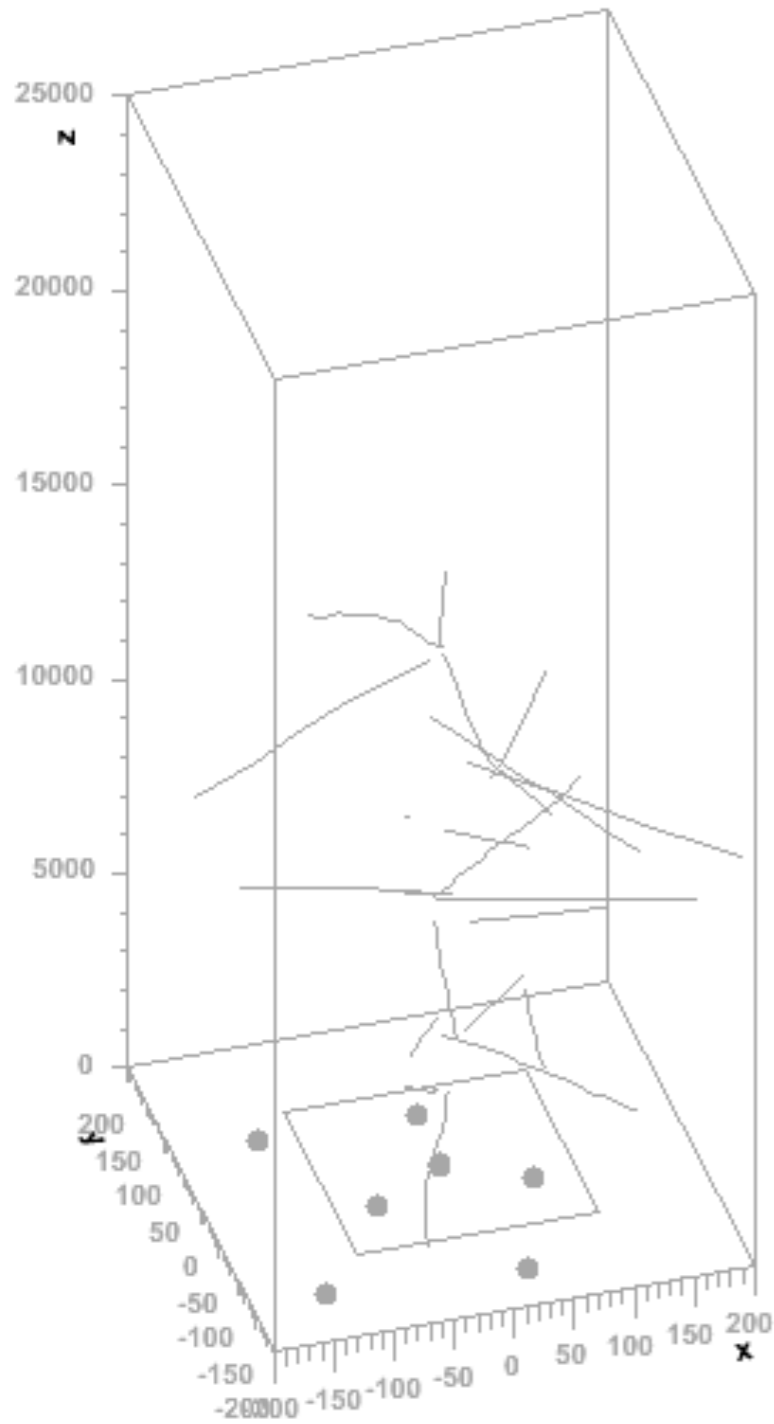


hadrons neutrs

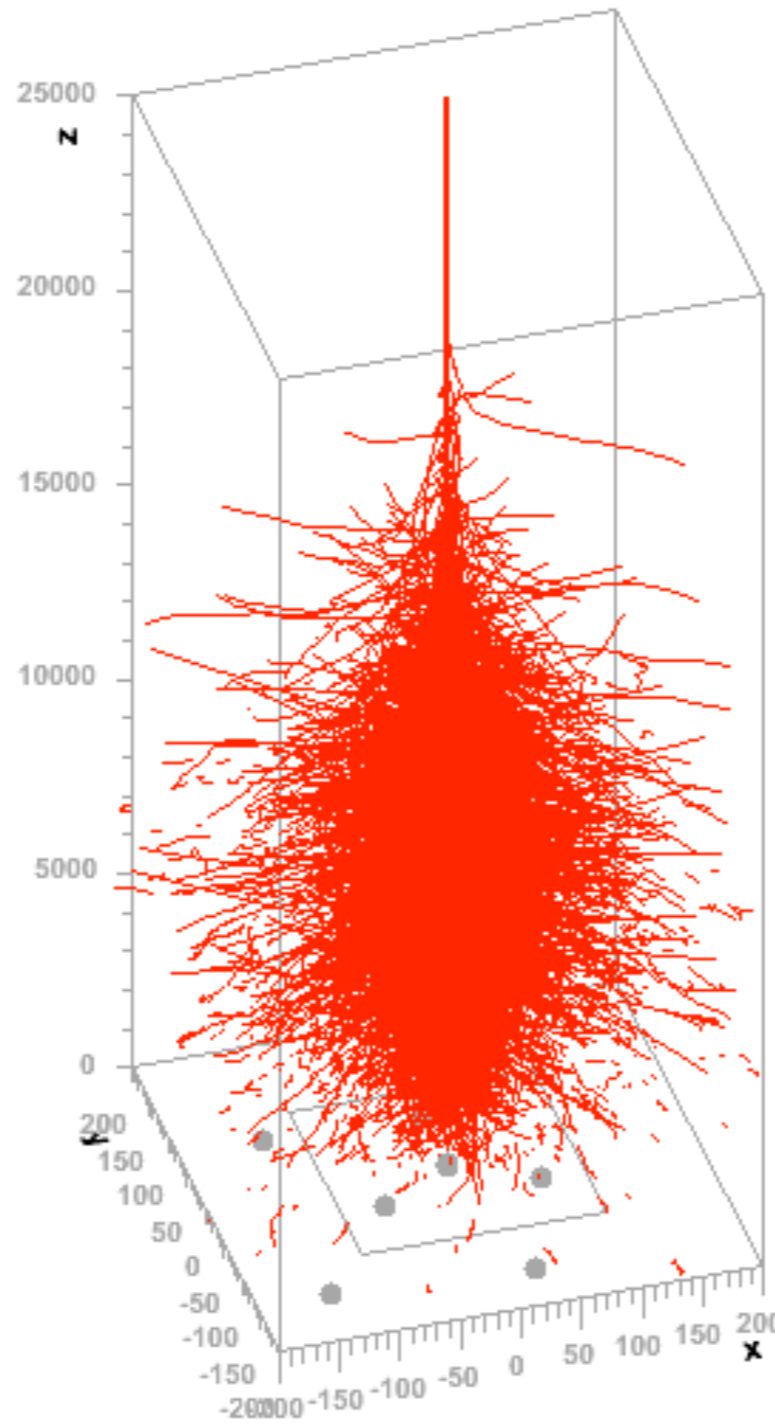


# Photon-initiated air shower

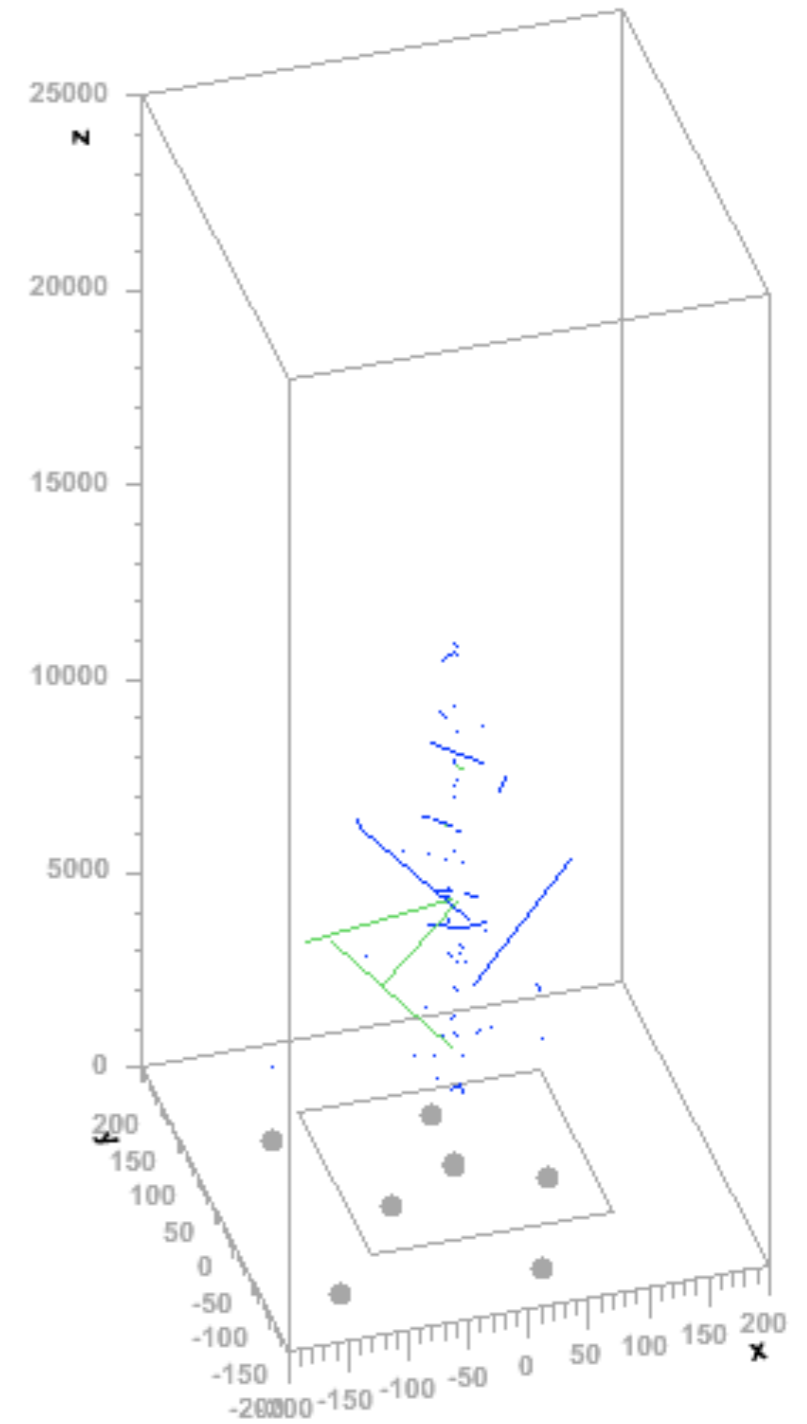
muons



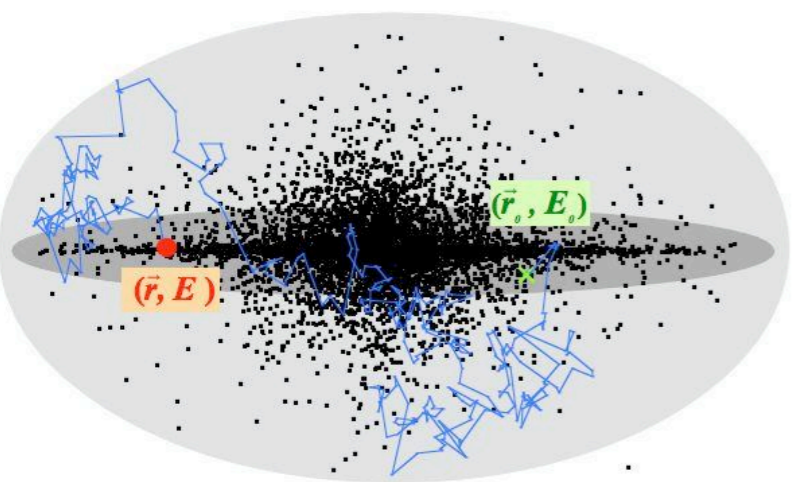
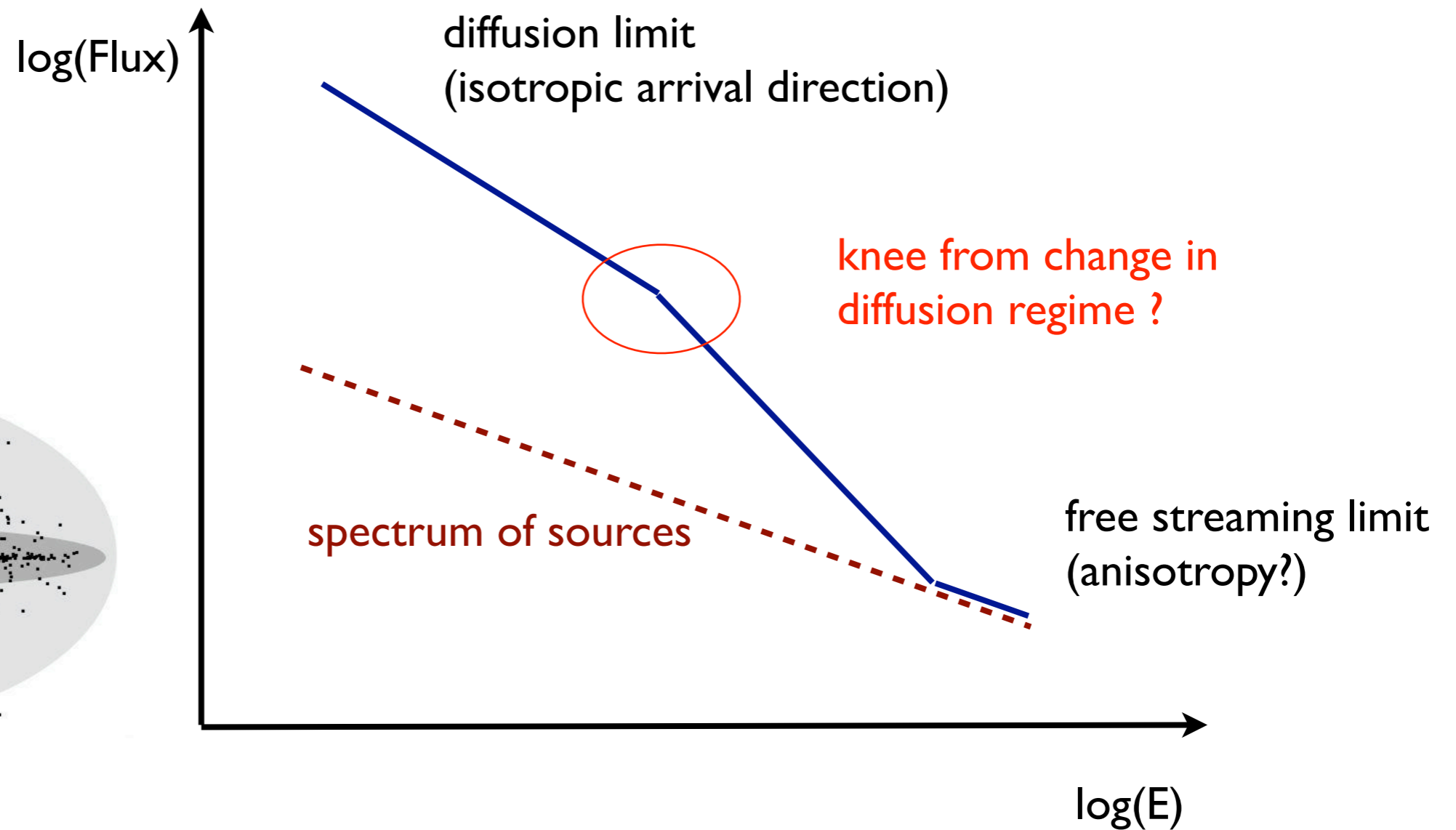
electrs



hadrons neutrns



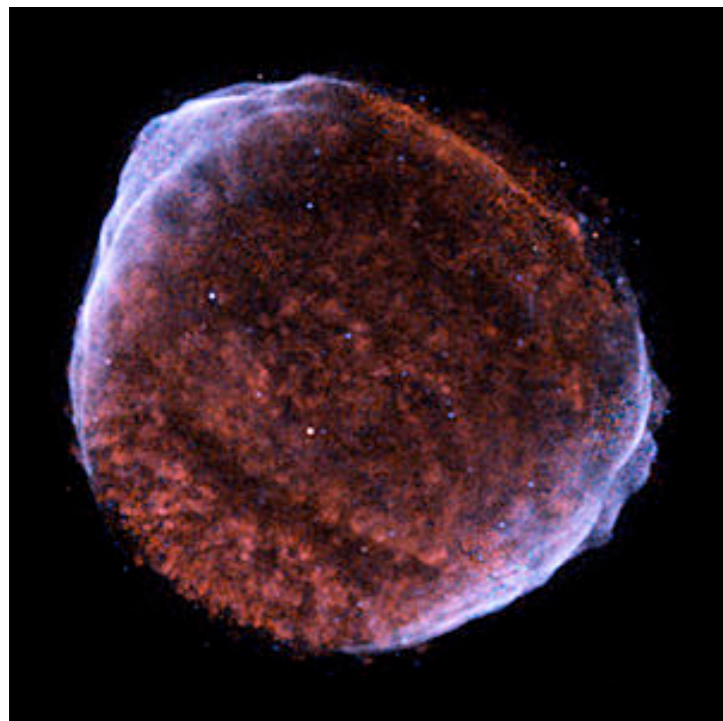
# Interpretation of the knee (i)



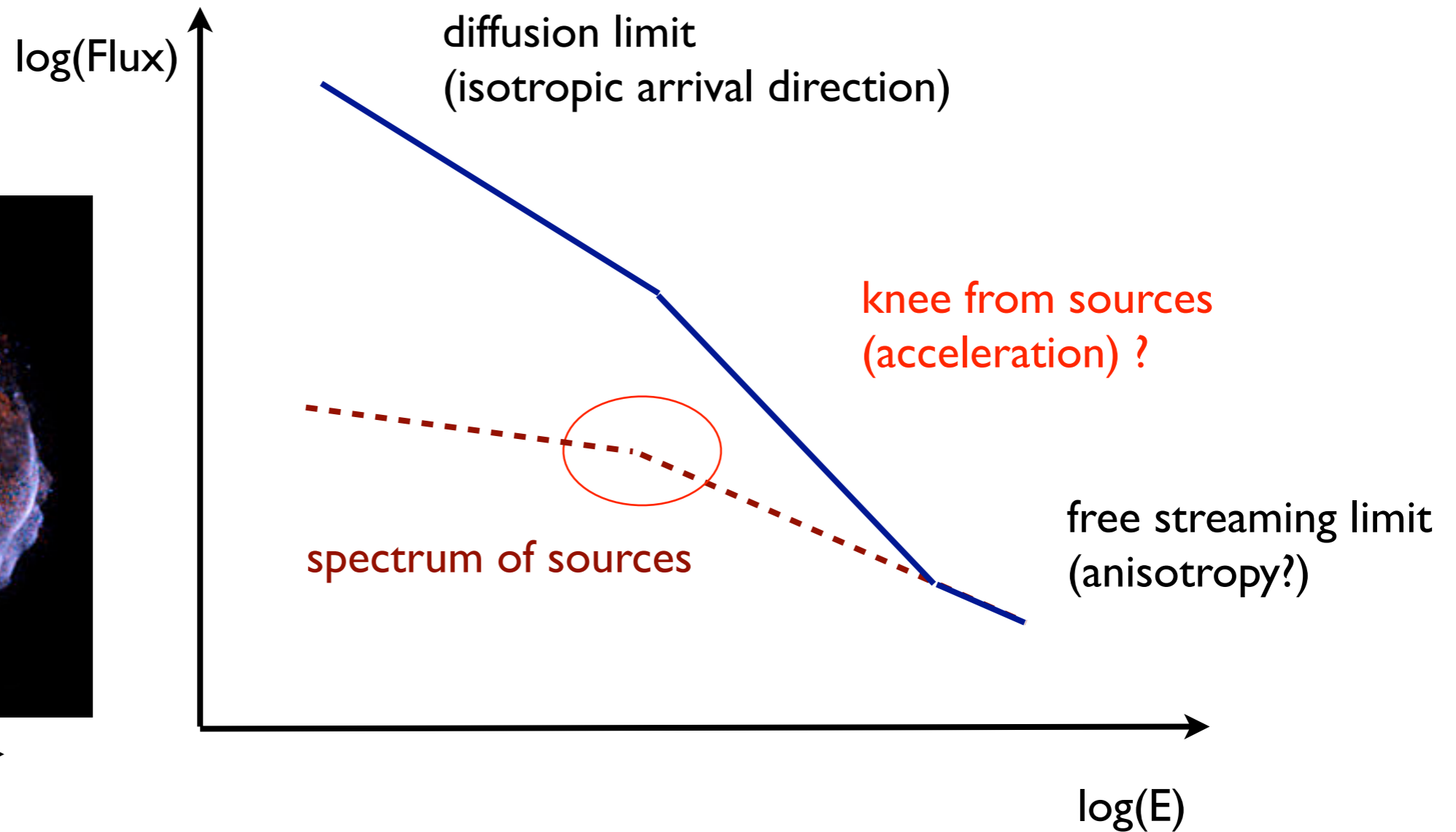
**Diffusion:** same behaviour for different elements at same rigidity  $p/Z \sim E/Z$

# Interpretation of the knee (ii)

SN remnant 1006  
Distance  $\sim 2.2$  kpc



$\longleftrightarrow$   
20 pc



**Acceleration:** same behaviour for different elements at same rigidity  $p/Z \sim E/Z$

# Exotic models for interpretation

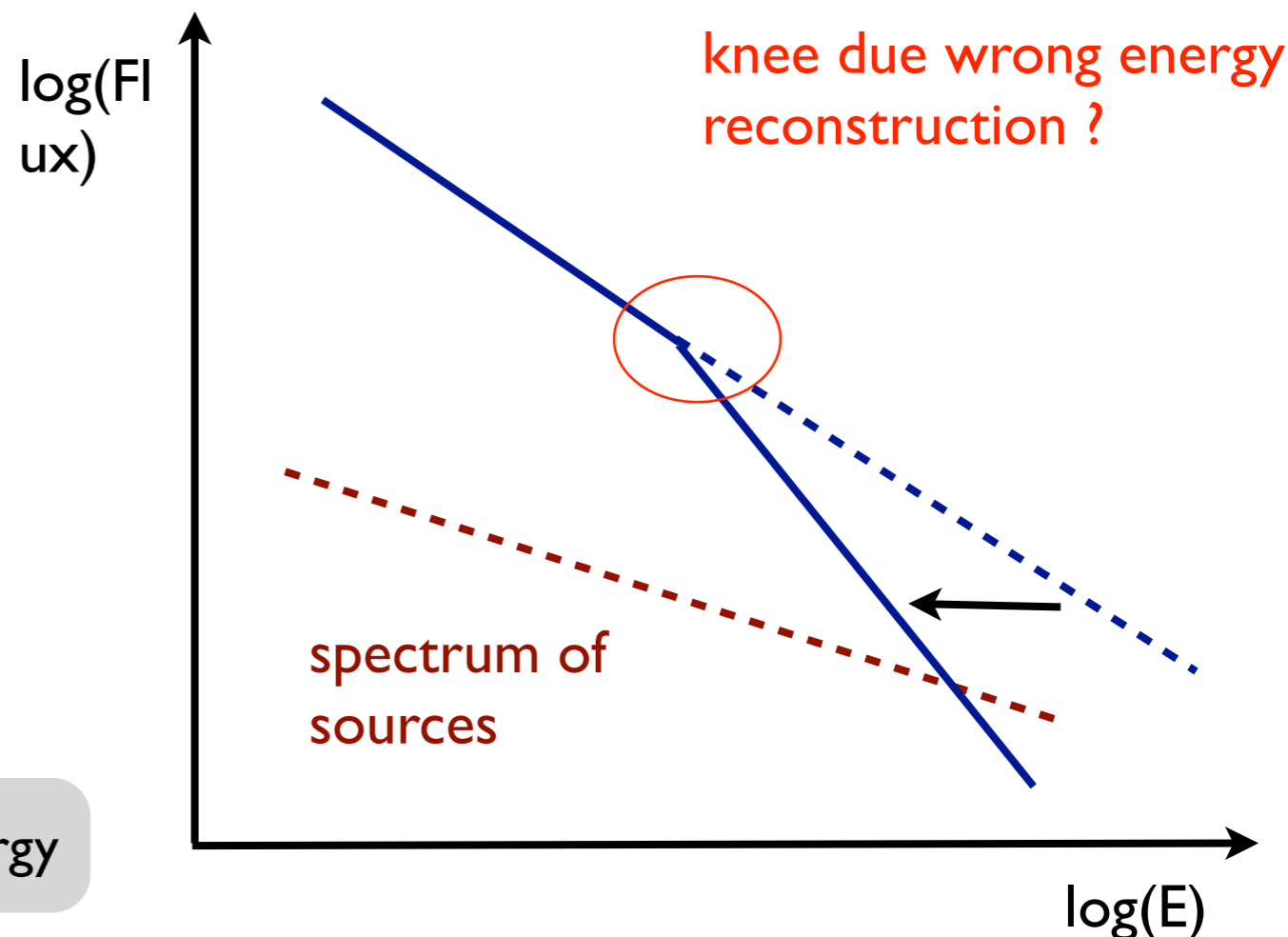
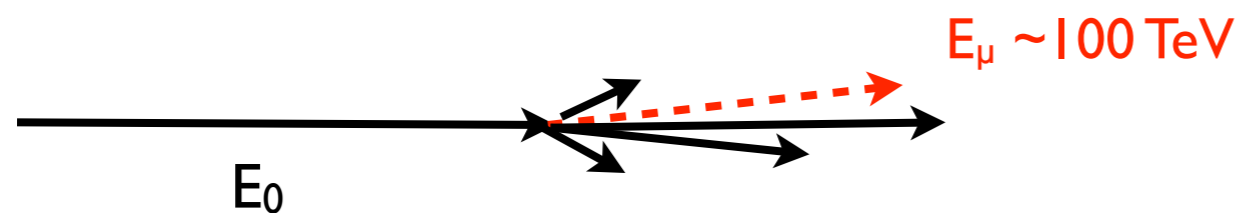
## The knee and unusual events at PeV energies

A.A.Petrukhin<sup>a</sup>

Nuclear Physics B (Proc. Suppl.) 151 (2006) 57–60

<sup>a</sup>Experimental Complex NEVOD, Moscow Engineering Physics Institute, Kashirskoe shosse, 31, Moscow 115409, Russia

The appearance of the knee in EAS energy spectrum in the atmosphere in PeV energy interval and observation of various types of unusual events approximately at same energies are considered as evidence for new physics. Some ideas about possible new physical processes at PeV energies are described. Perspectives to check these ideas and their consequences for experiments at higher energies are discussed.



New physics, the cosmic ray spectrum knee, and  $pp$  cross section measurements

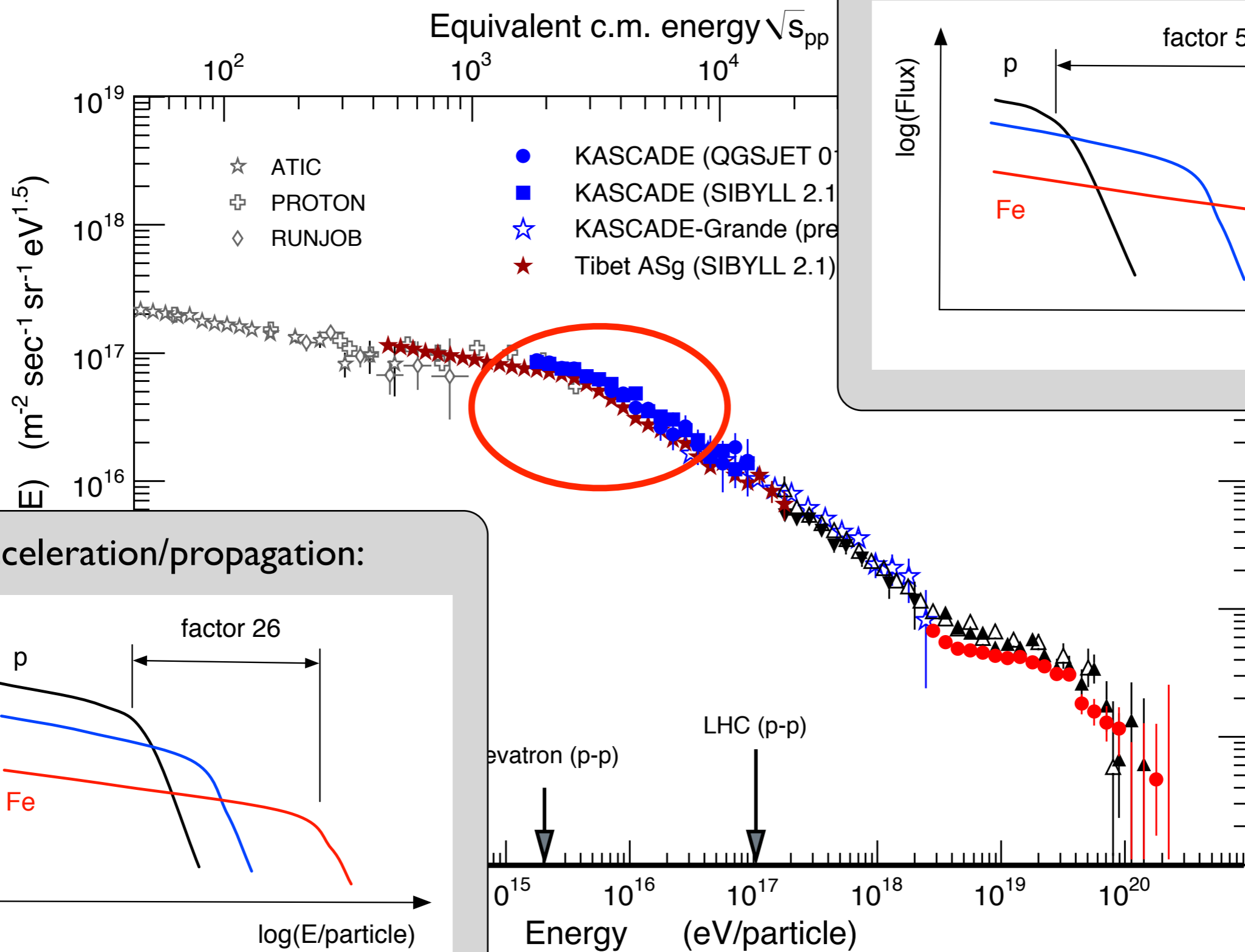
Aparna Dixit<sup>1</sup>, Pankaj Jain<sup>2</sup>, Douglas W. McKay<sup>3</sup>, and Parama Mukherjee<sup>4</sup>

December 7, 2009

New physics: scaling with nucleon-nucleon cms energy



# Origin and physics of the knee



# KASCADE

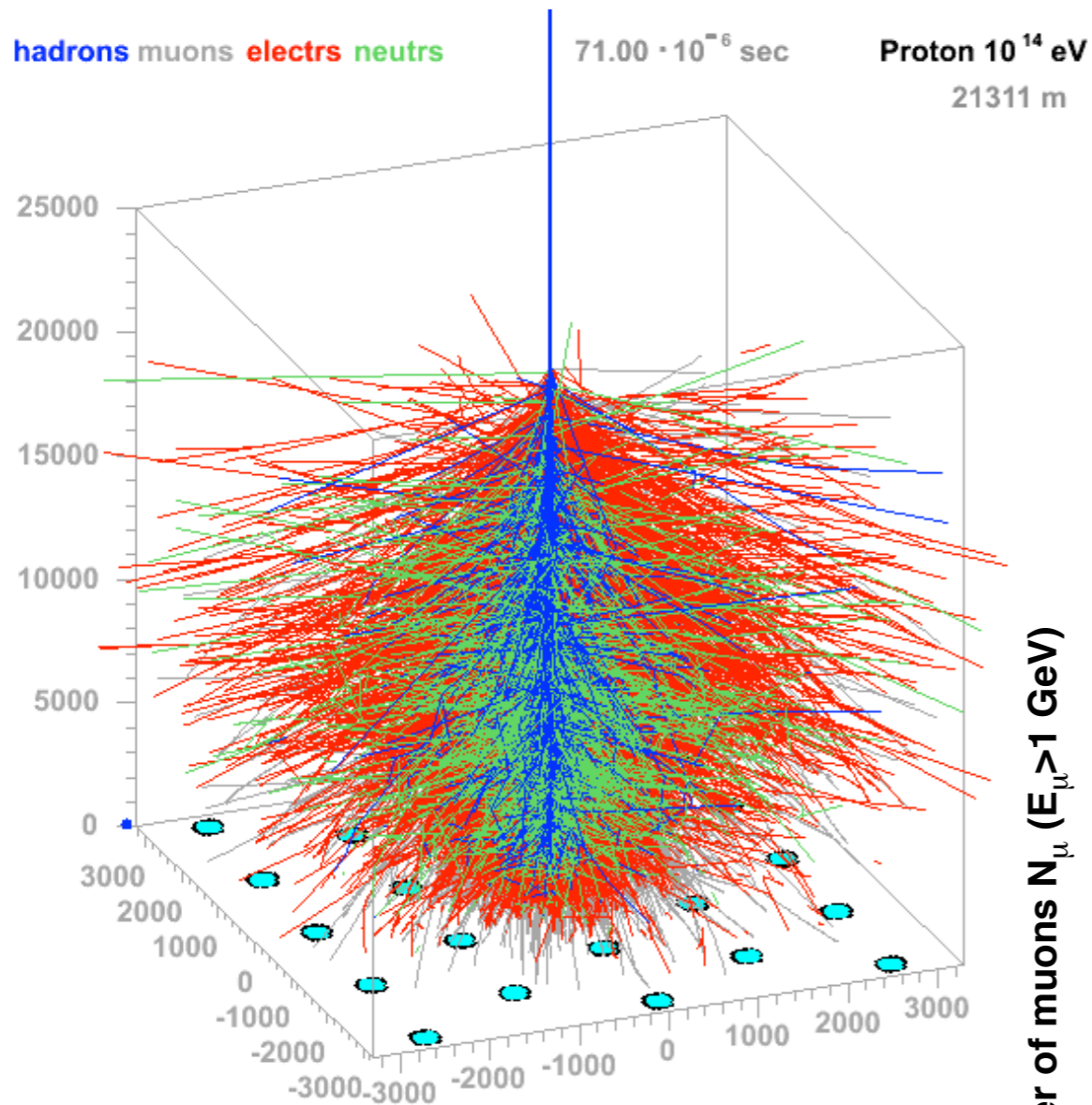
*Karlsruhe, Germany*



Area  $\sim 0.04 \text{ km}^2$ ,  
252 surface detectors



# Air shower ground arrays

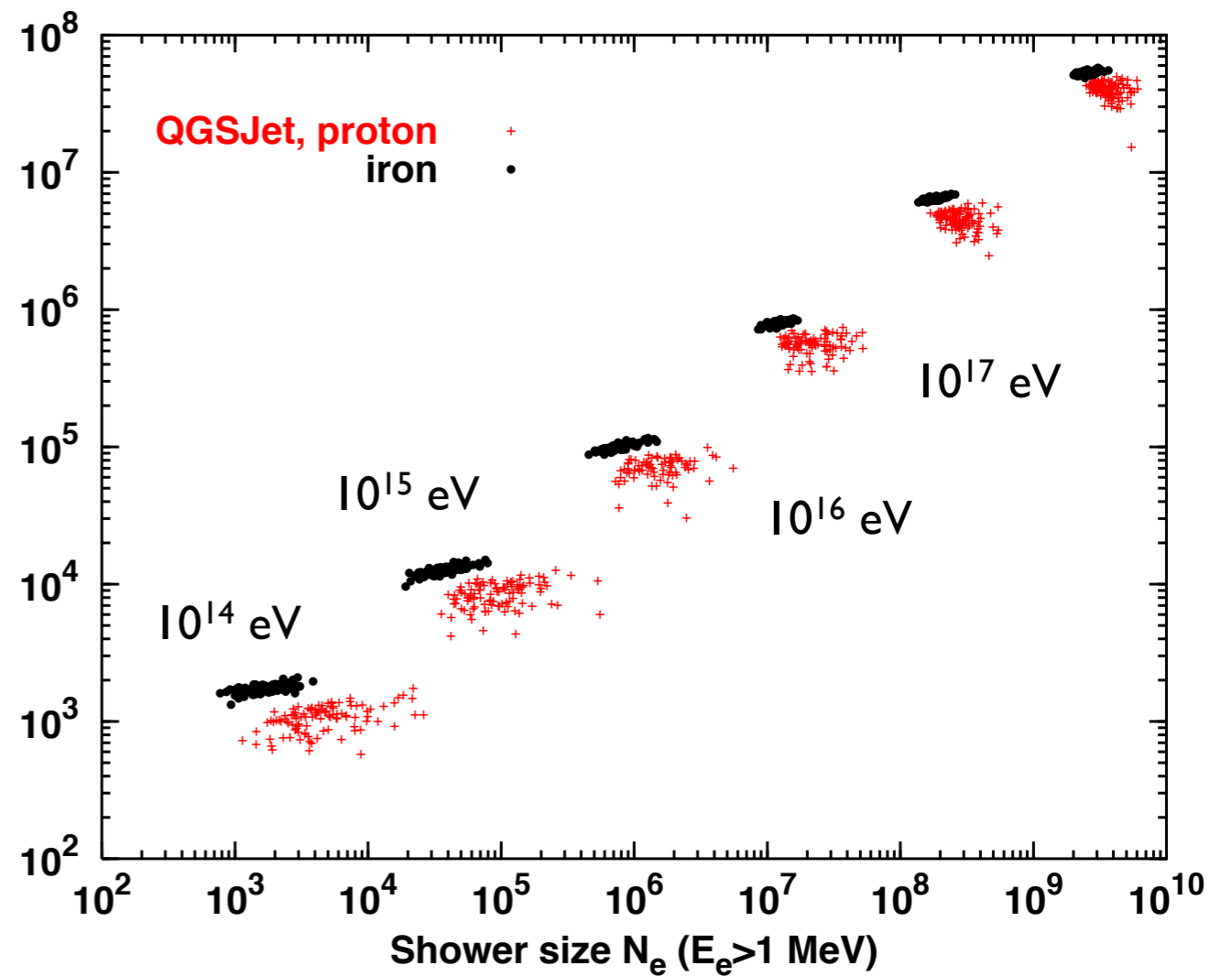


J.Oehlschlaeger,R.Engel,FZKarlsruhe

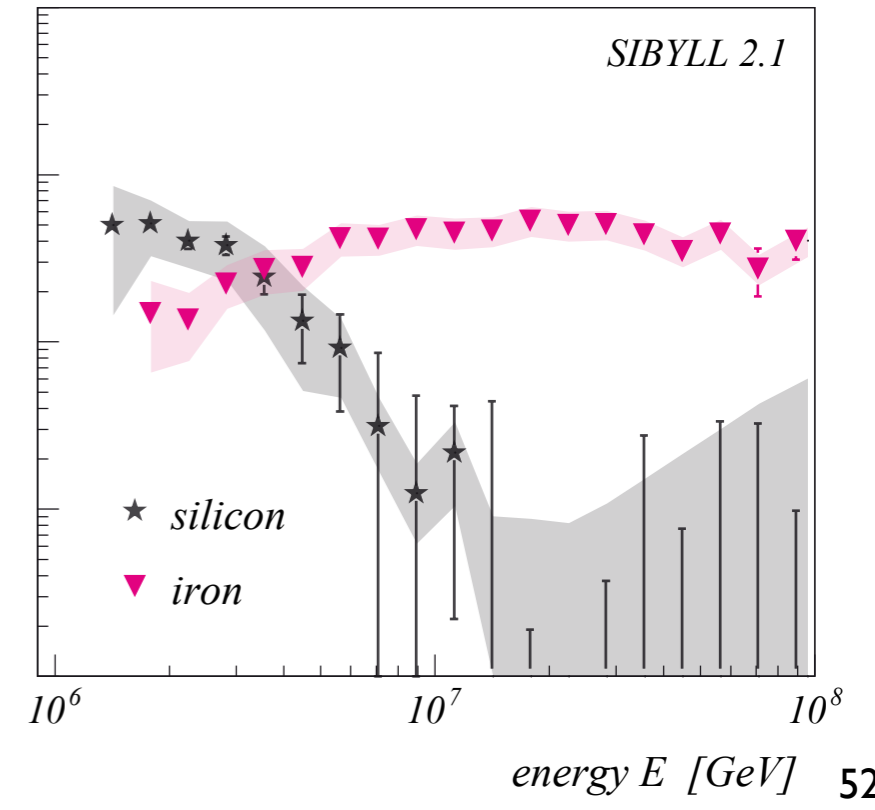
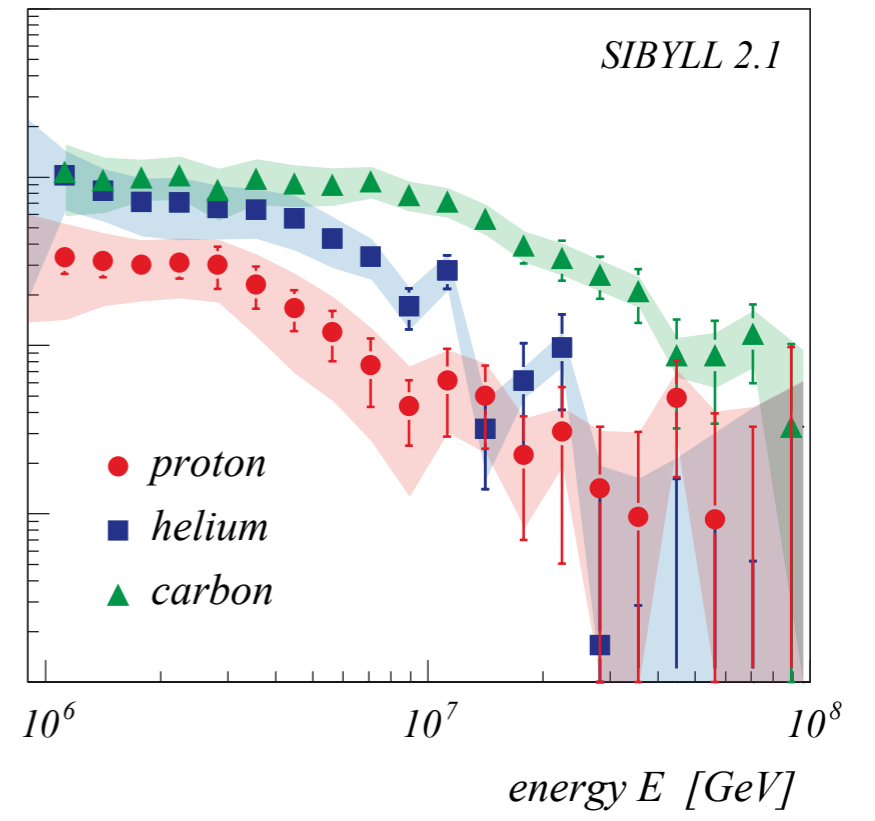
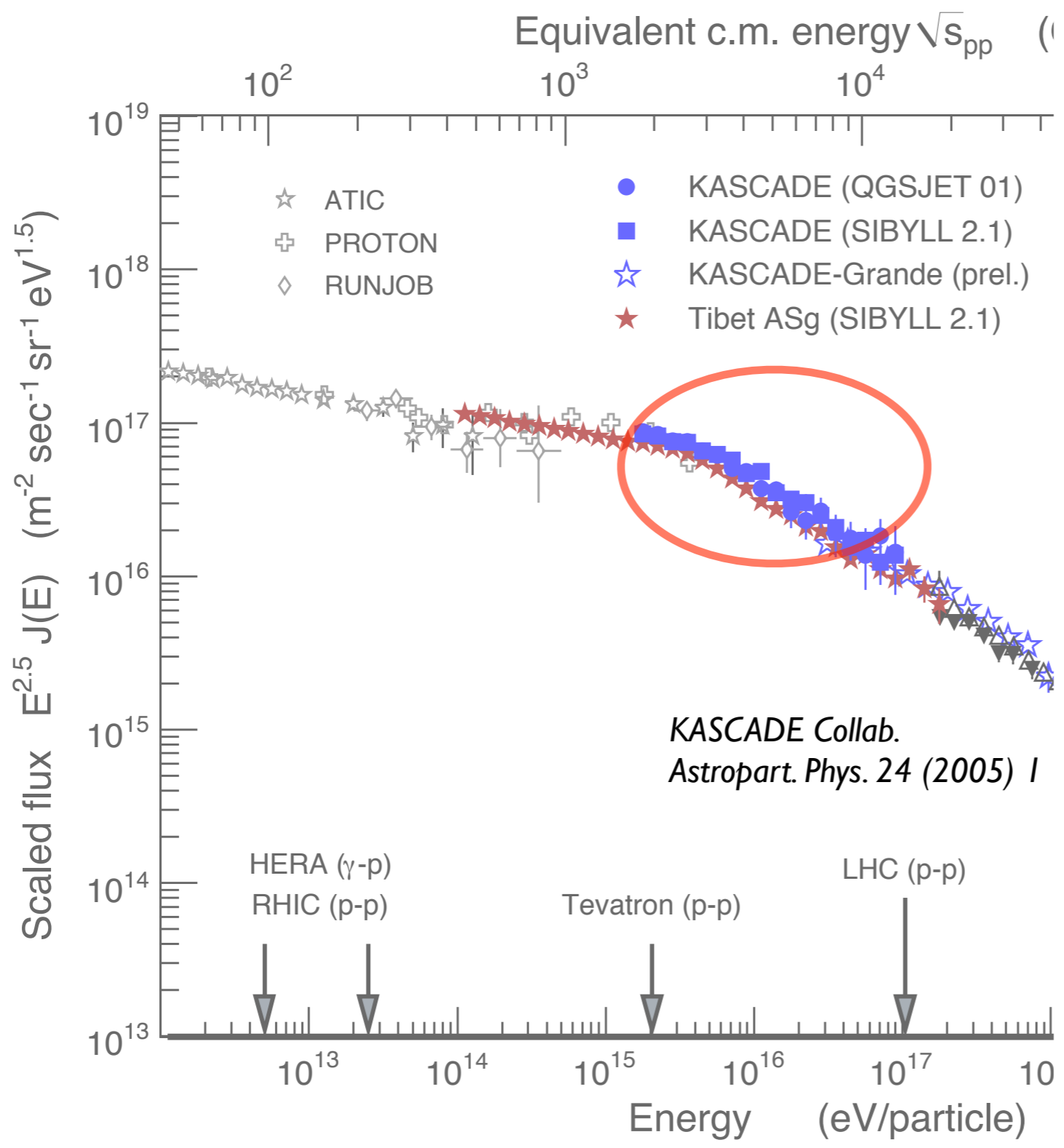
Example:  
KASCADE-Grande (Karlsruhe)

Number of muons  $N_{\mu}$  ( $E_{\mu} > 1$  GeV)

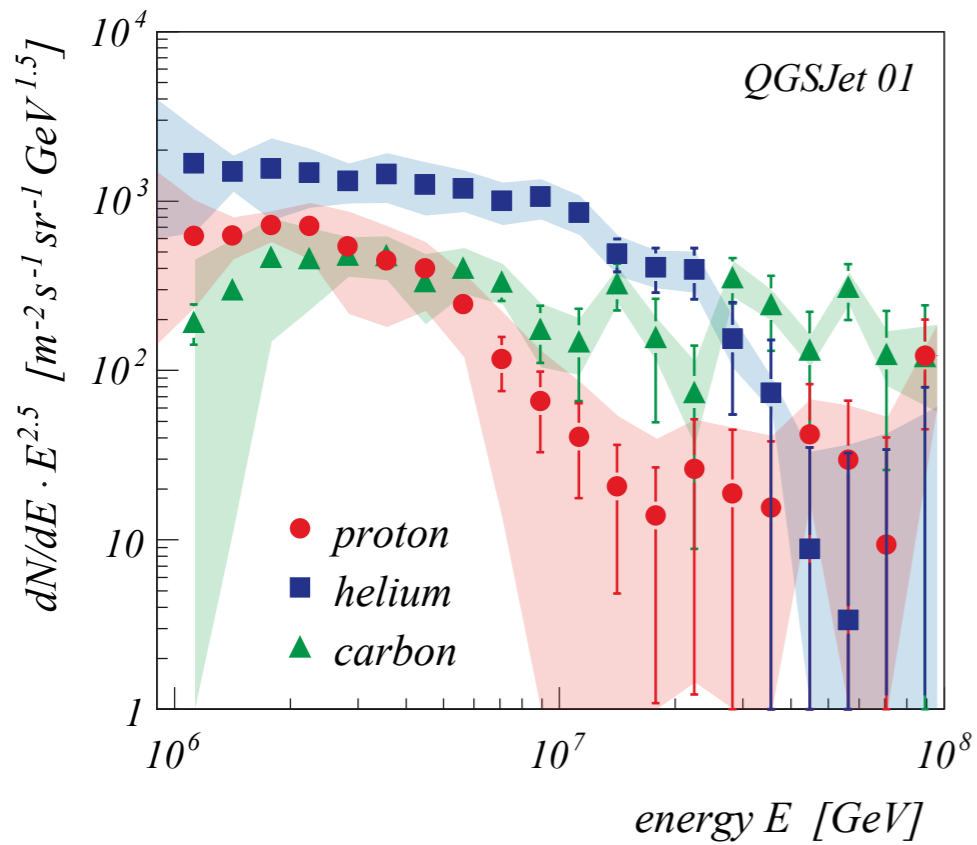
Combined energy-  
composition analysis



# Composition in Knee region (i)

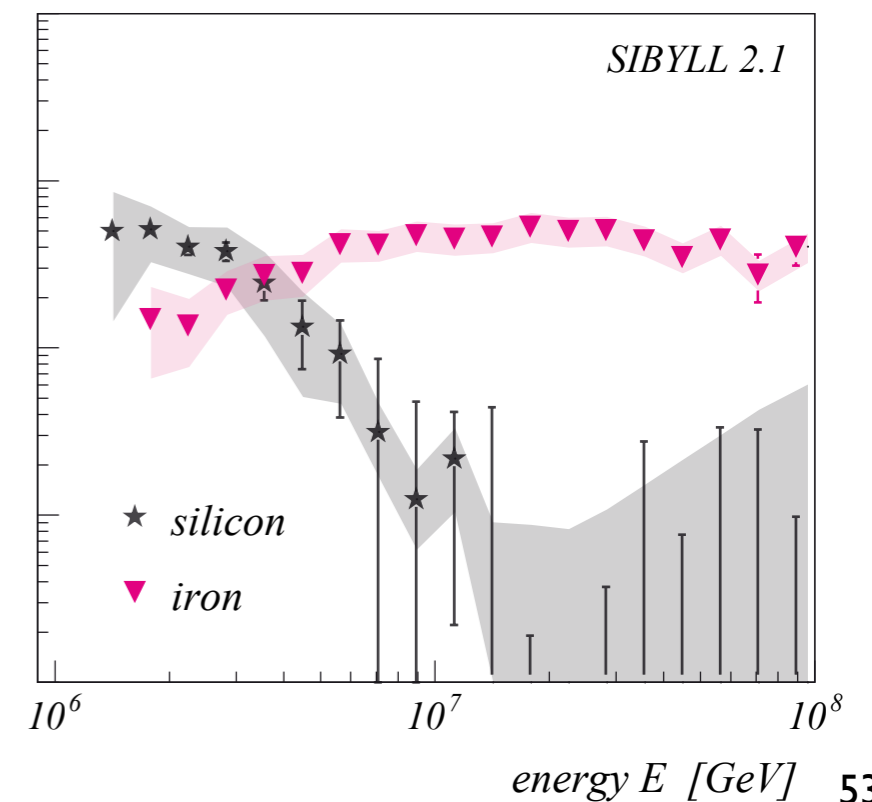
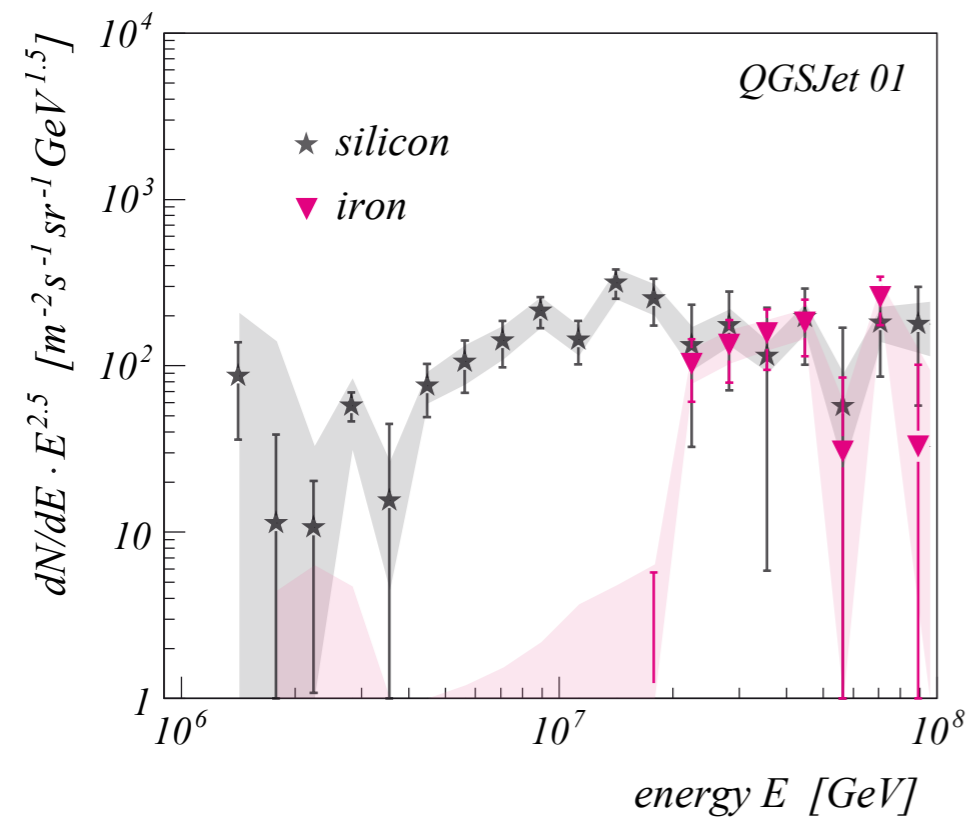
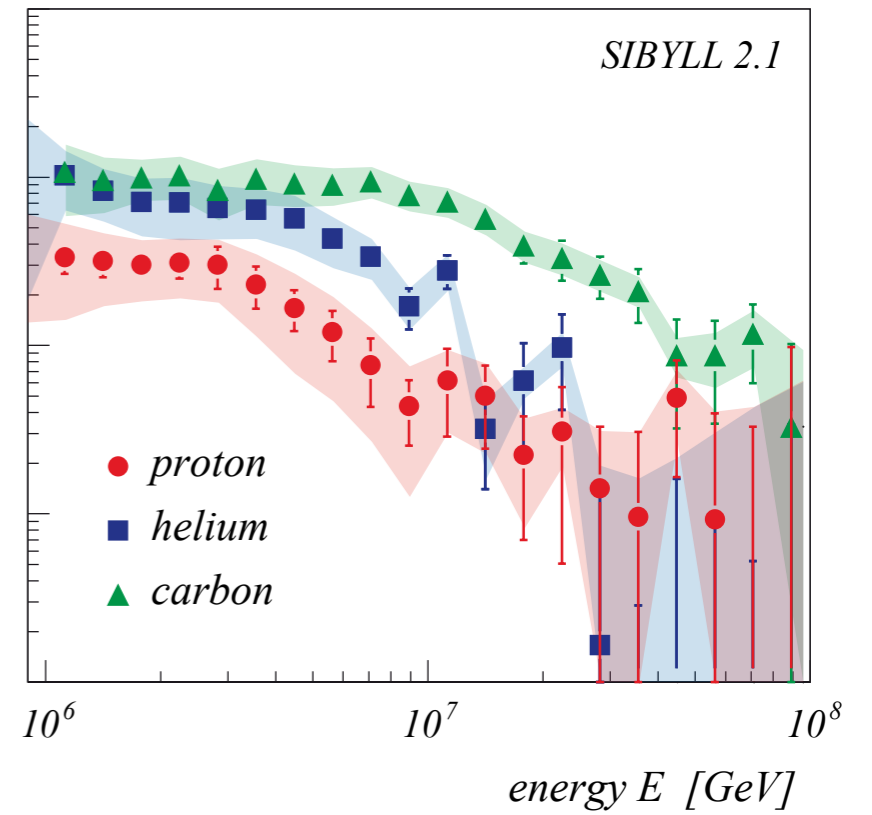
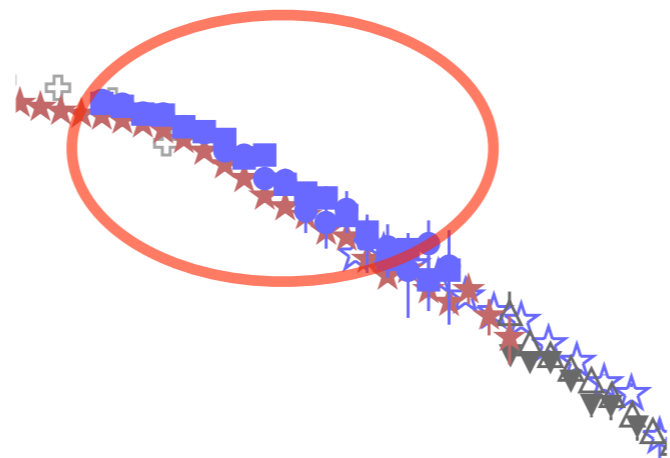


# Composition in Knee region (ii)

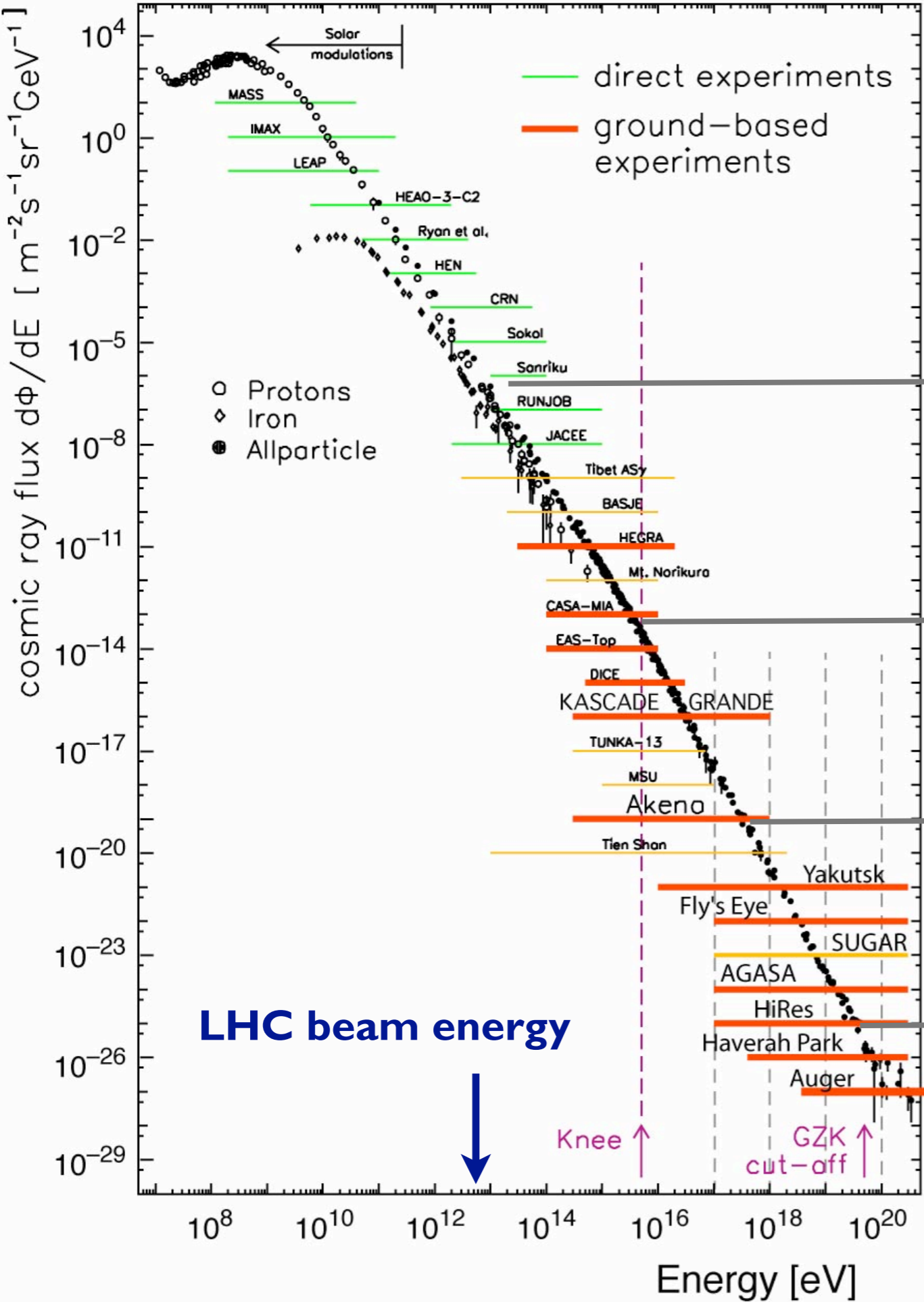


ivalent c.m. energy  $\sqrt{s}_{pp}$  (

- KASCADE (QGSJET 01)
- KASCADE (SIBYLL 2.1)
- ☆ KASCADE-Grande (prel.)
- ★ Tibet ASg (SIBYLL 2.1)



# Rate of particles



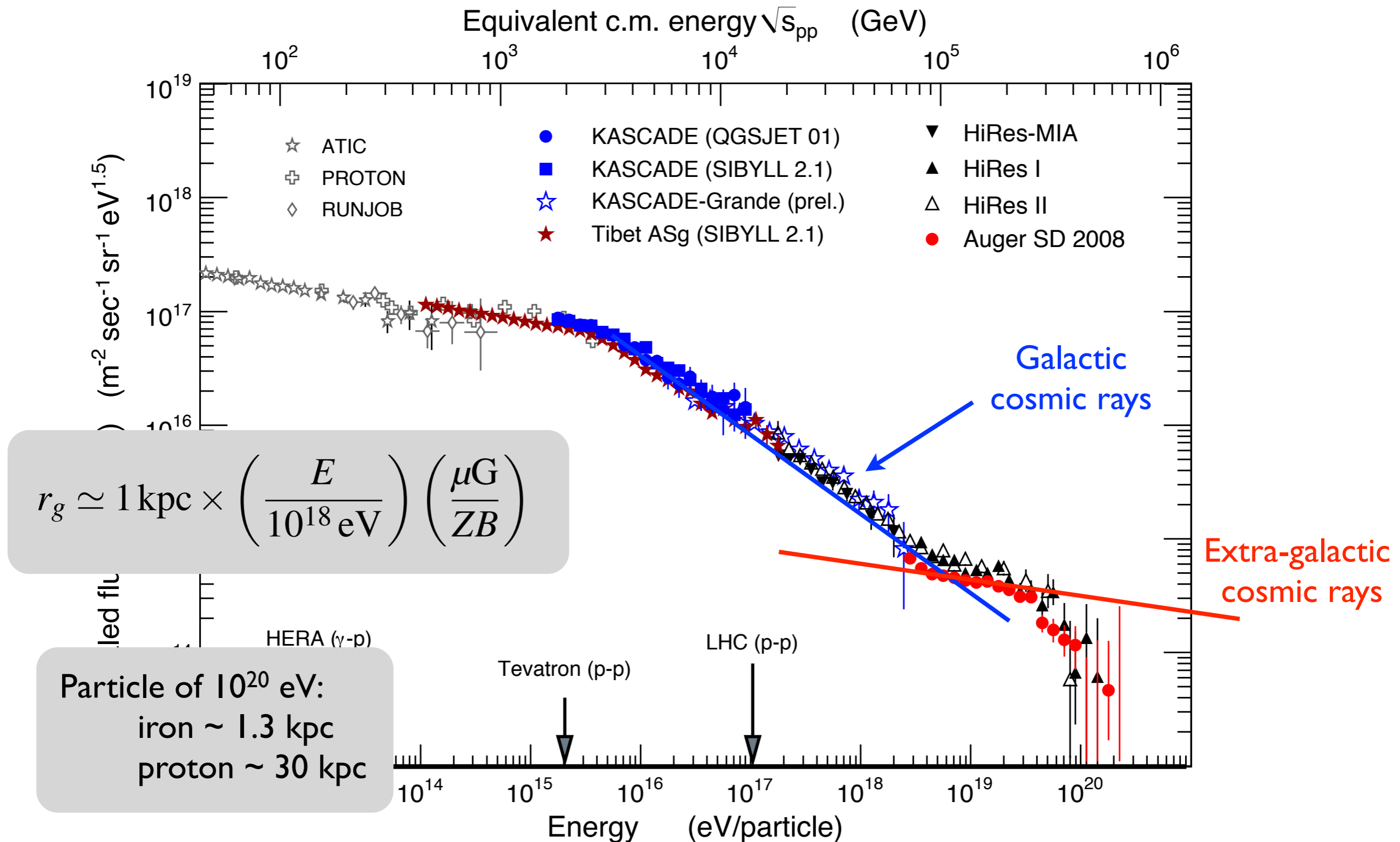
0.1 particles / m<sup>2</sup> min.

10 particles / km<sup>2</sup> min.

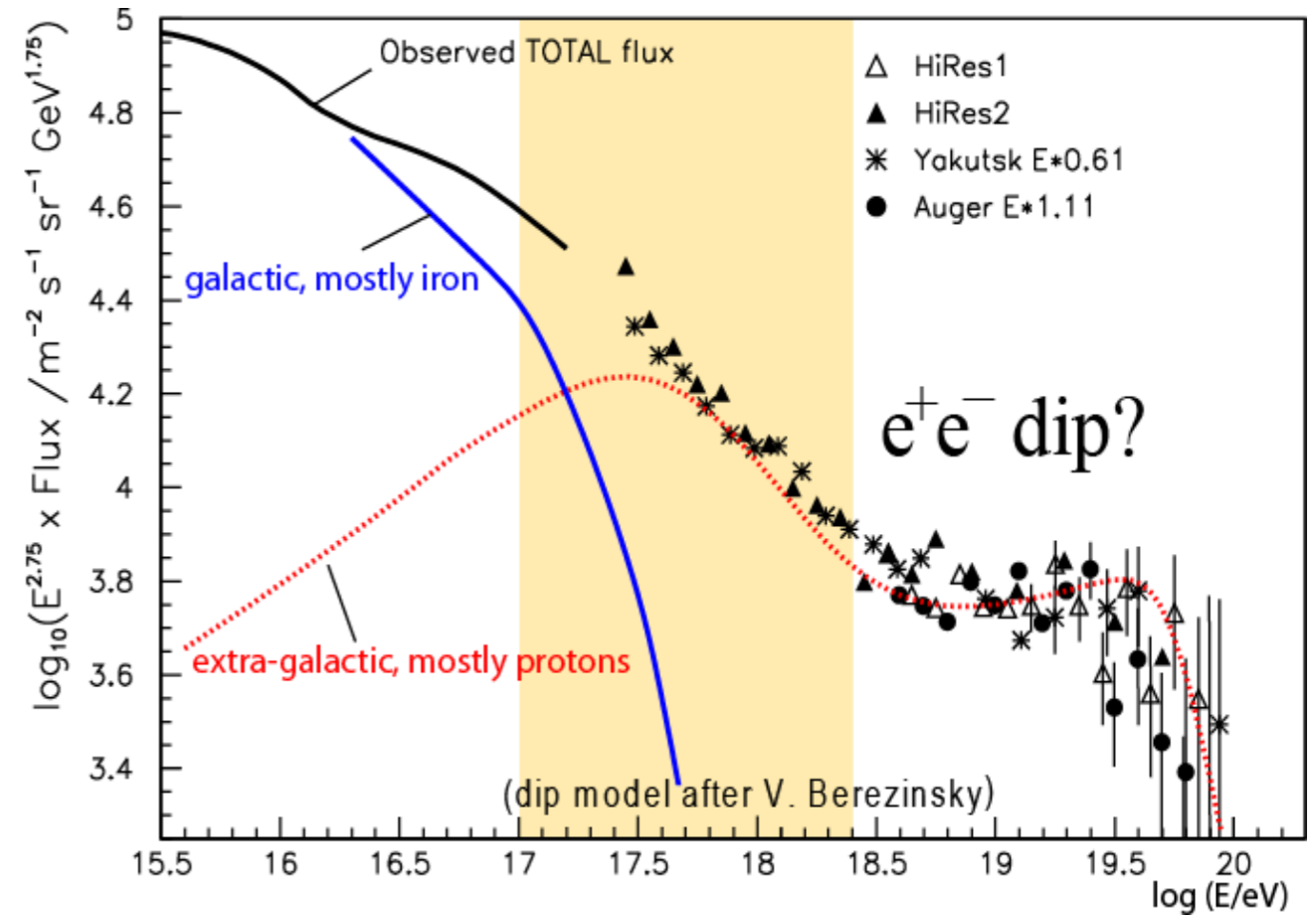
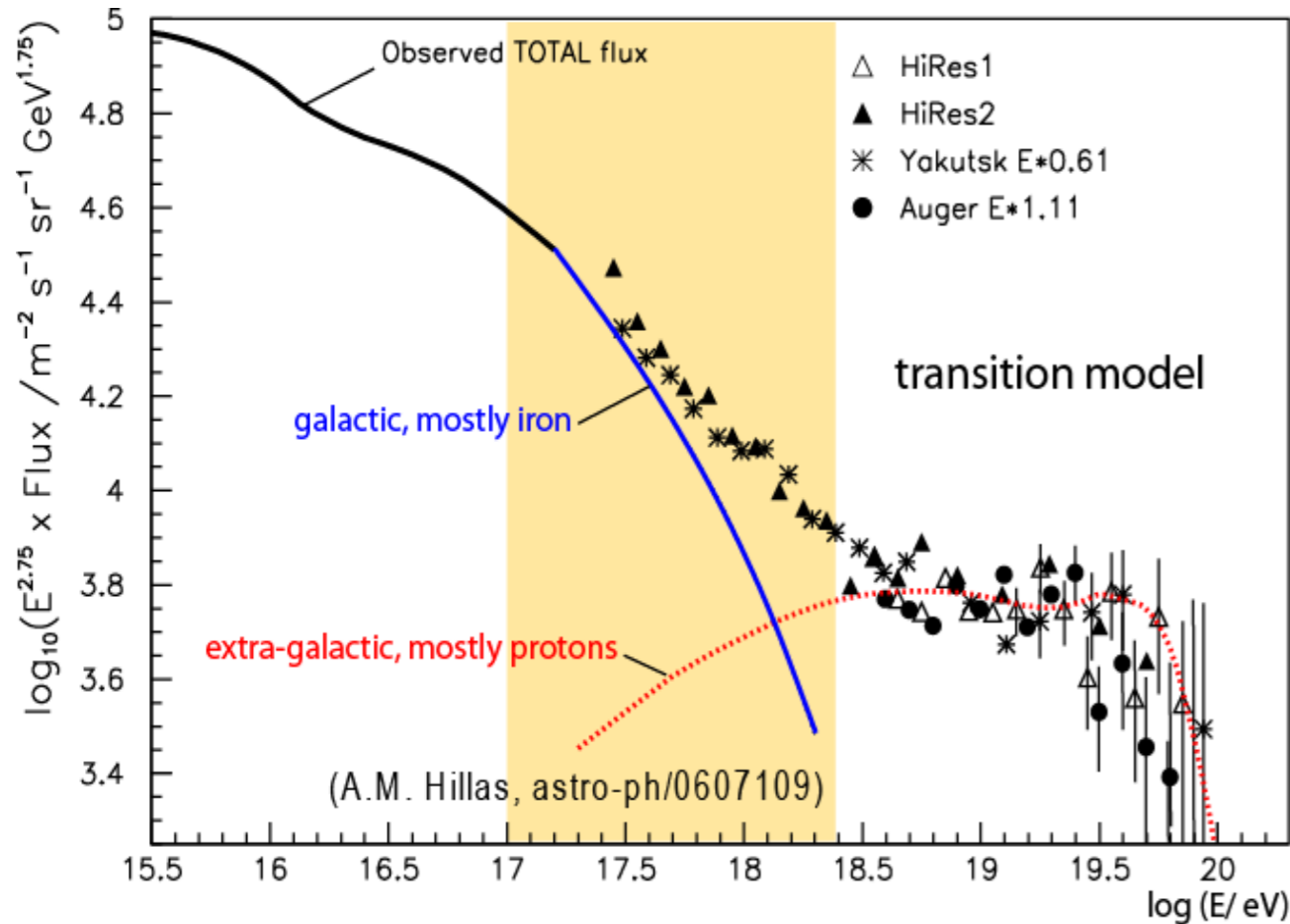
10 particles / km<sup>2</sup> day

10 particles / km<sup>2</sup> century

# Origin of the ankle: transition model



# Transition from galactic to extragalactic sources



Hillas:

- Ankle is transition galactic to extragalactic cosmic rays
- Injection spectrum  $dN/dE \sim E^{-2.3}$

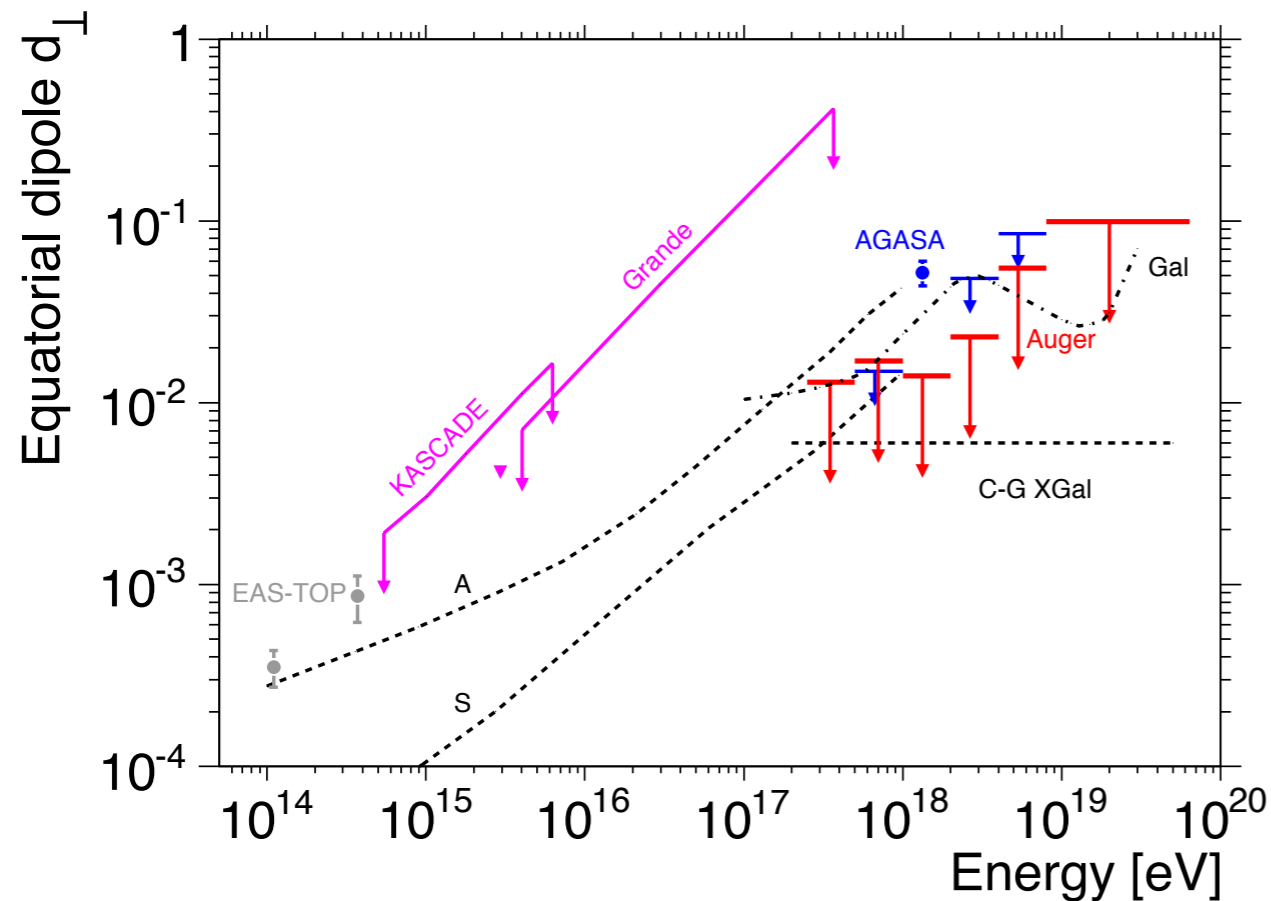
Berezinsky et al.:

- Ankle is feature due to extragalactic proton propagation
- Injection spectrum  $dN/dE \sim E^{-2.7}$

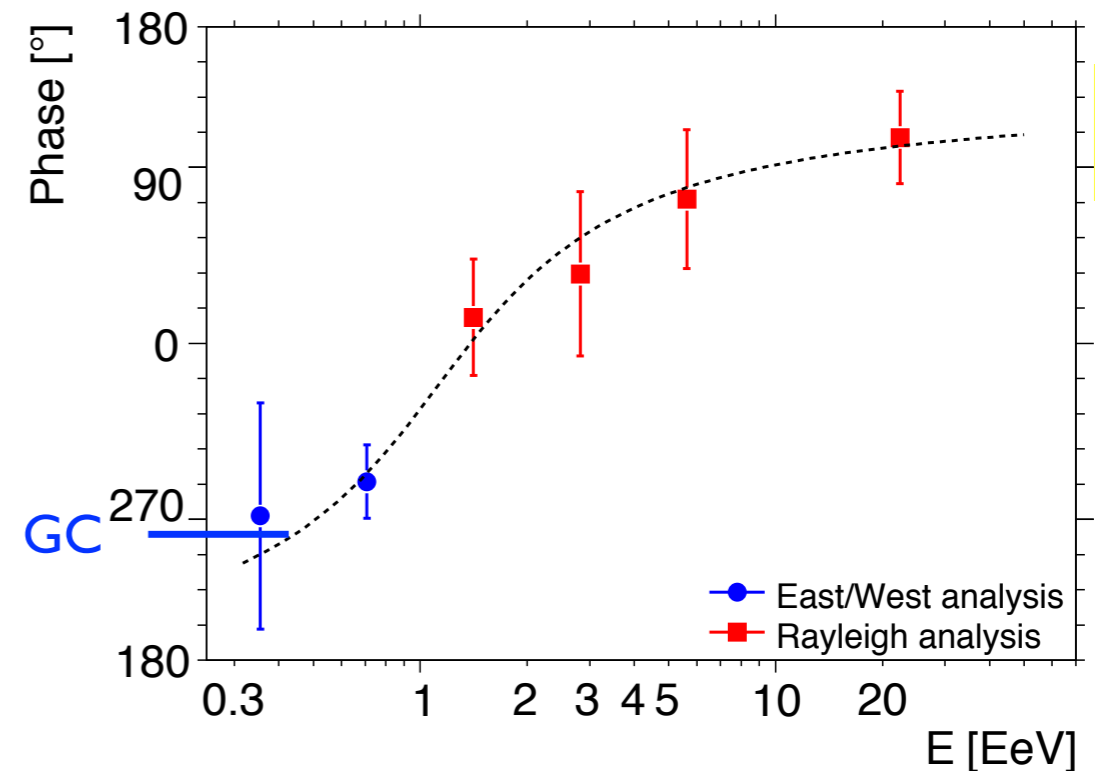
Flux very similar, composition different



# Large scale anisotropy data



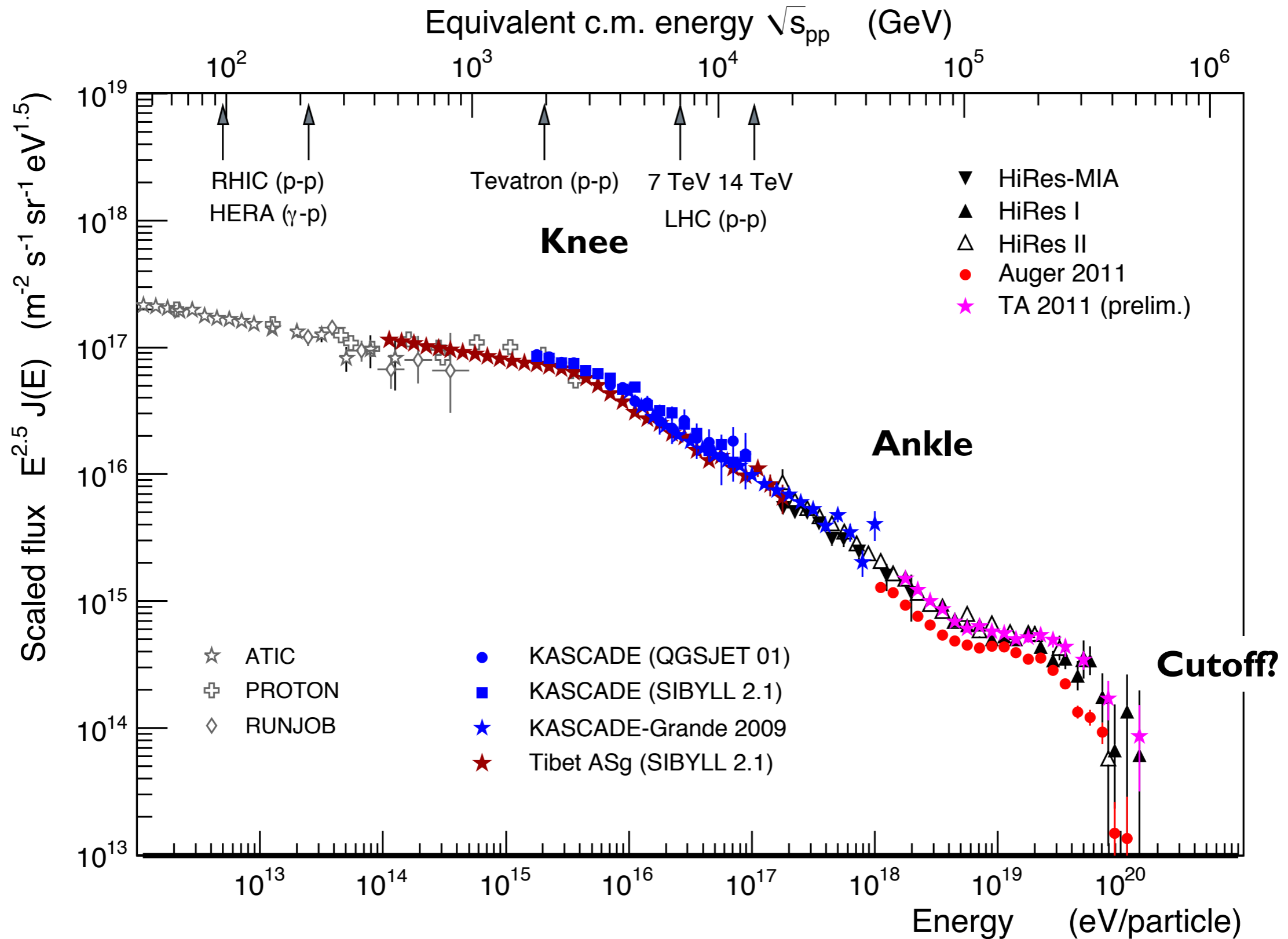
(Auger Collab. *Astropart. Phys.* 34 (2011) 627)



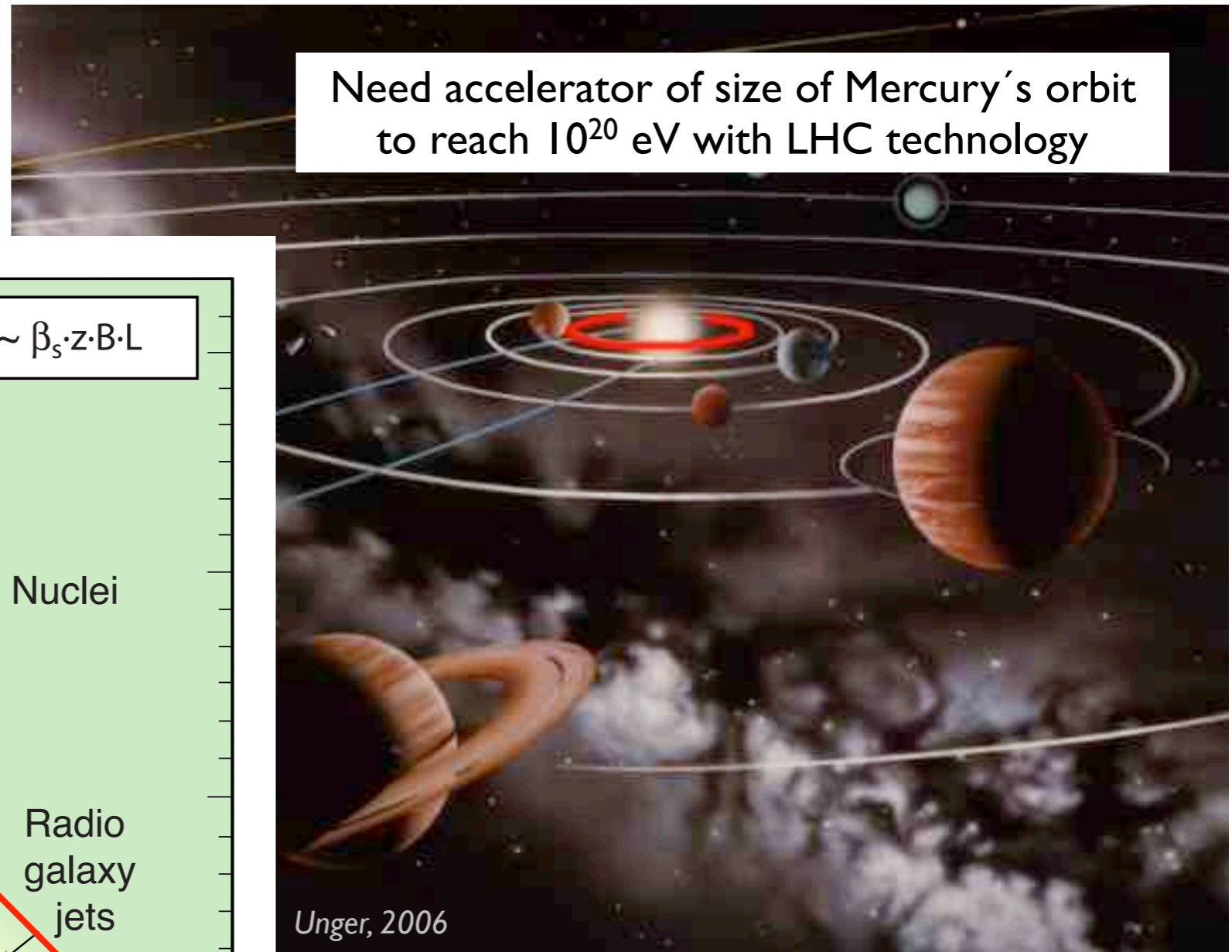
Typically only search for dipole anisotropy in equatorial coordinates (Rayleigh analysis)  
Phase expected to be more sensitive than amplitude

Upper limits: surprisingly small anisotropy of arrival direction distribution

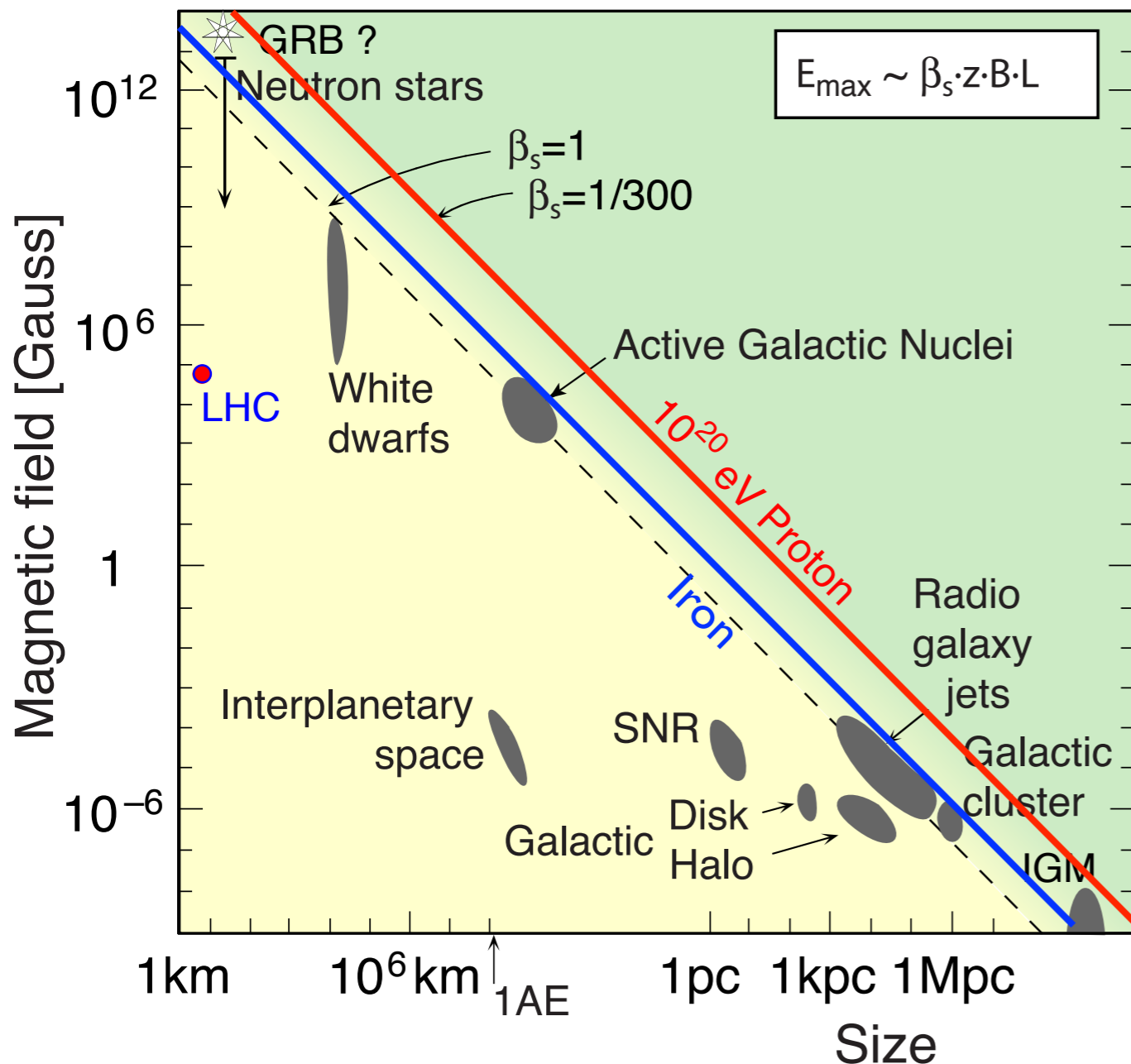
# Re-scaled flux: several breaks in power law



# Problem I: Sources of $10^{20}$ eV particles



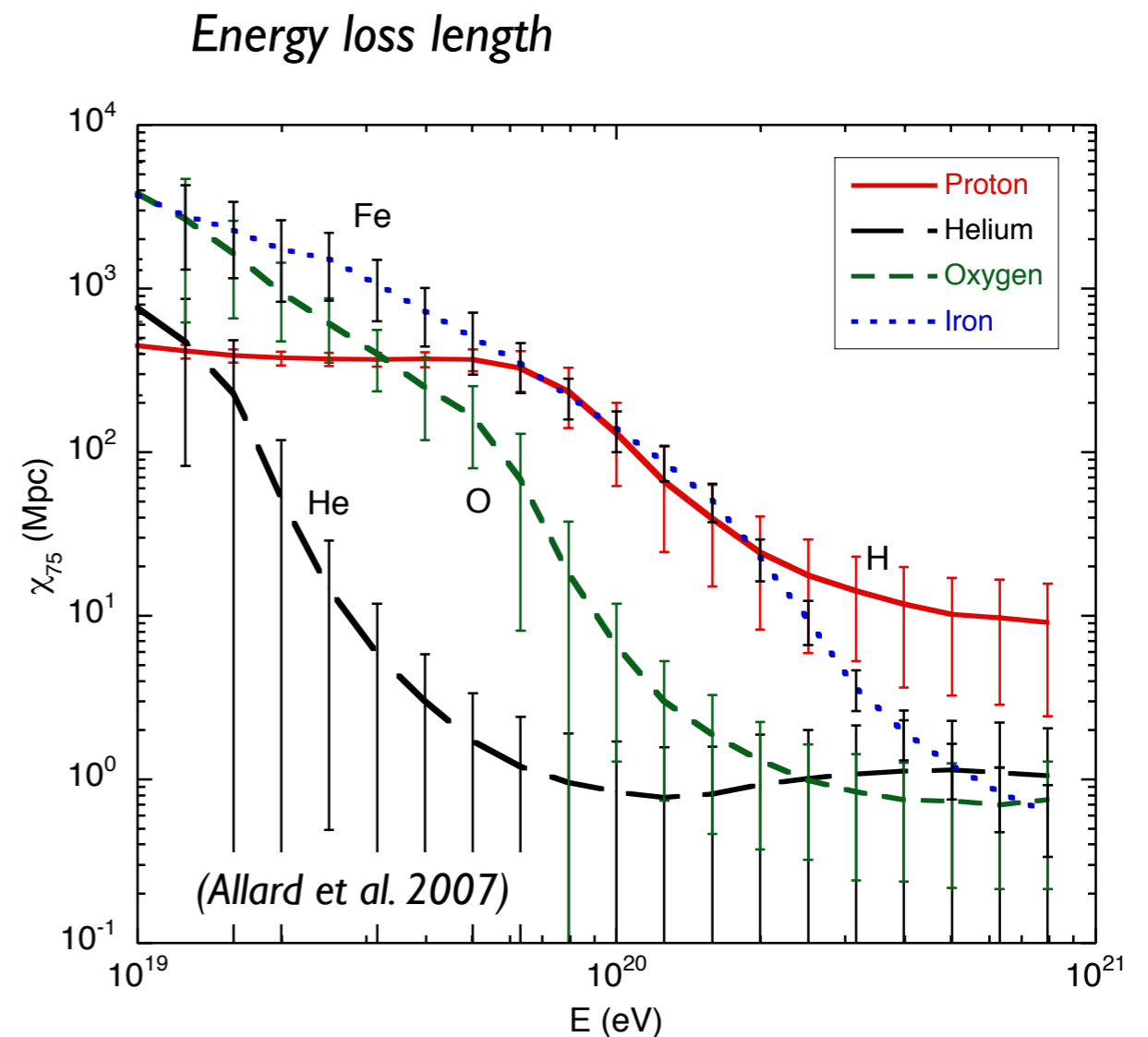
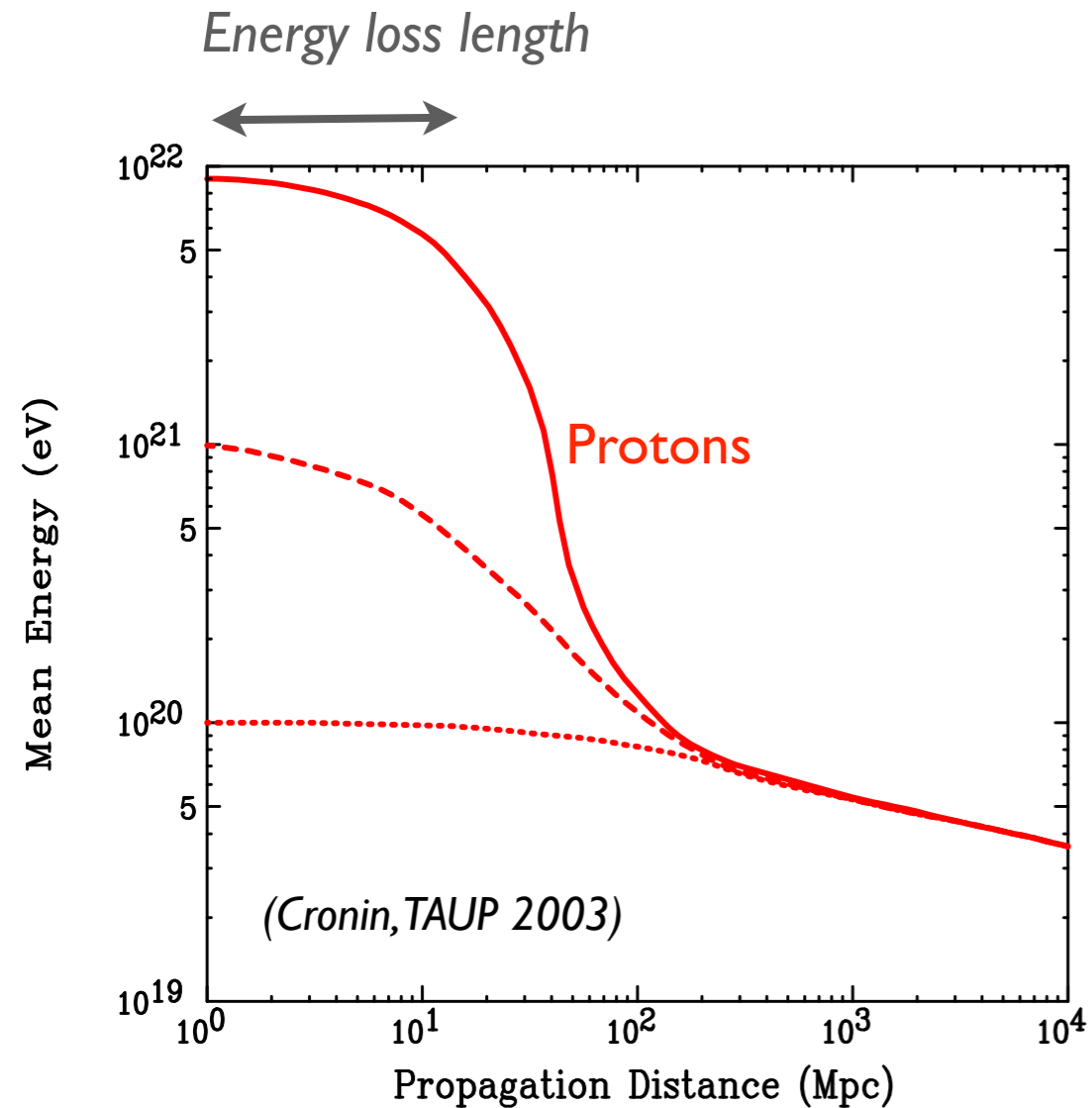
Hillas plot (1984)



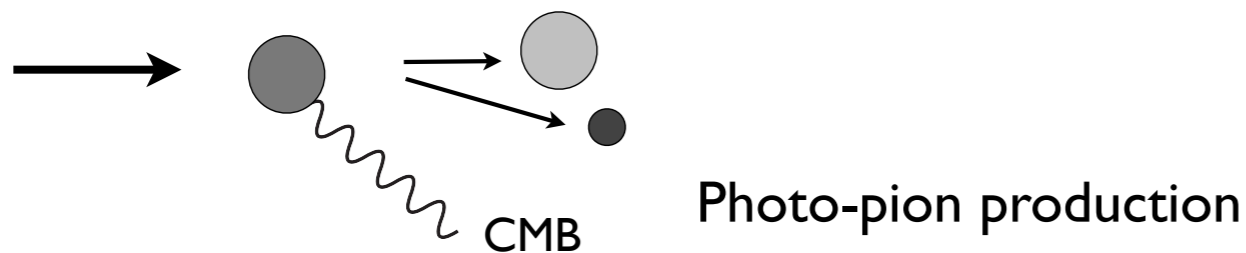
## Realistic constraints more severe

- small acceleration efficiency
- synchrotron & adiabatic losses
- interactions in source region

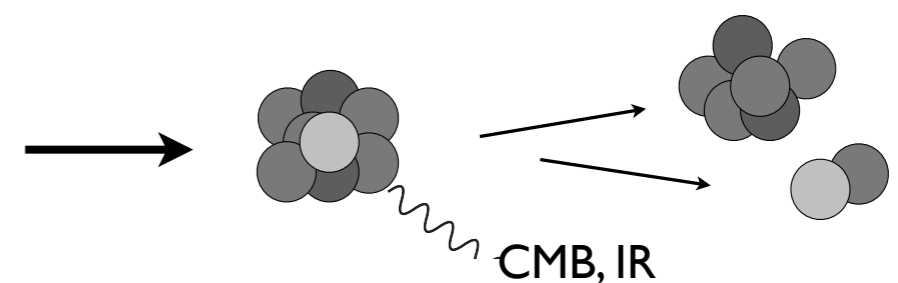
# Problem 2: Flux suppression due to GZK effect



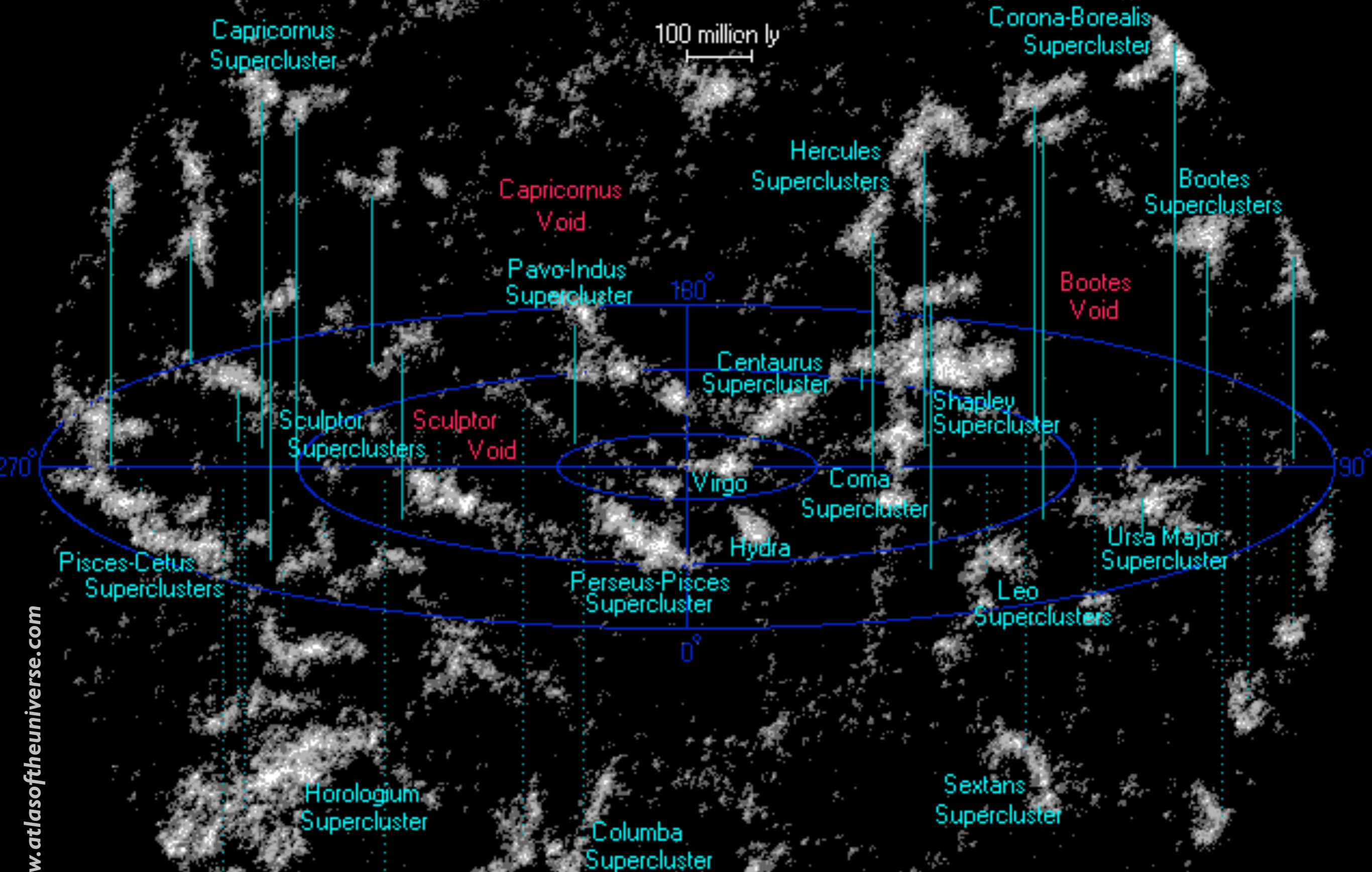
## Greisen-Zatsepin-Kuzmin effect (1966)



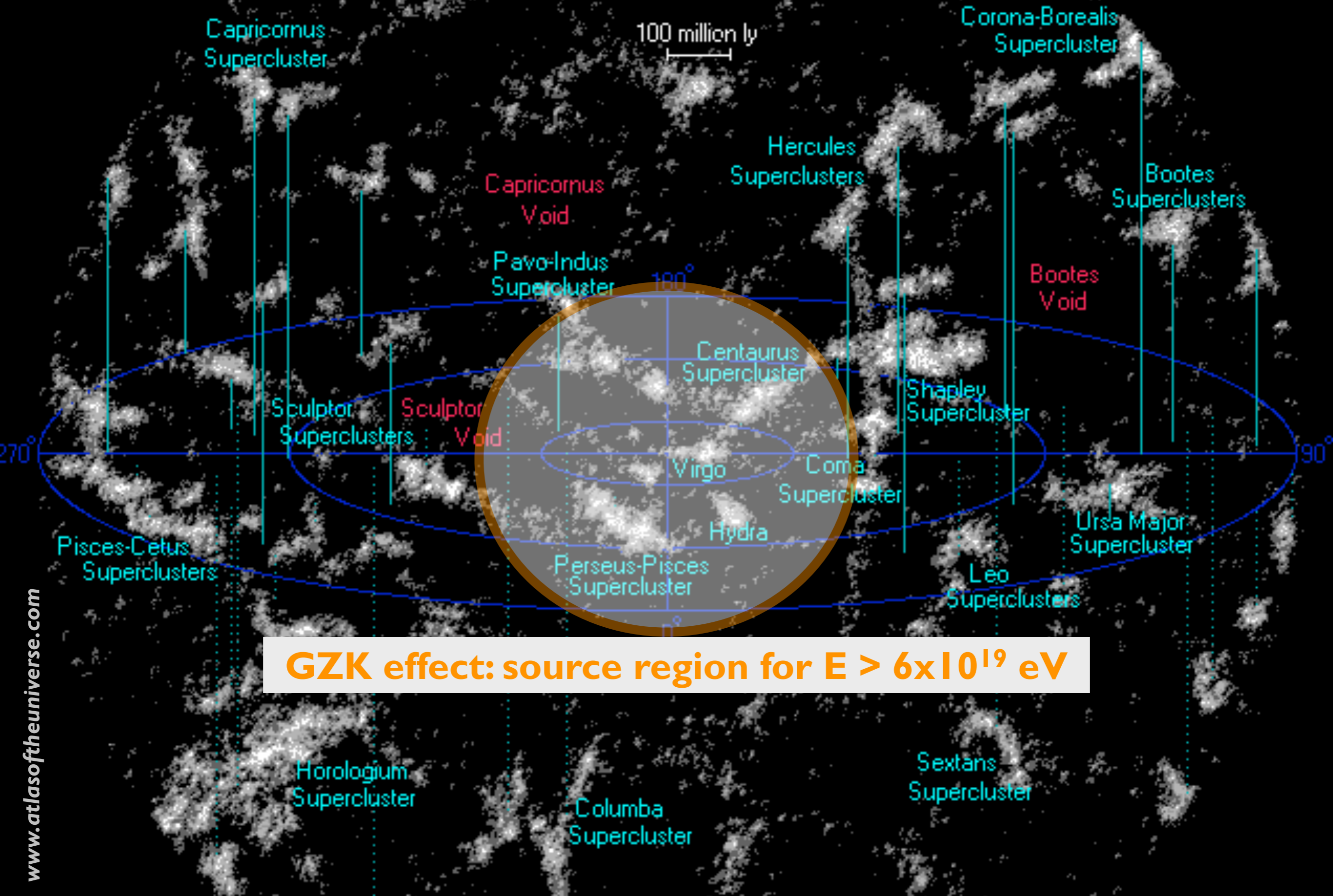
## Photo-dissociation (giant dipole resonance)



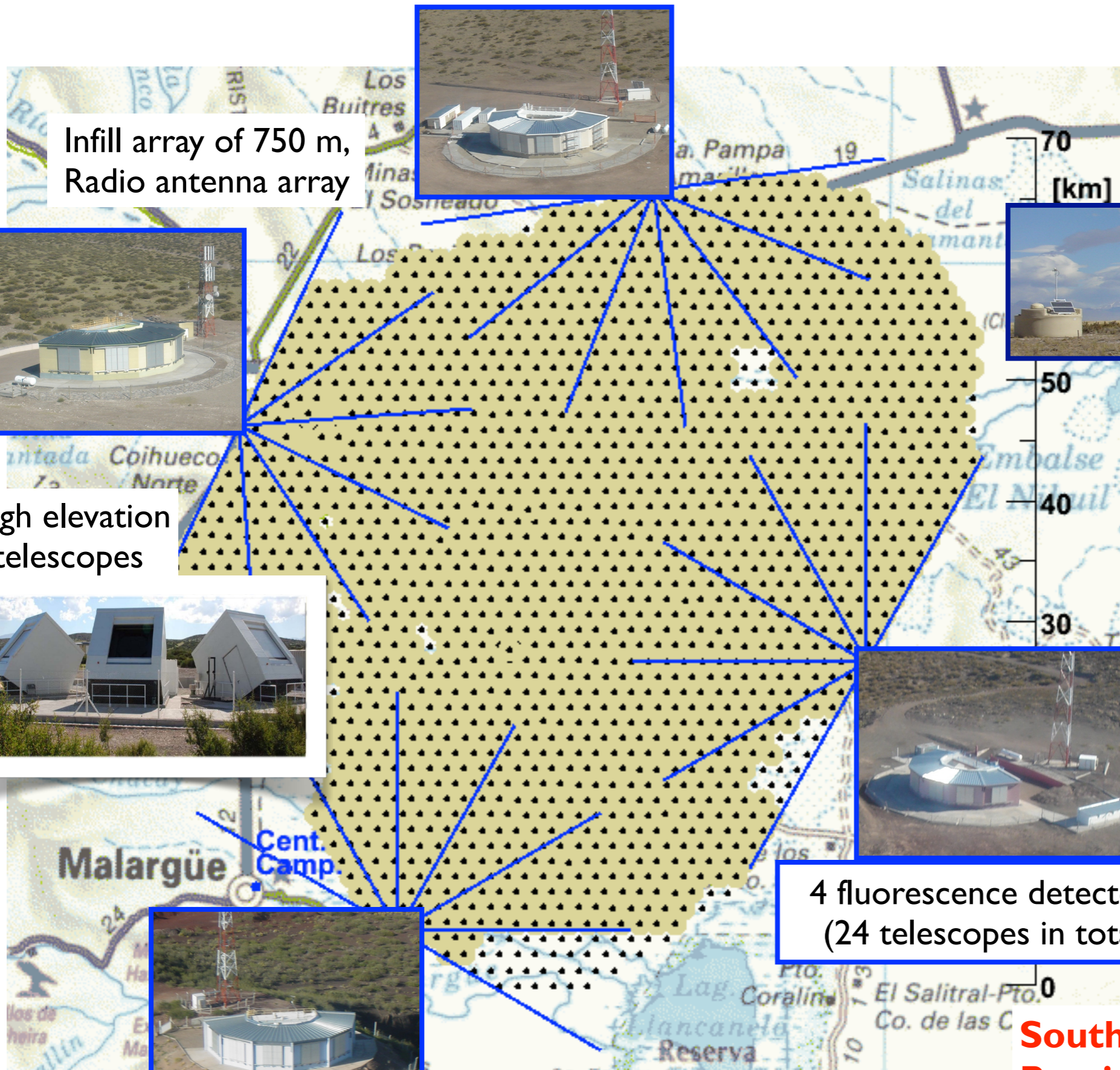
# Problem 3: Arrival direction distribution



# GZK effect: anisotropy expected for light elements



# The Pierre Auger Observatory



Infill array of 750 m,  
Radio antenna array

LIDARs and laser facilities



High elevation  
telescopes



1665 surface detectors:  
water-Cherenkov tanks  
(grid of 1.5 km, 3000 km<sup>2</sup>)



4 fluorescence detectors  
(24 telescopes in total)



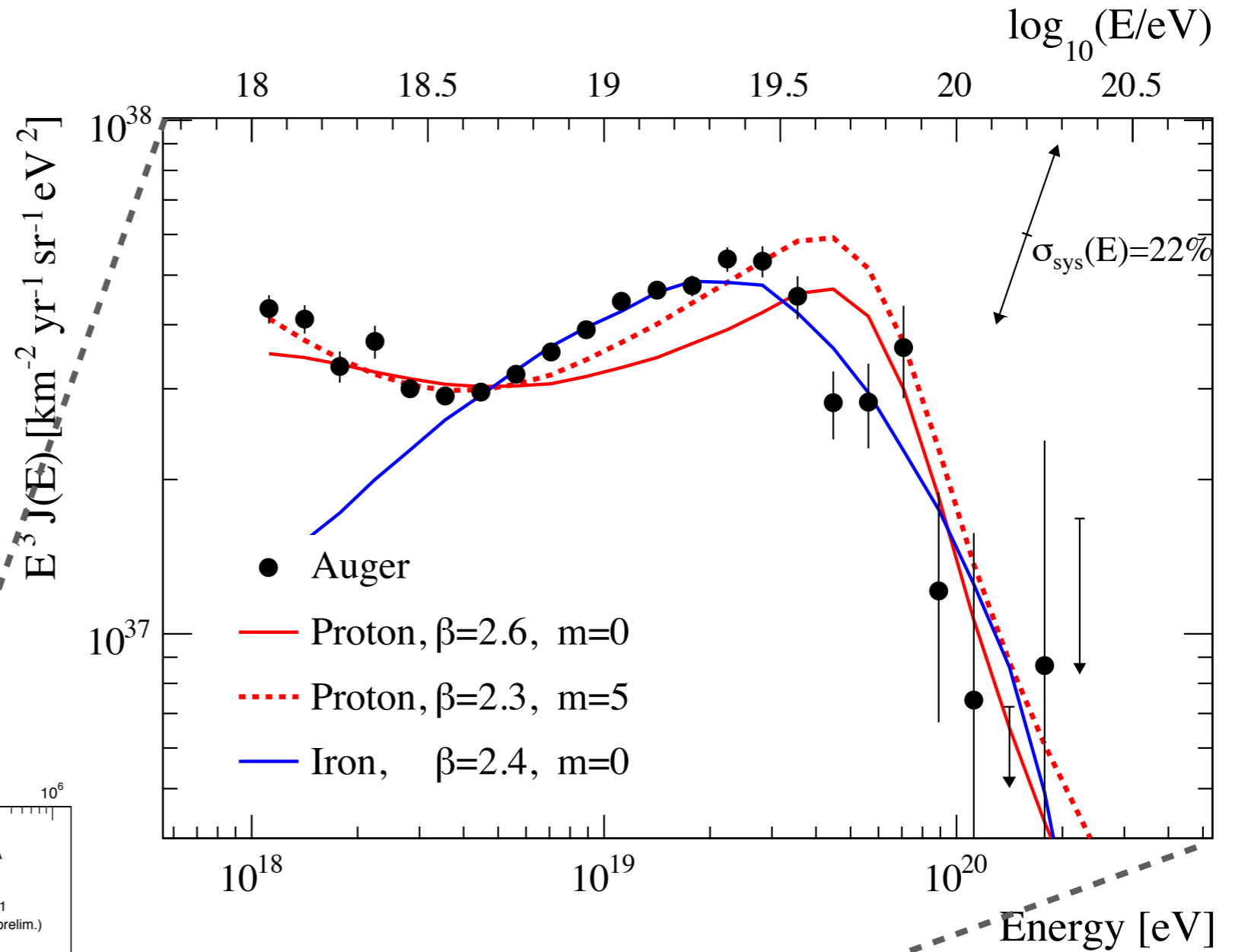
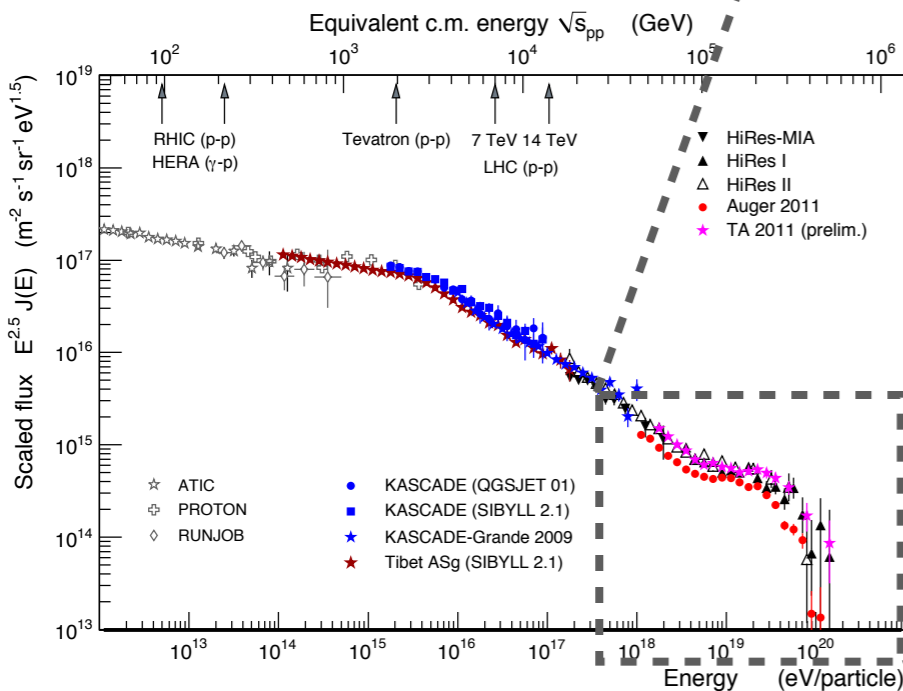
**Southern hemisphere:  
Province Mendoza, Argentina**

# Flux suppression compatible with GZK effect ?

## Proton dominated flux

Ankle:  $e^+e^-$  pair production  
 Suppression: delta resonance

(Dip model of Berezhinsky et al.)



## Iron dominated flux

Ankle: transition to galactic sources  
 Suppression: giant dipole resonance